



جامعة الملك عبد الله
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King Abdullah University of
Science and Technology

RUB



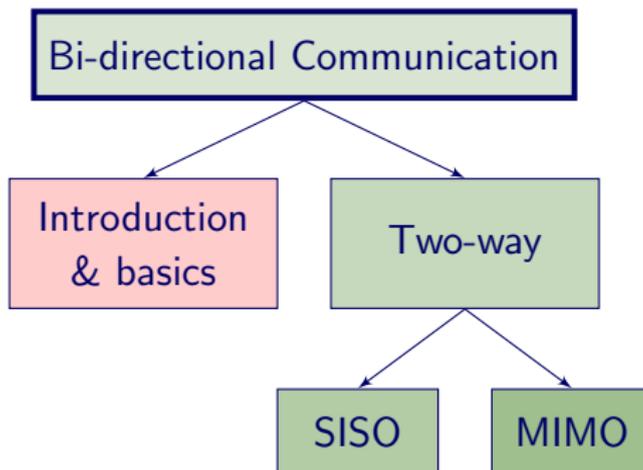
Multi-way Communication and Cooperation

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* Computer, Electrical and Mathematical Sciences and Engineering,
KAUST, Thuwal, KSA

† Institute of Digital Communication Systems,
RUB, Bochum, Germany

Structure



Part 1: Intro. & Basics

Outline

- ① What is bi-directional communication?
- ② Why multi-way?
- ③ History
 - Point-to-point
 - Multiple-access channel
 - Broadcast channel
 - Relay channel

What is bi-directional Communications

Definition

Nodes acting as sources and destinations simultaneously.



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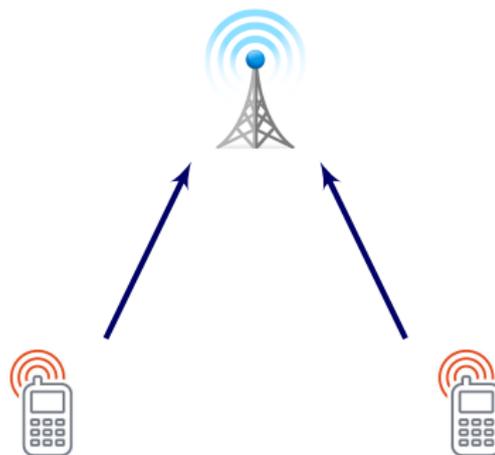
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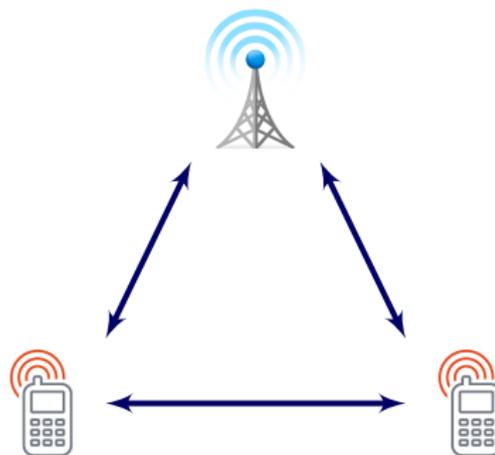
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Goal

Introduce and discuss techniques for **bi-directional** communications.

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- 2 Why multi-way?
- 3 History
 - Point-to-point
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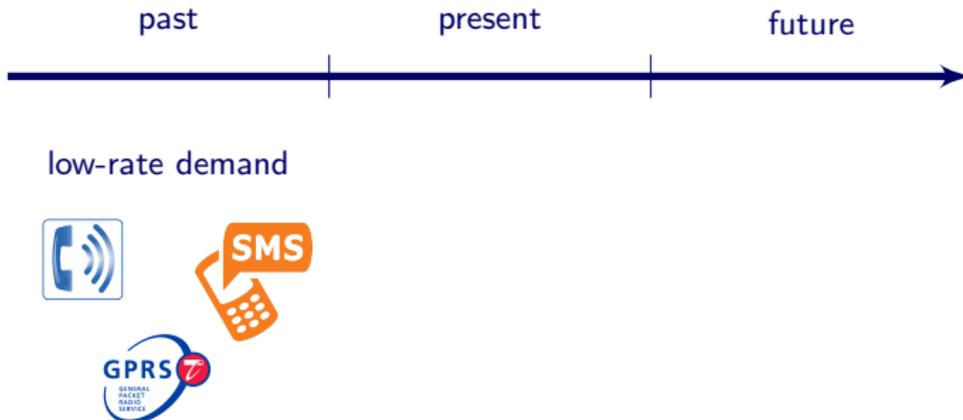
Changing Game

Rapid changes in communications: applications, services, requirements, etc.



Changing Game

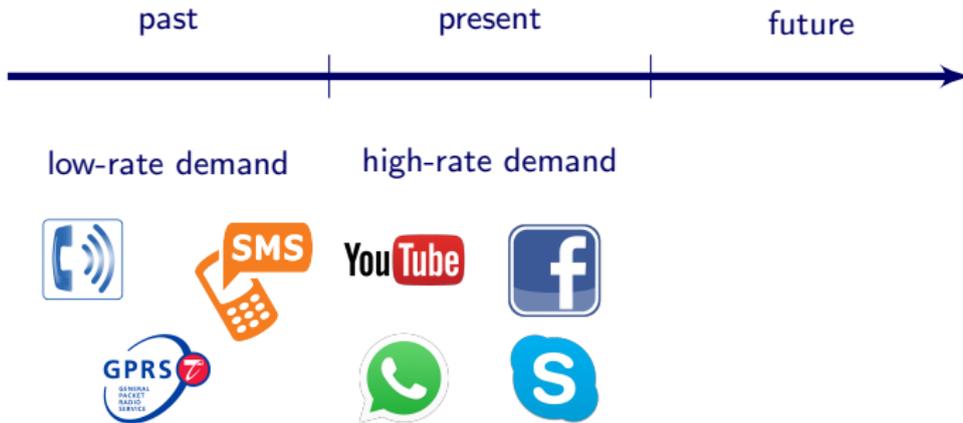
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Sources: experian.com, allmytech.pk, en.wikipedia.org, ecnmag.com, kpcb.com, play.google.com

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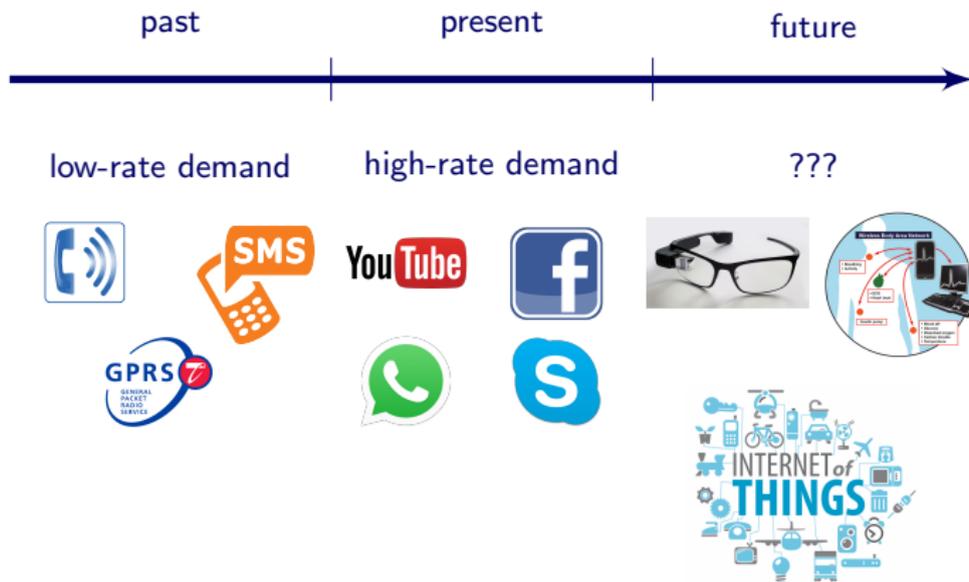
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Changing Game

Future: Everything can communicate!



Vehicle, asset, person & pet
monitoring & controlling



Agriculture automation



Energy consumption



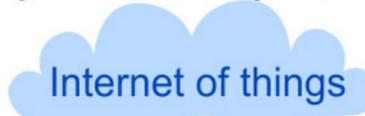
Security &
surveillance



Building management



Embedded
Mobile



Everyday things
get connected  for smarter
tomorrow



M2M & wireless
sensor network



Everyday things



Smart homes & cities

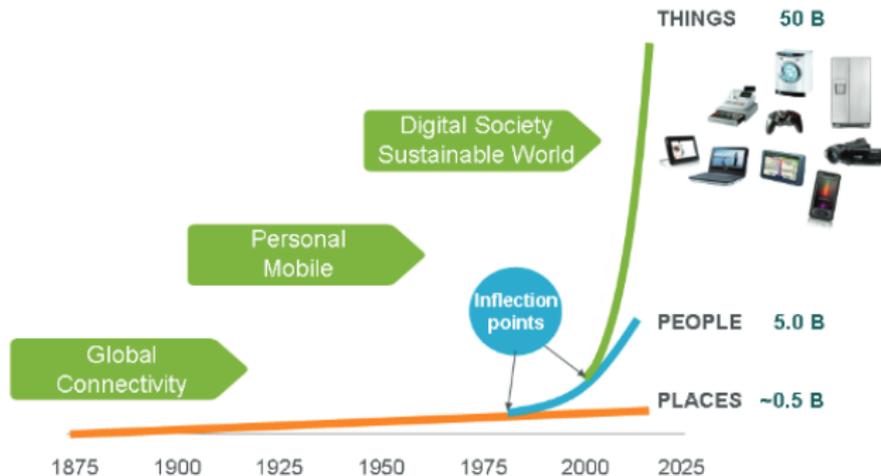


Telemedicine & healthcare

New players, new rules!

Sources: influxis.com

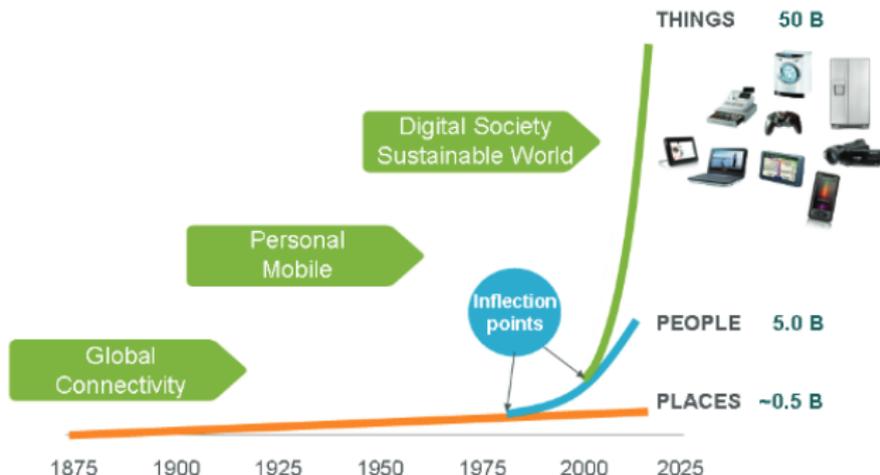
Towards 50B devices in 2020!



Source: Ericsson, 2010

Increasing number of connected devices (IoT, M2M, etc.)

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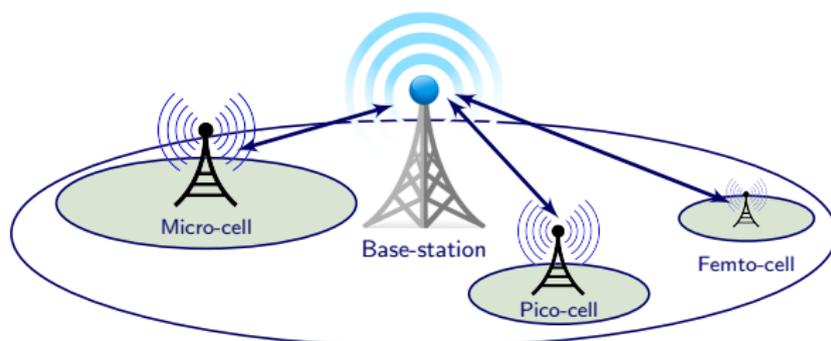
Increasing number of connected devices (IoT, M2M, etc.)

Consequence: Networks must support **much higher** data-rates

Ideas

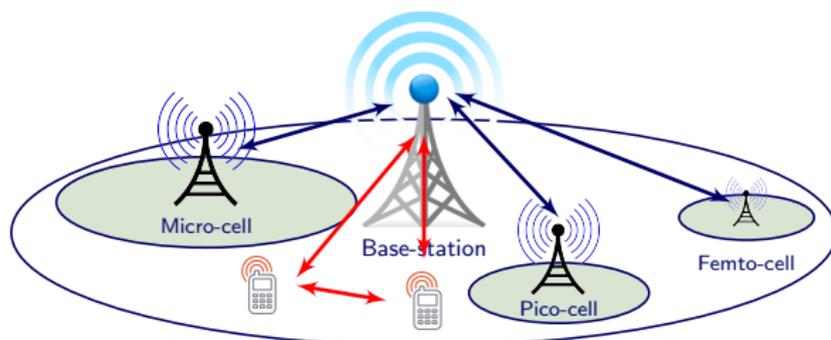


Ideas



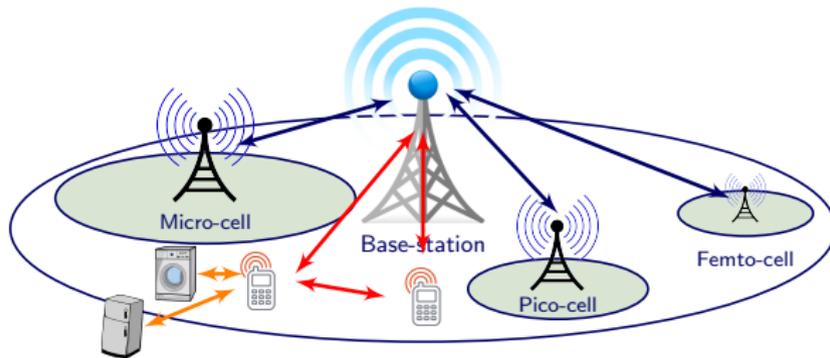
- Densification of networks

Ideas



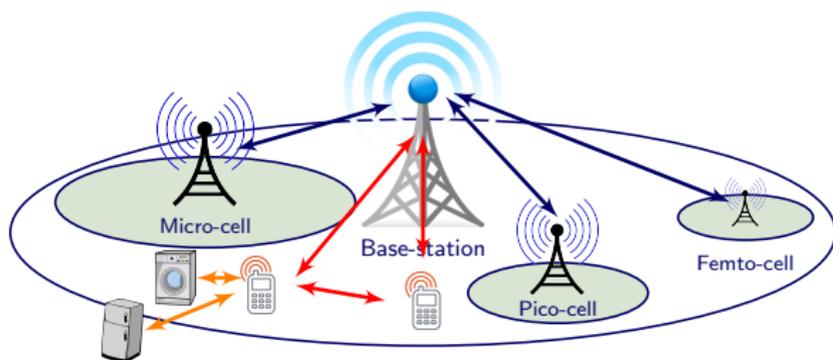
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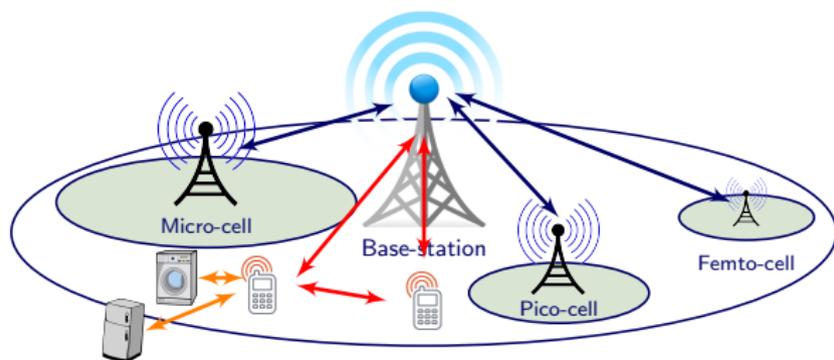
- **Densification of networks**
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- **Densification of networks**
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- **Important:** Most communication is bi-directional (uplink/downlink, feedback, etc.)

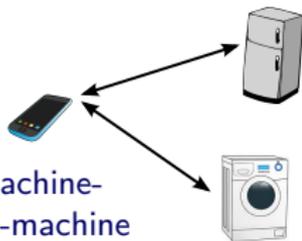
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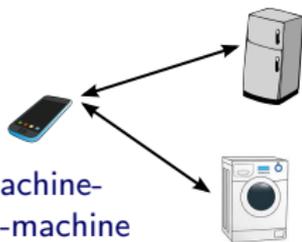
⇒ need to study bi-directional communication

More sophisticated network topologies!

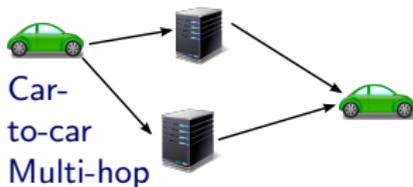


Machine-
to-machine
Point↔multi-point

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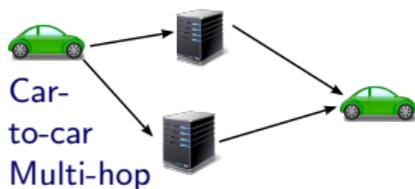
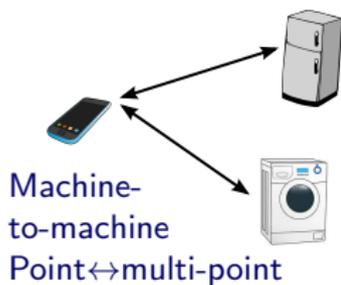


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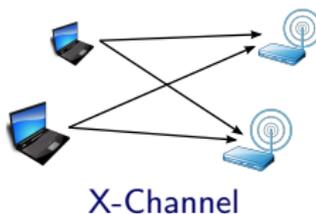
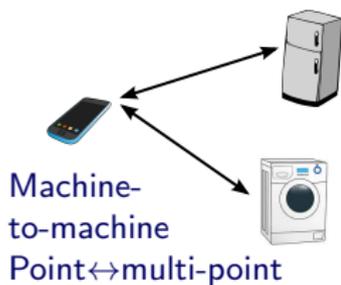


Car-
to-car
Multi-hop

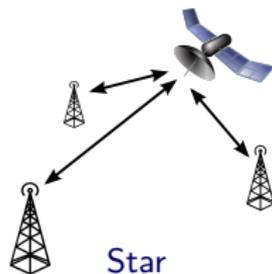
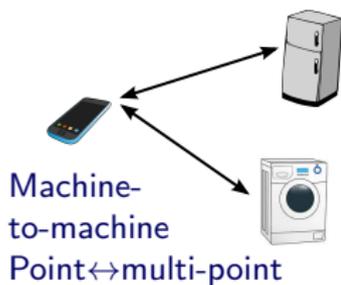
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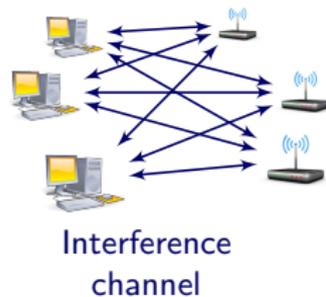
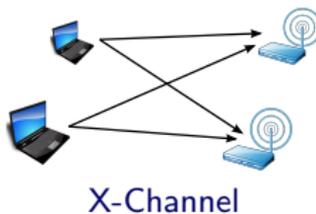
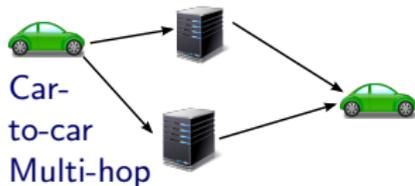
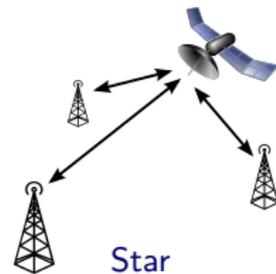
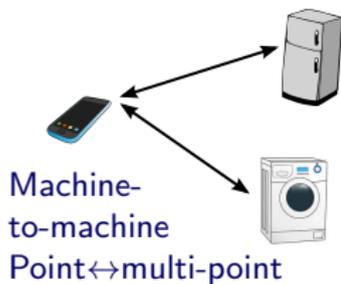
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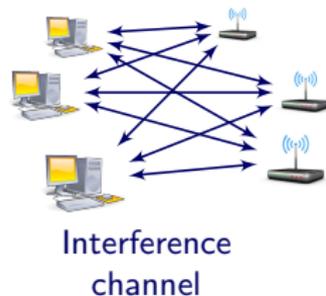
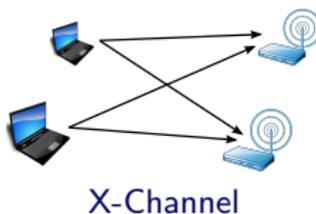
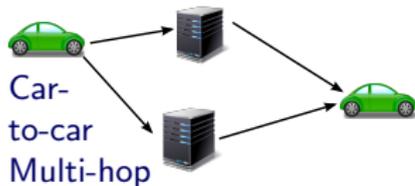
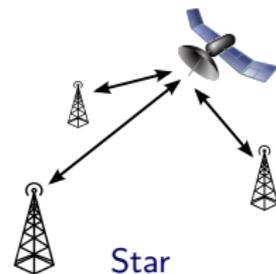
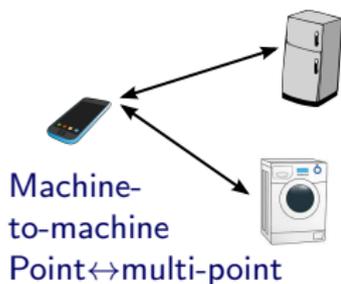
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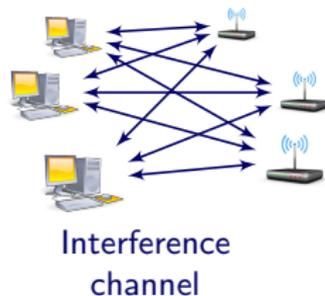
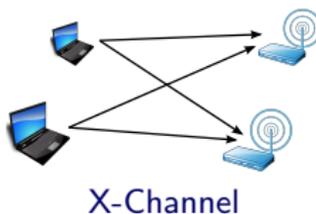
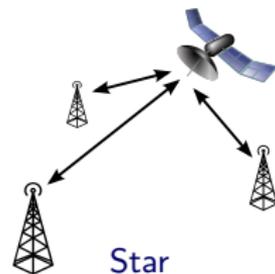
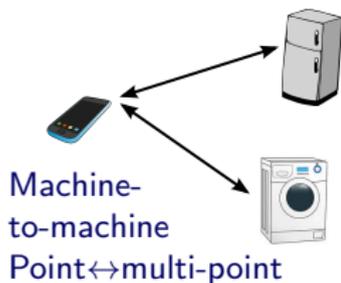


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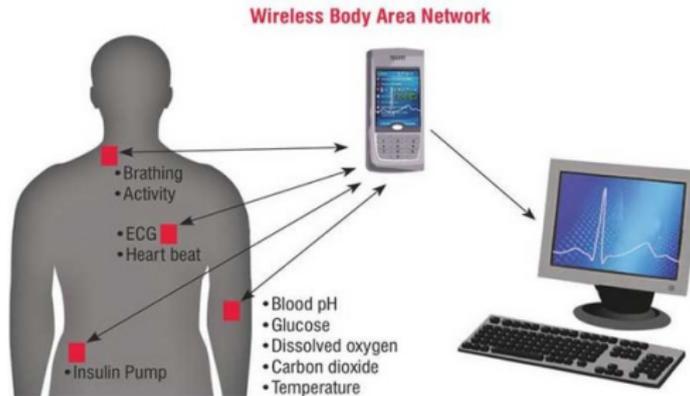
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⇒ need to study **bi-directional communication**

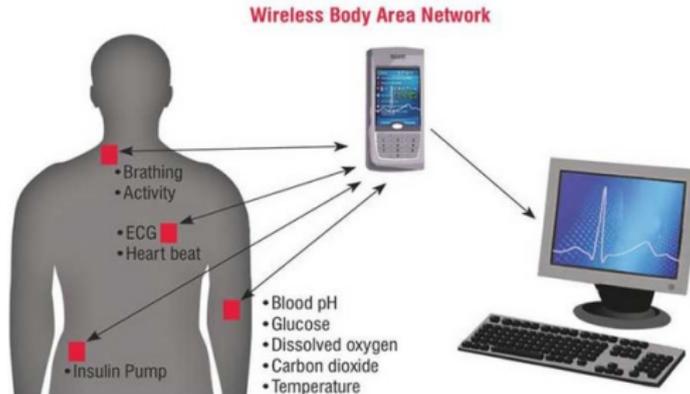
Body-area networks



- Multiple sensors communicating with a central node,

Sources: www.examiner.com

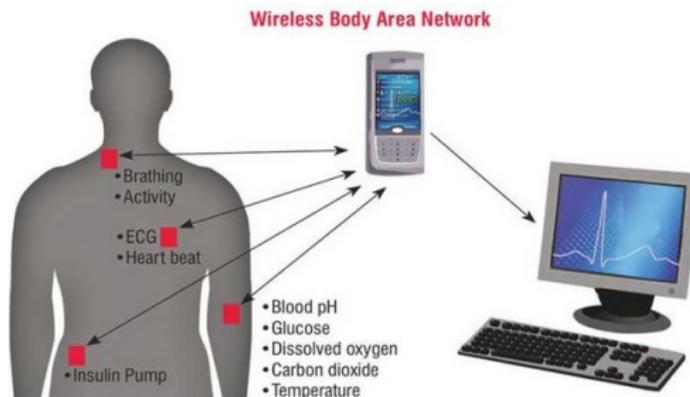
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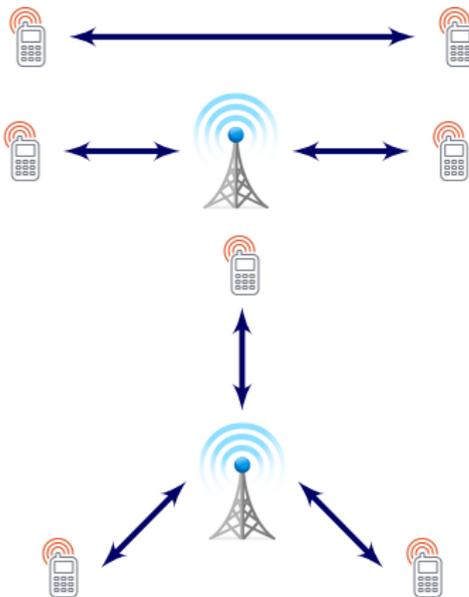
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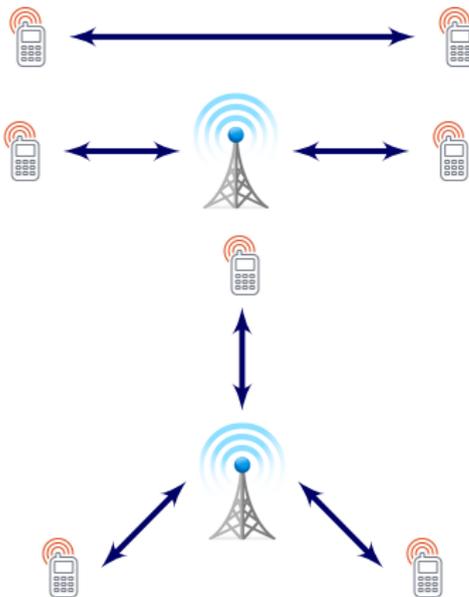
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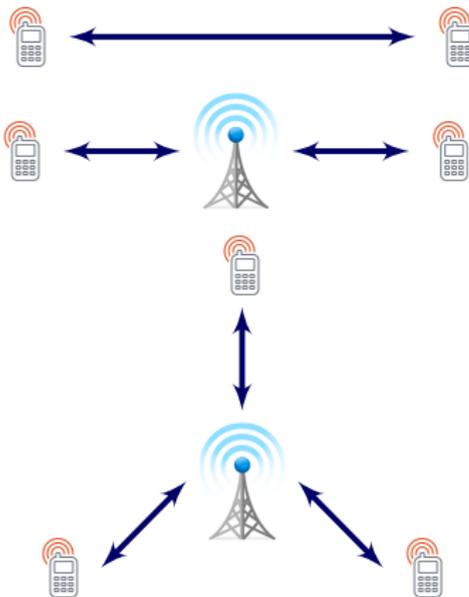
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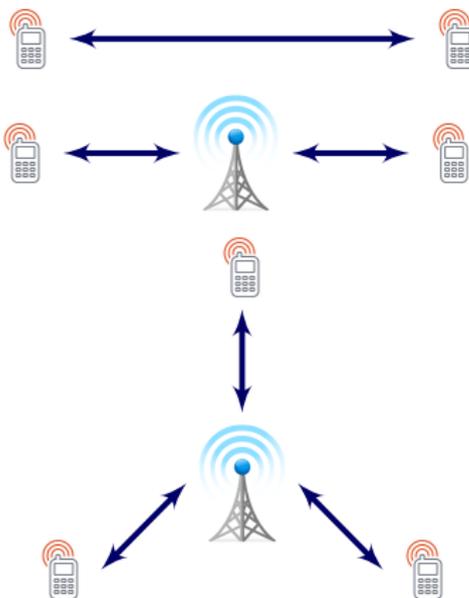
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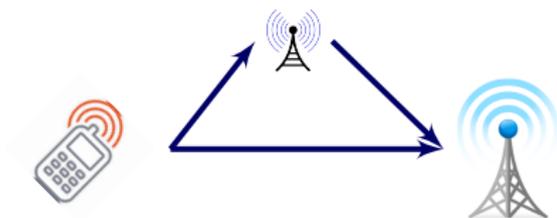
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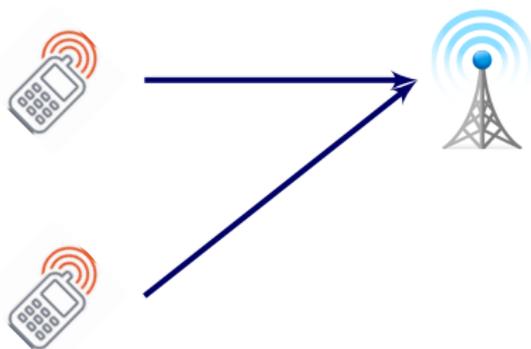
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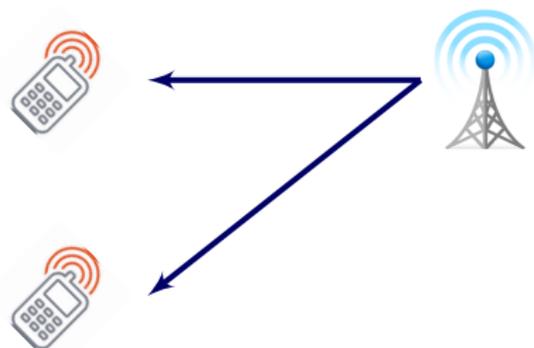
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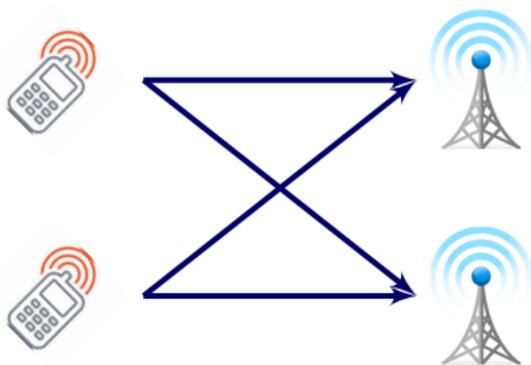
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P2P

One transmitter, one receiver:



P2P

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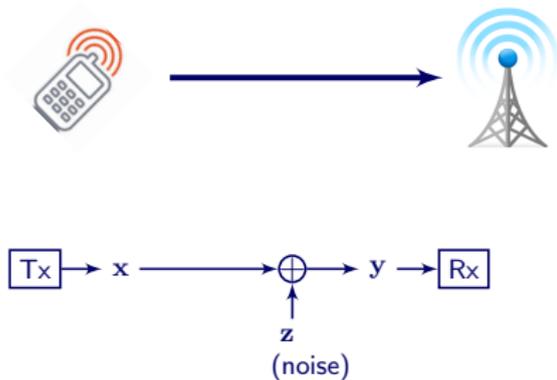
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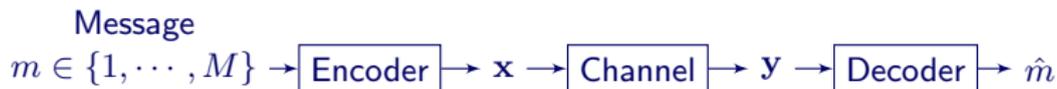
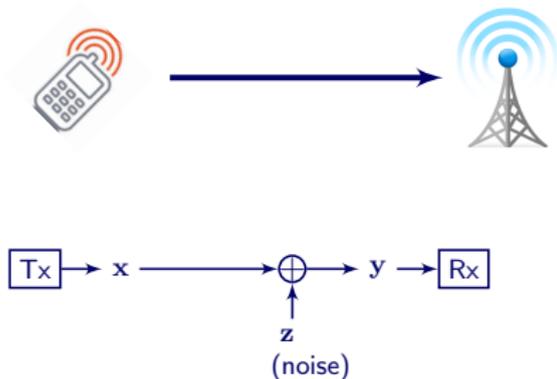
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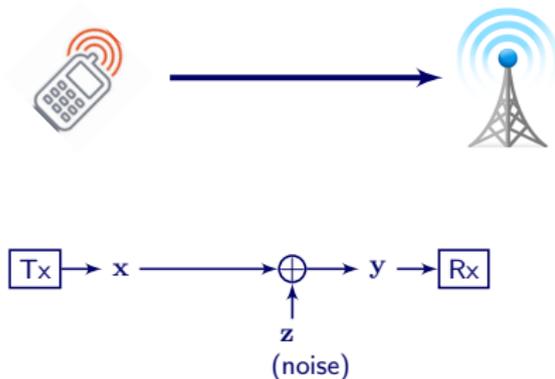
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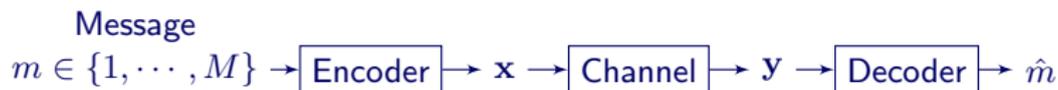
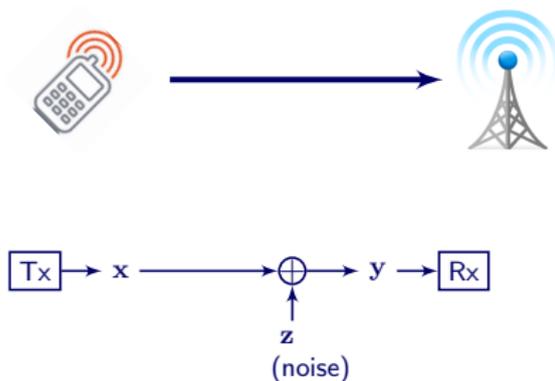


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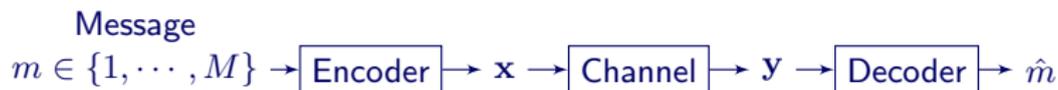
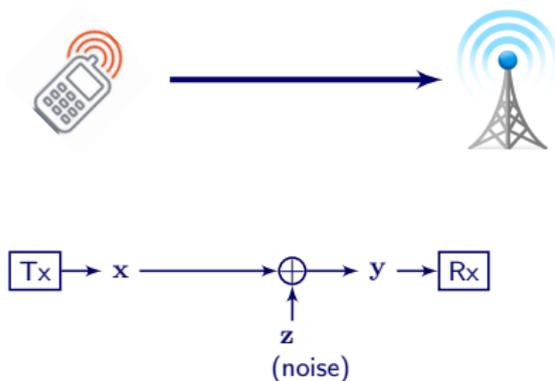


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- **Goal:** Find the maximum M .

P2P: Simple example

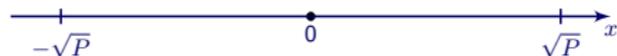
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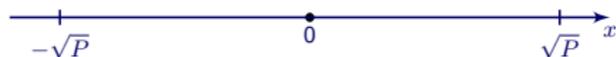


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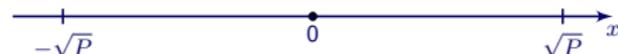


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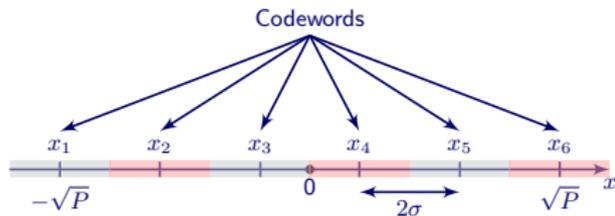
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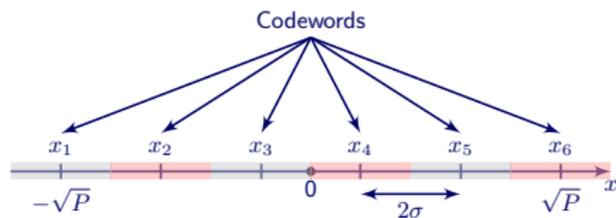
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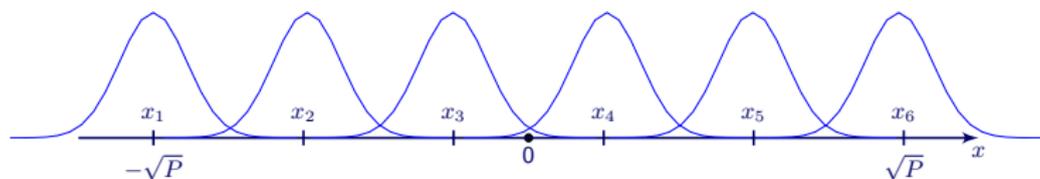
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P2P

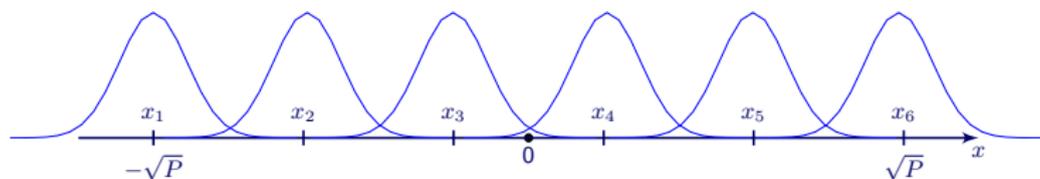
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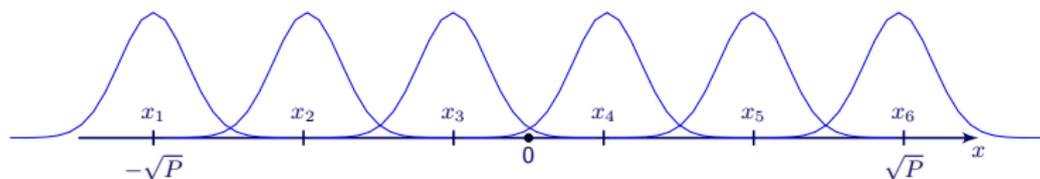
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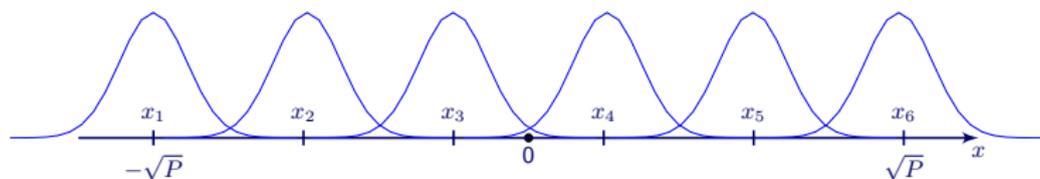
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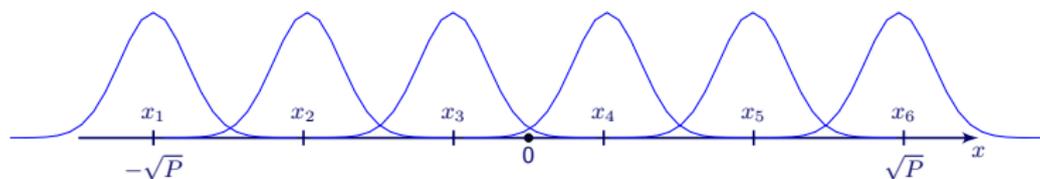
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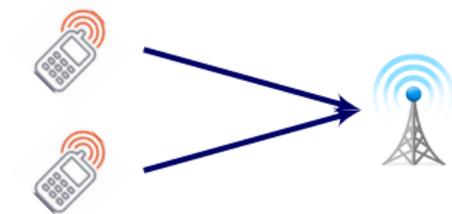
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MAC

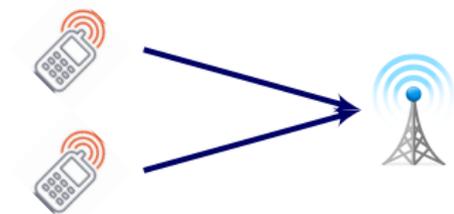
Two transmitters, one receiver:



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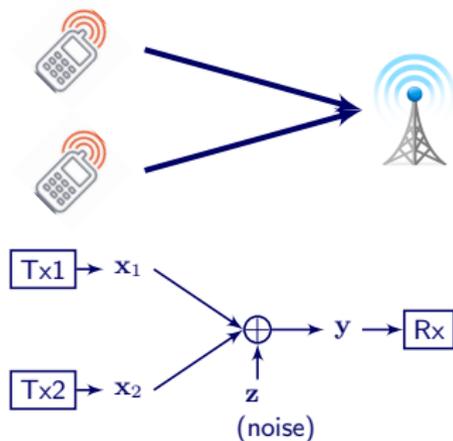
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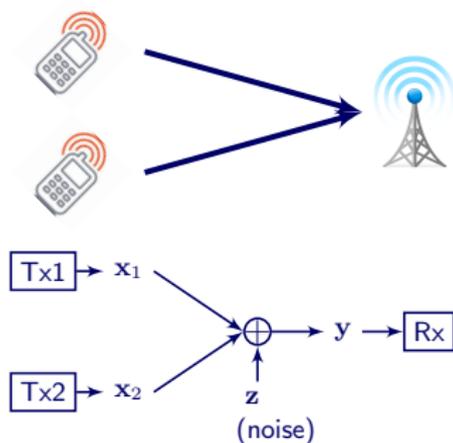
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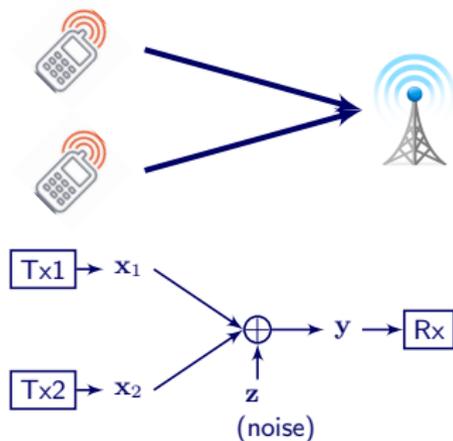
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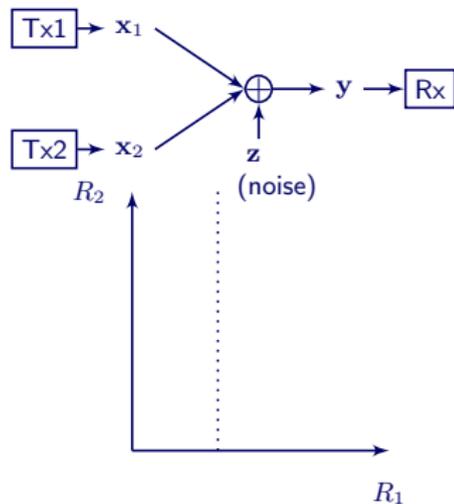
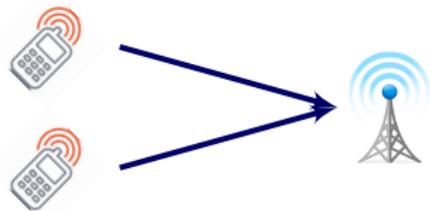
Rate-region

The rate-region is the set of achievable rate pairs (R_1, R_2) .

MAC

- Successive decoding:
- Treat x_2 as noise \Rightarrow

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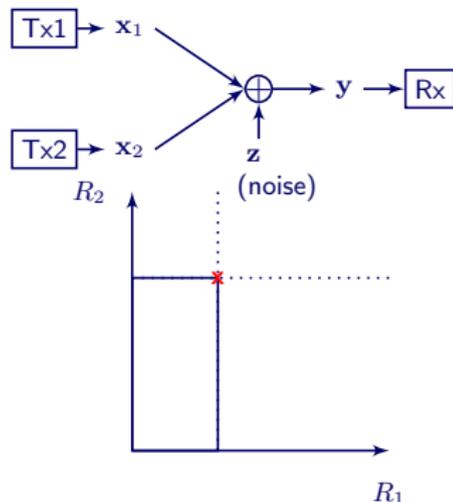
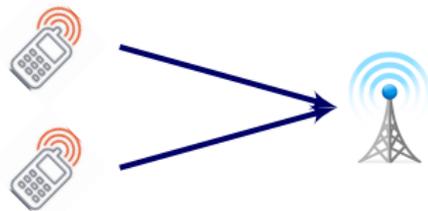
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MAC

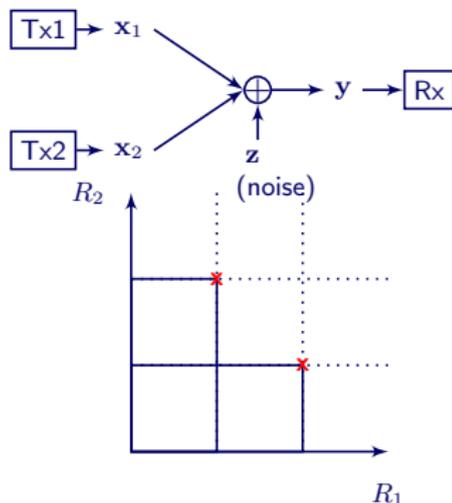
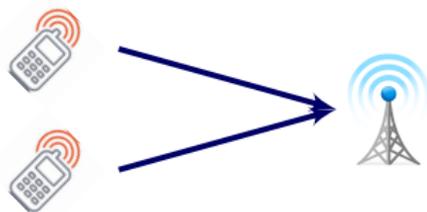
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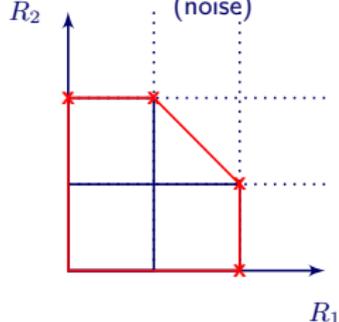
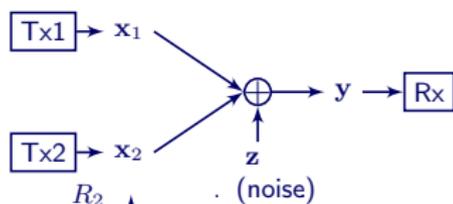
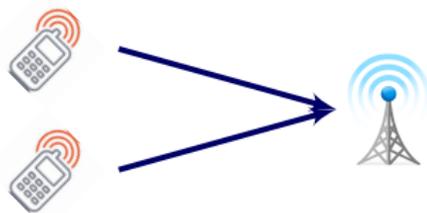
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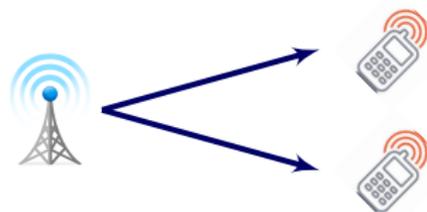
$$R_i \leq \frac{1}{2} \log \left(1 + \frac{P_i}{\sigma^2} \right), \quad i = 1, 2,$$

$$R_1 + R_2 \leq \frac{1}{2} \log \left(1 + \frac{P_1 + P_2}{\sigma^2} \right).$$



BC

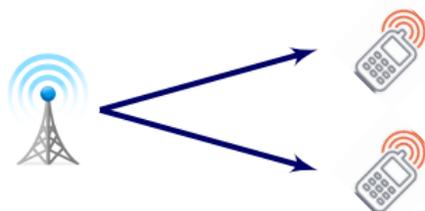
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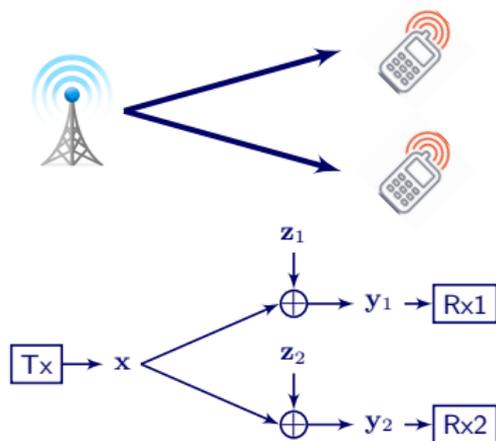
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BC

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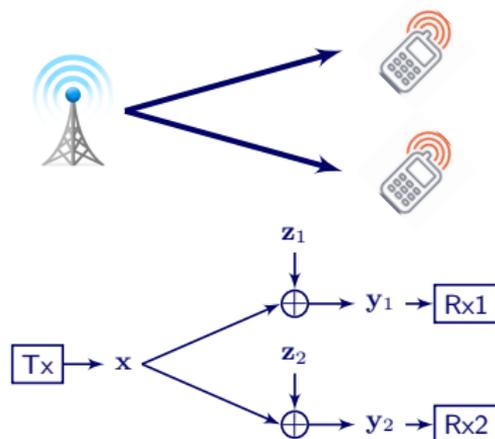
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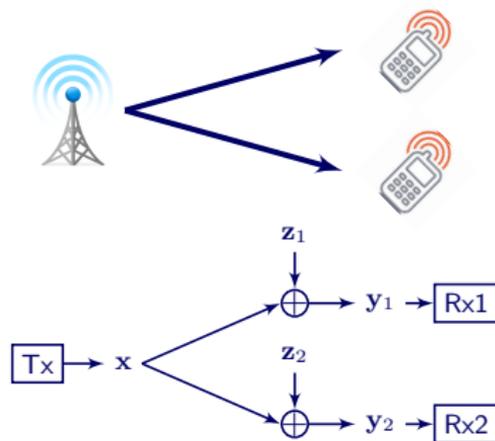
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BC

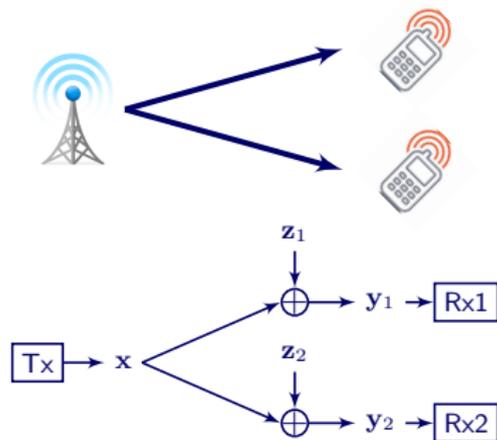
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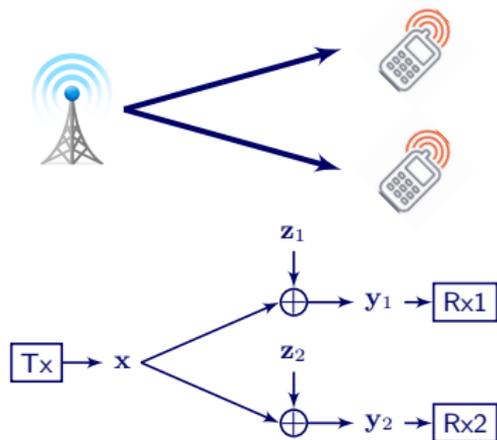
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BC

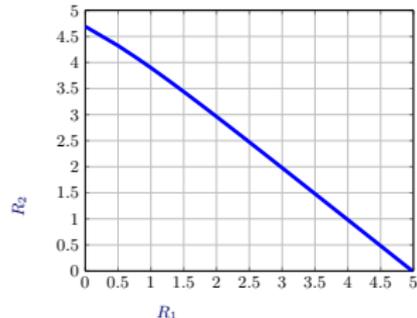
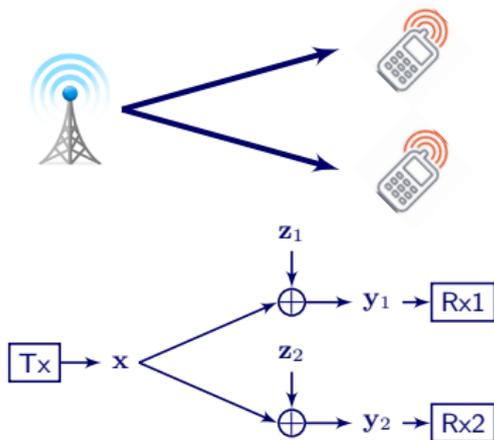
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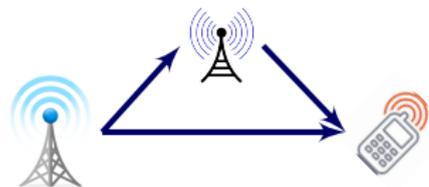
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Relay Channel

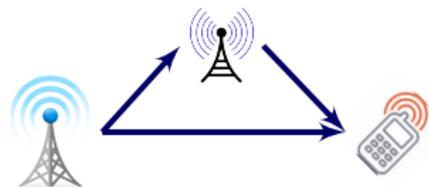
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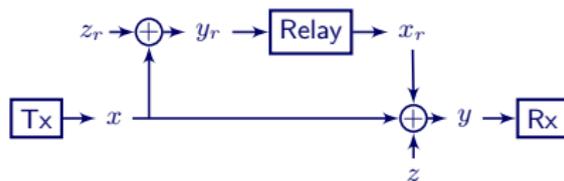
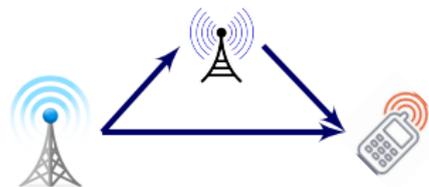
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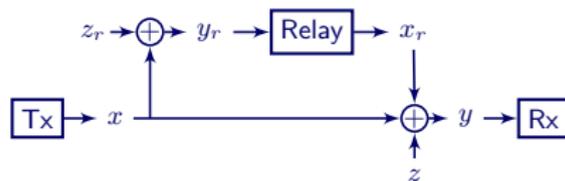
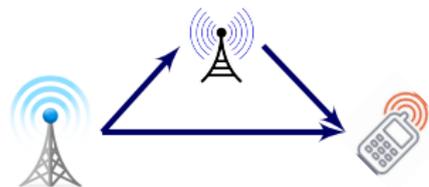
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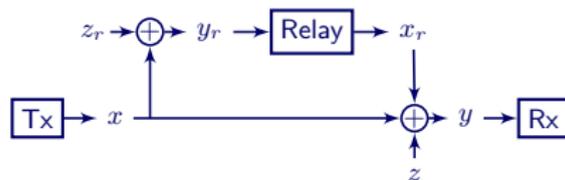
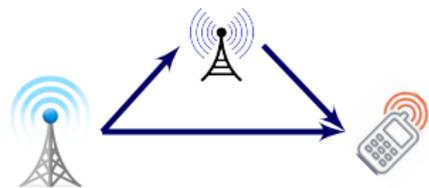
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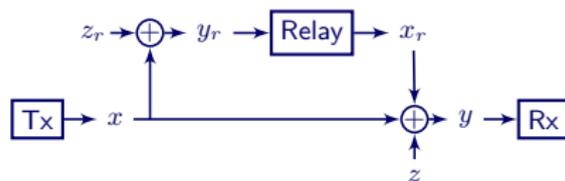
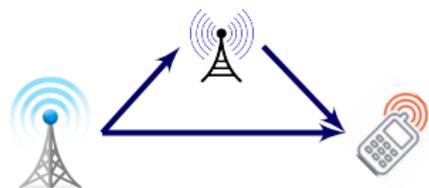
Relay Channel

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Decode-forward,
Compress-forward



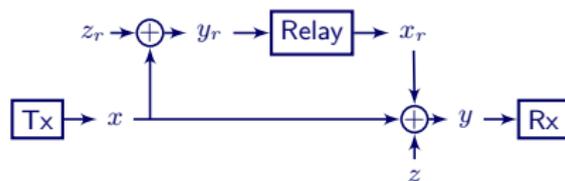
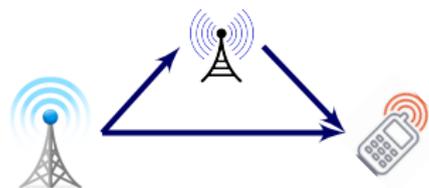
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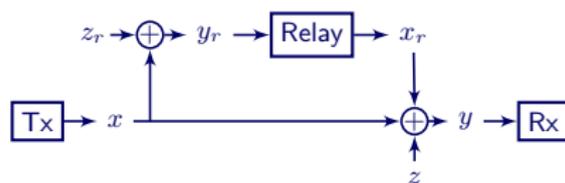
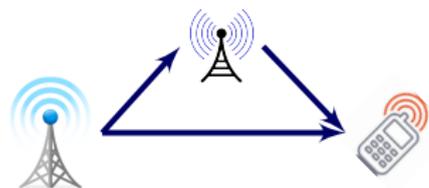
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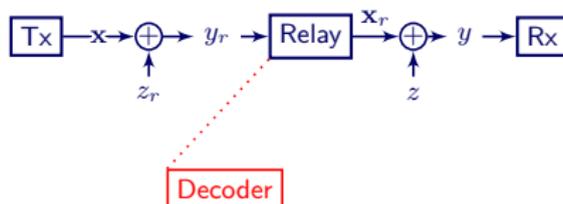


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Relay Channel: DF

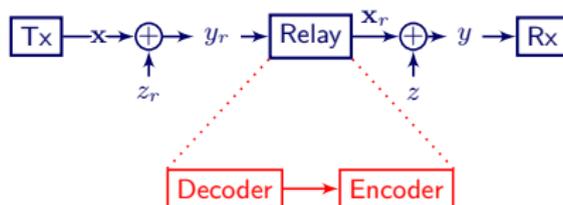


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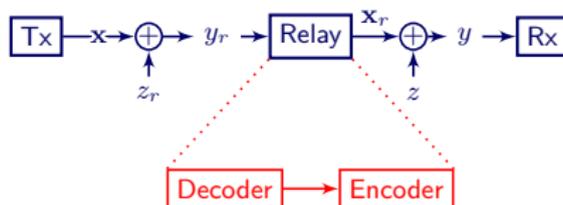
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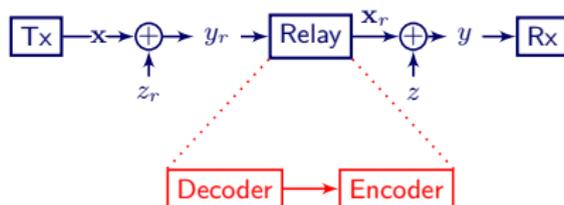
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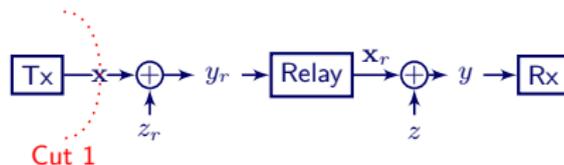
- Achievable rate $\min \left\{ \frac{1}{2} \log \left(1 + \frac{P}{\sigma_r^2} \right), \frac{1}{2} \log \left(1 + \frac{P_r}{\sigma^2} \right) \right\}$.

Relay Channel: Cut-set bound



Cut-set Bound: Capacity bounded by the rate of information flow from a sub-set of nodes to the remaining nodes

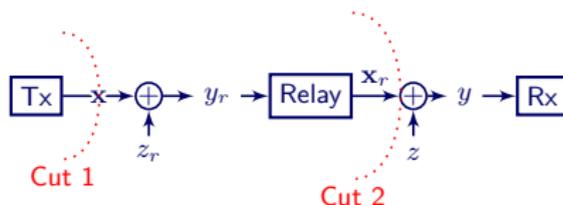
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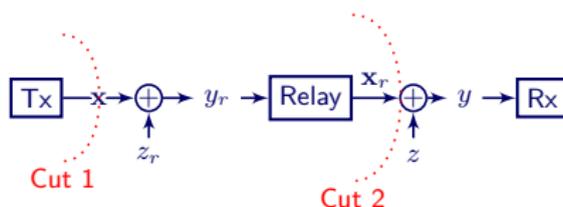
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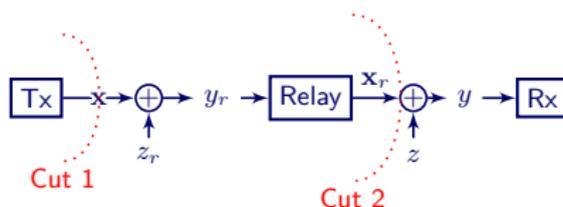
Relay Channel: Cut-set bound



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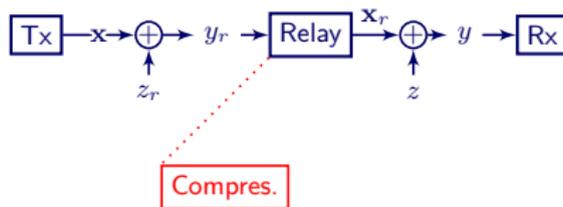
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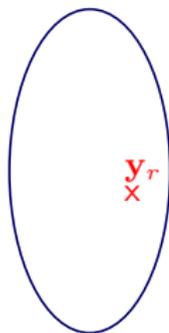
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- **DF Optimal:** Coincides with the cut-set bound.

Relay Channel: CF



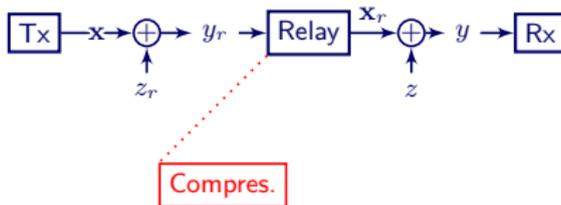
Compress-forward:

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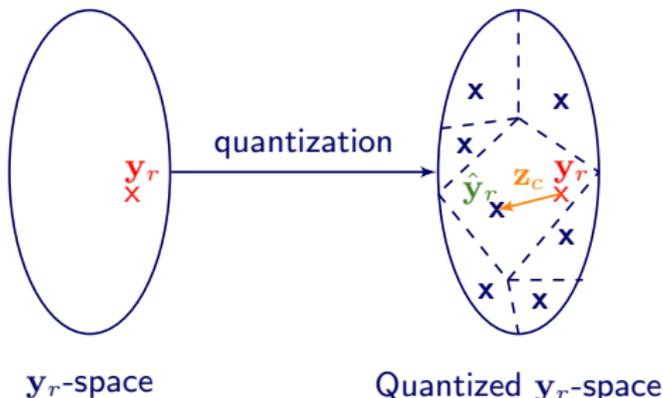
\mathbf{y}_r -space

Relay Channel: CF

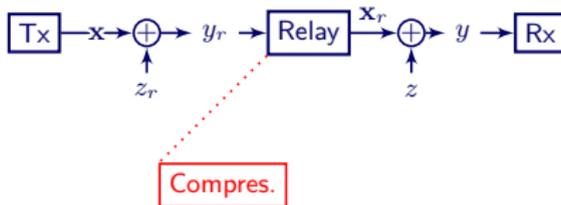


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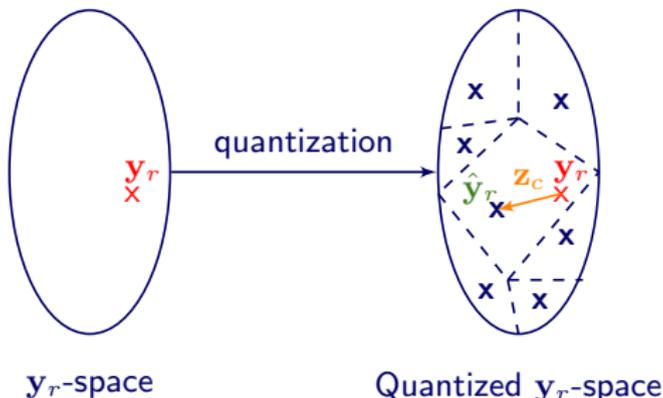


Relay Channel: CF

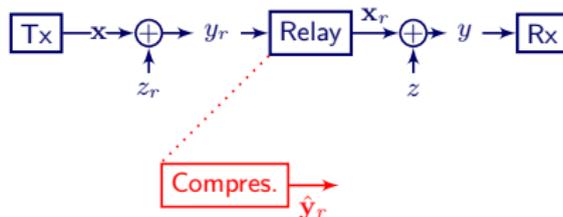


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- Compression rate R_c ($\frac{1}{n} \log(\text{number of bins})$)



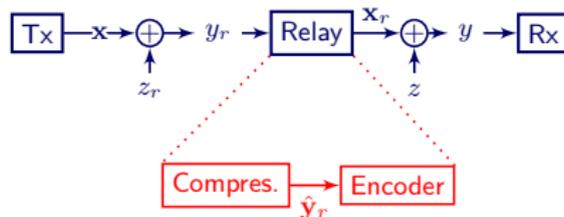
Relay Channel: CF



Compress-forward:

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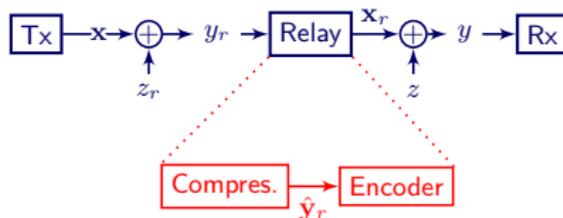
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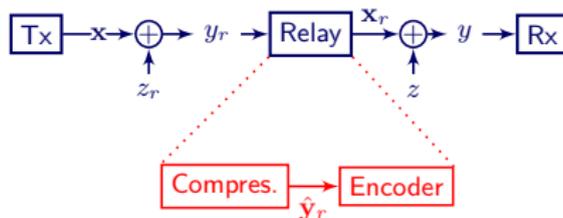
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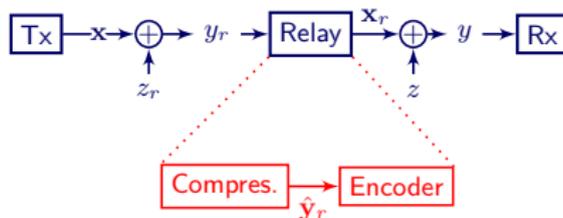
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- Rx obtains $\hat{y}_r = \mathbf{x} + \mathbf{z}_r + \mathbf{z}_c$.
- Rx then decodes \mathbf{x} from $\hat{y}_r \Rightarrow$

$$R = \frac{1}{2} \log \left(1 + \frac{P}{\sigma_r^2 + D} \right) \leq \frac{1}{2} \log \left(1 + \frac{P(P_r + \sigma^2)}{\sigma_r^2(P_r + \sigma^2) + \sigma^2(P + \sigma_r^2)} \right)$$

Part 2: SISO Bi-directional

Outline

- ① Two-way channel
- ② Two-way relay channel
 - The linear-deterministic approximation
 - Lattice codes
- ③ Multi-way relay channel
 - Multi-pair Two-way Relay Channel
 - Multi-way Relay Channel
- ④ Multi-way Channel

Two-way Channel

Channel with **two transceivers**: First studied by Shannon (1961)



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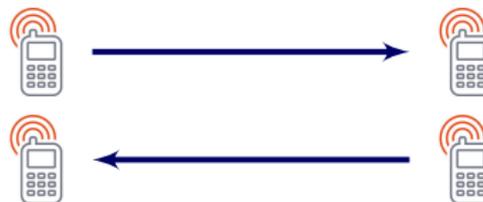
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 - **Consequence:** Bounds do not coincide
- ⇒ unknown capacity!

Two-way Channel

- **However:** Bounds coincide if channel is separable!

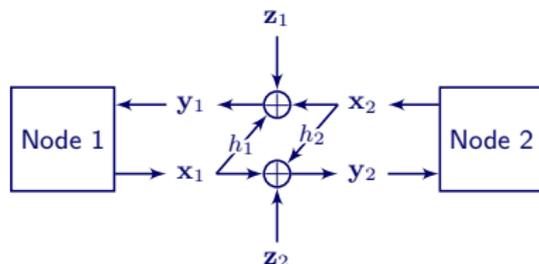
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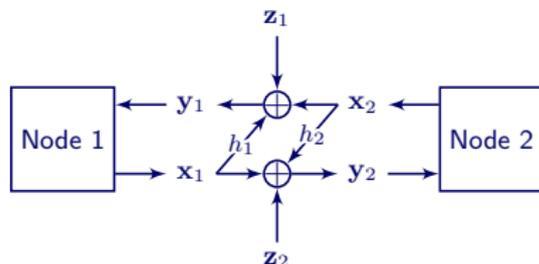
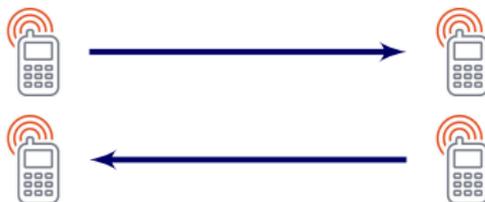
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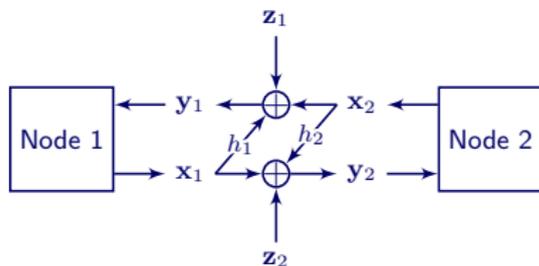
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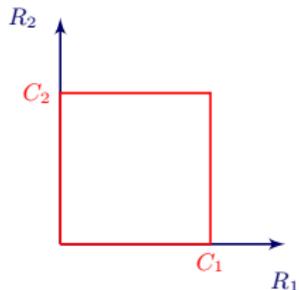
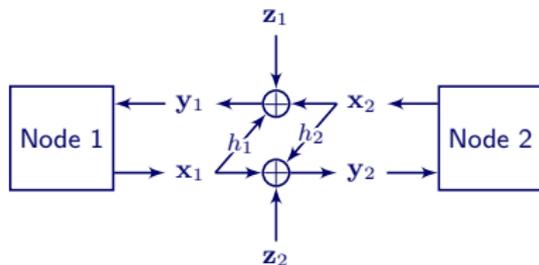
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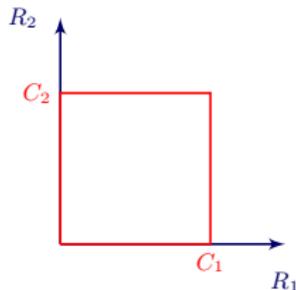
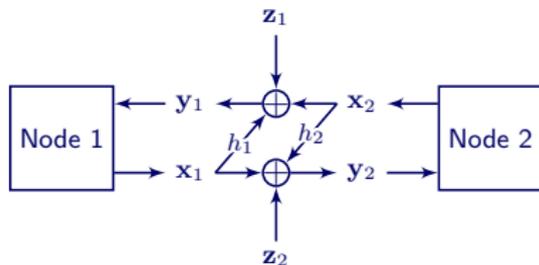
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 (independent of self-interference!),



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- **Capacity [Han 84],**



Two-way Channel

Remarks:

Half-duplex vs. full-duplex:

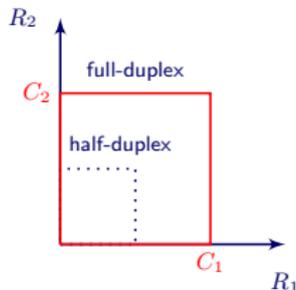
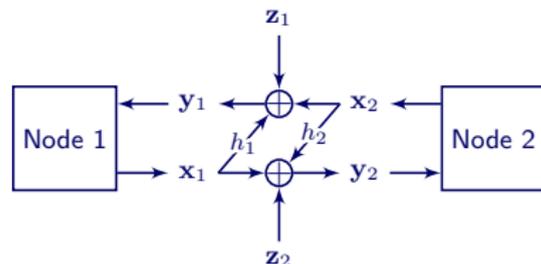
- Half-duplex:

$$R_i \leq \frac{1}{4} \log \left(1 + \frac{P_i}{\sigma_j^2} \right).$$

- Full-duplex:

$$R_i \leq \frac{1}{2} \log \left(1 + \frac{P_i}{\sigma_j^2} \right).$$

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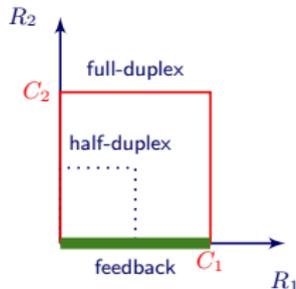
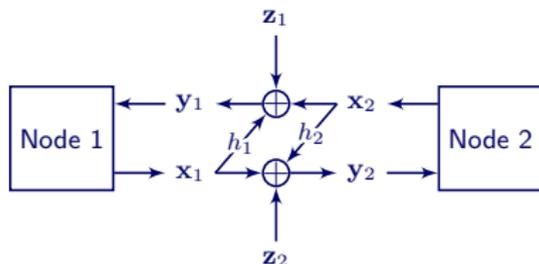
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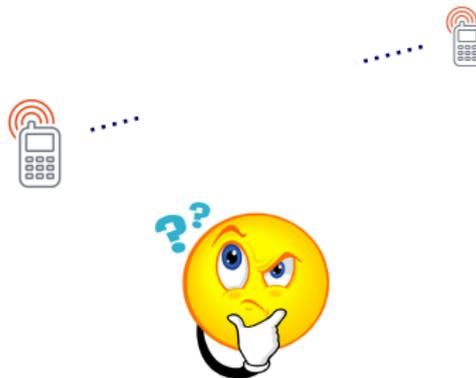
Feedback vs. Two-way:

- Feedback does not increase the P2P capacity [Shannon 56].
- Rate: $R_1 \leq \frac{1}{2} \log \left(1 + \frac{P_1}{\sigma_2^2} \right)$.
- Two-way achieves double rate.



Two-way Channel

- What happens if nodes are far/physically separated?

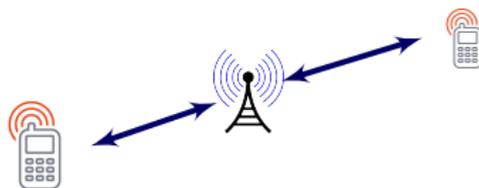


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Two-way Relay Channel

Channel with **two transceivers and a relay**: First studied by [Rankov & Wittneben 06]

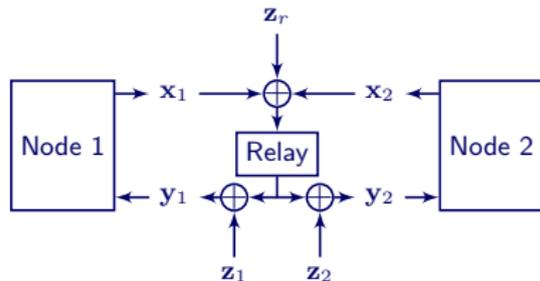
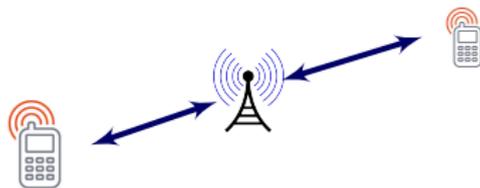


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Gaussian two-way relay channel:

- Inputs: x_1 , x_2 , x_r with powers P_1 , P_2 , and P_r ,

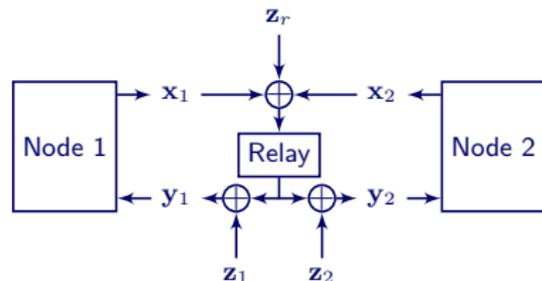
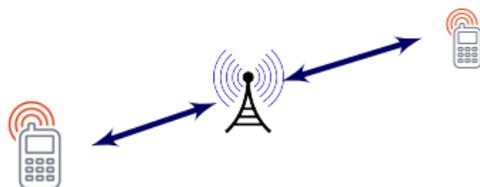


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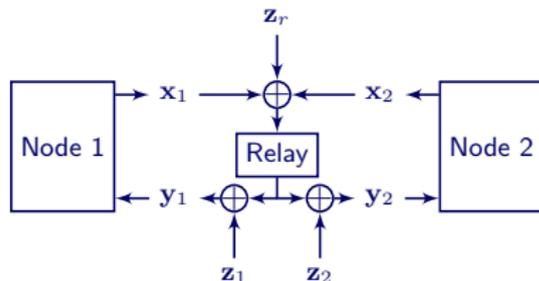
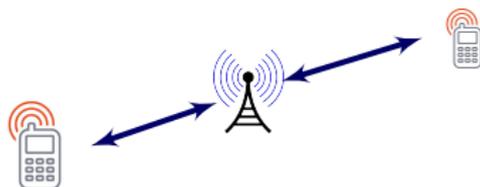


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- **Goal**: Find the capacity region.

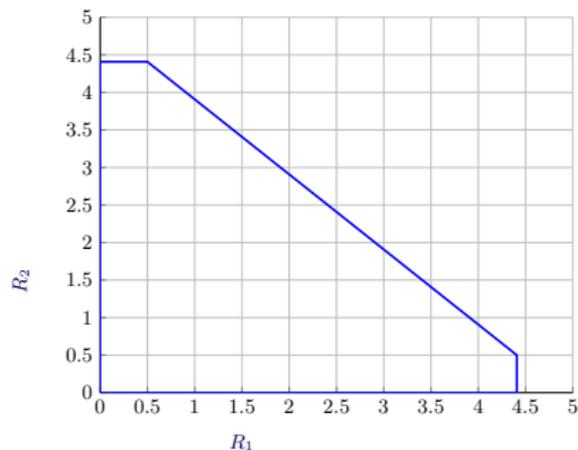
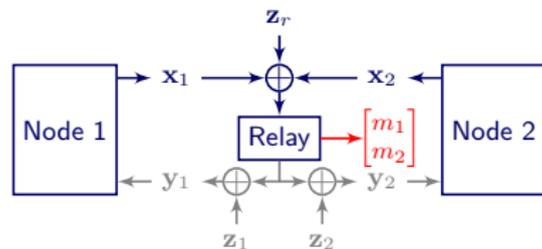


Classical approach

- Treat uplink as a MAC \Rightarrow

$$R_i \leq \frac{1}{2} \log \left(1 + \frac{P_i}{\sigma^2} \right)$$

$$R_1 + R_2 \leq \frac{1}{2} \log \left(1 + \frac{P_1 + P_2}{\sigma^2} \right),$$



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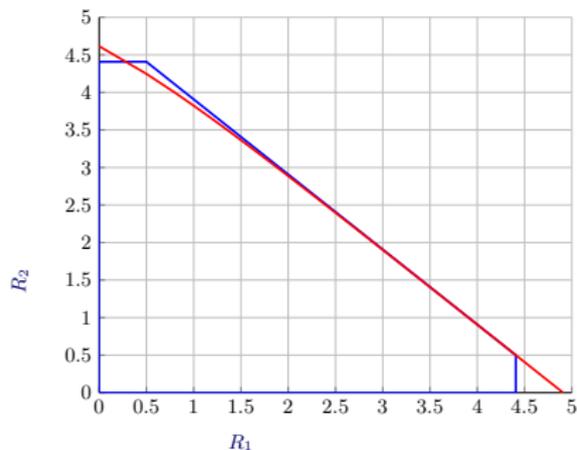
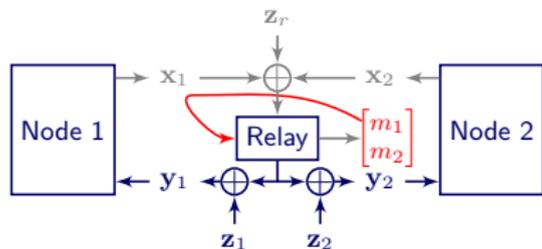
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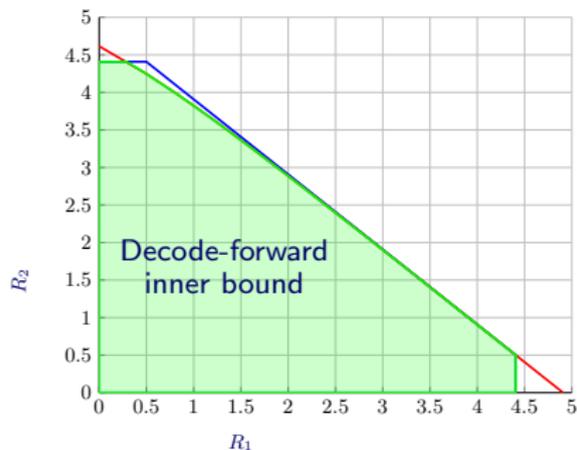
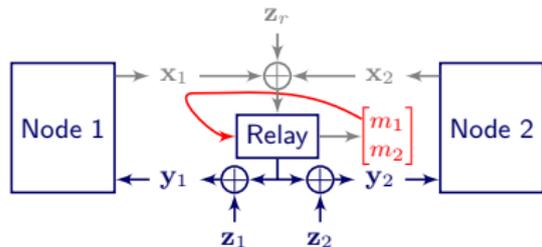
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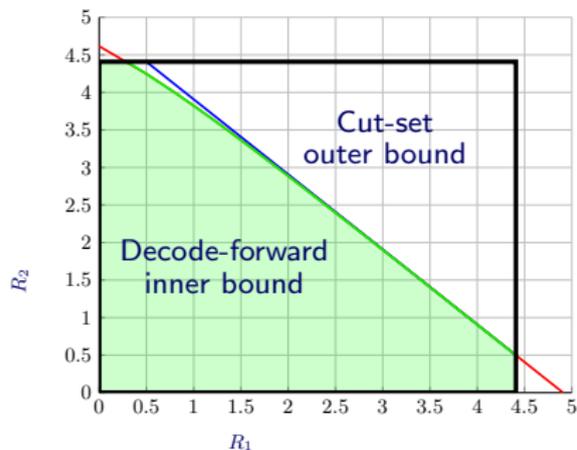
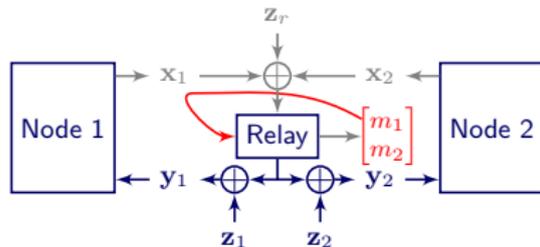
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- Achievable region:** intersection
- Cut-set bound:**

$$R_i \leq \min \left\{ \frac{1}{2} \log \left(1 + \frac{P_i}{\sigma^2} \right), \frac{1}{2} \log \left(1 + \frac{P_r}{\sigma^2} \right) \right\}$$



Classical approach

- Treat uplink as a MAC \Rightarrow

$$R_i \leq \frac{1}{2} \log \left(1 + \frac{P_i}{\sigma^2} \right)$$

$$R_1 + R_2 \leq \frac{1}{2} \log \left(1 + \frac{P_1 + P_2}{\sigma^2} \right),$$

- Treat downlink as a BC \Rightarrow

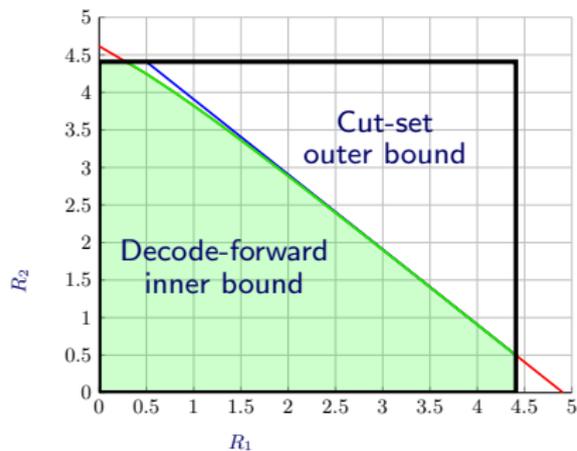
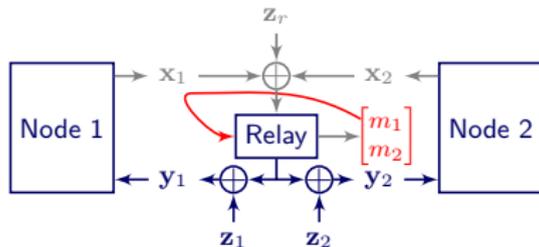
$$R_1 \leq \frac{1}{2} \log \left(1 + \frac{p_1}{\sigma_1^2} \right)$$

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with $p_1 + p_2 \leq P_r$,

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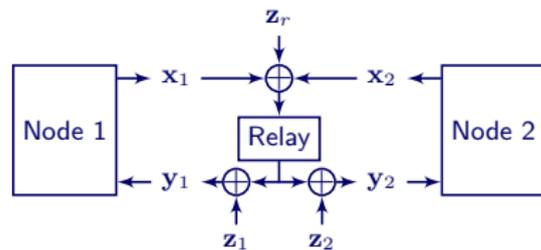
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Sum-rate

Let us focus on the sum-rate

$$R_{\Sigma} = R_1 + R_2:$$



Sum-rate

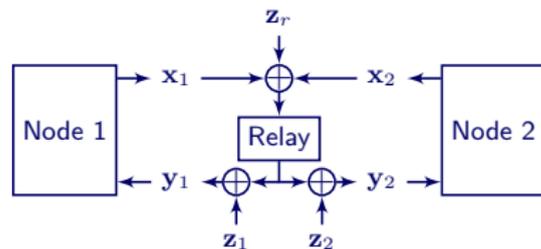
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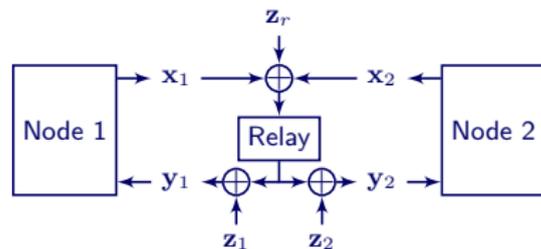


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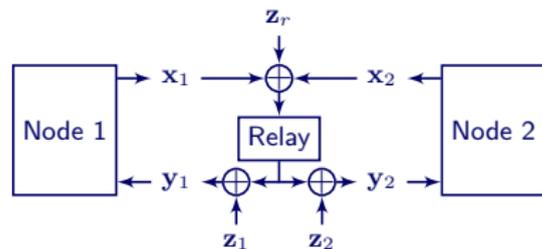


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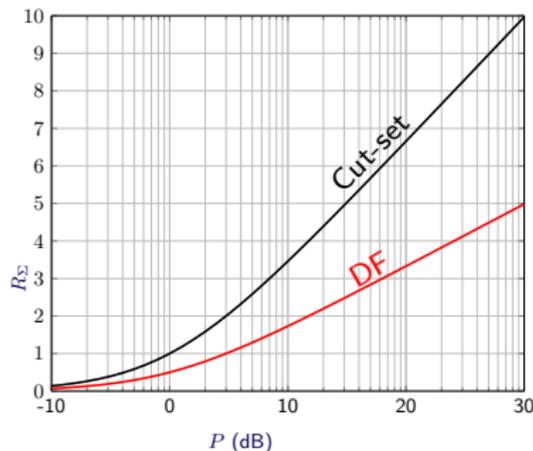
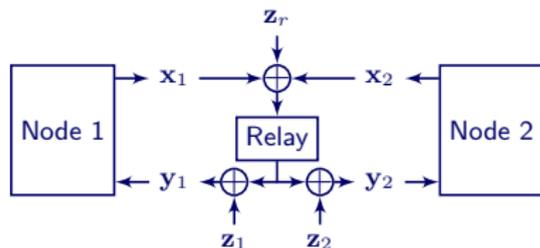
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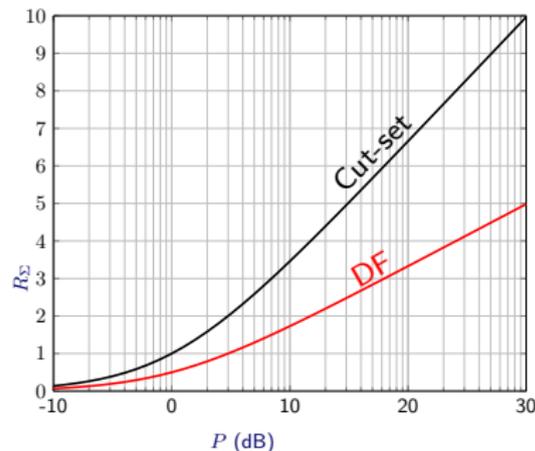
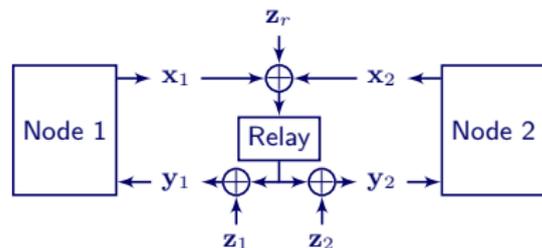


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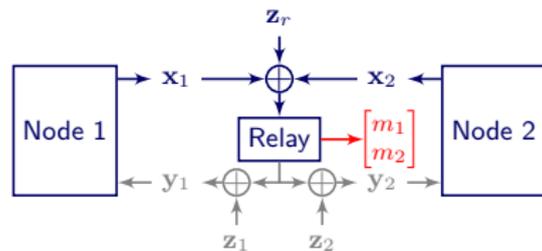
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- **Question:** How to improve?



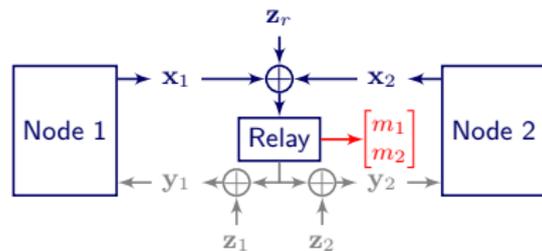
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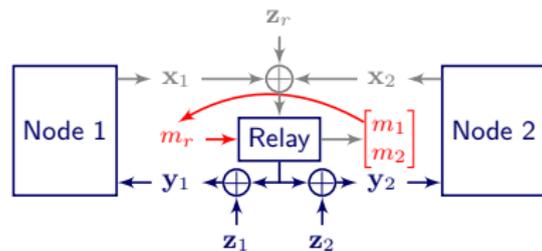
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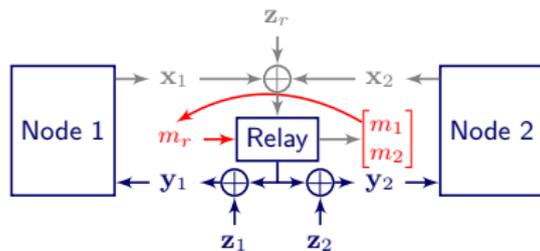
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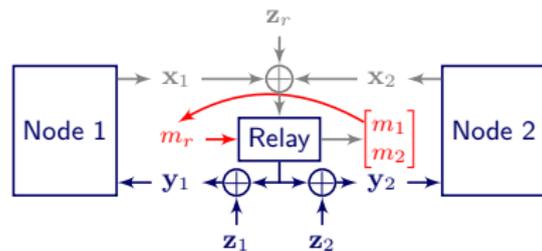
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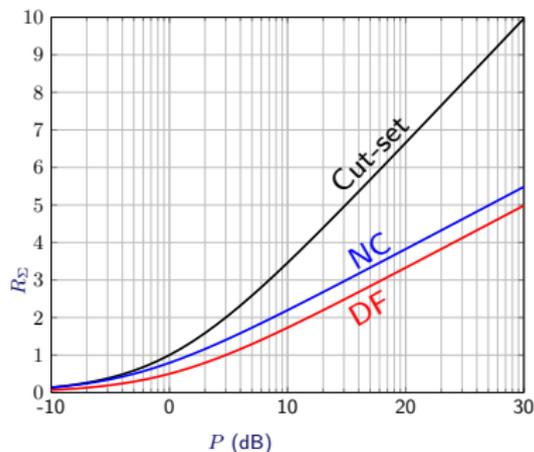
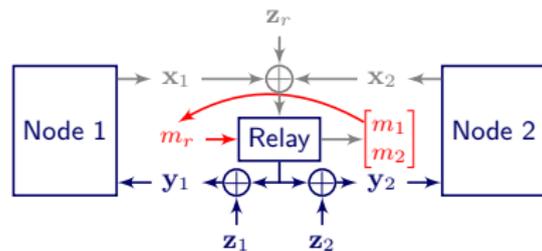
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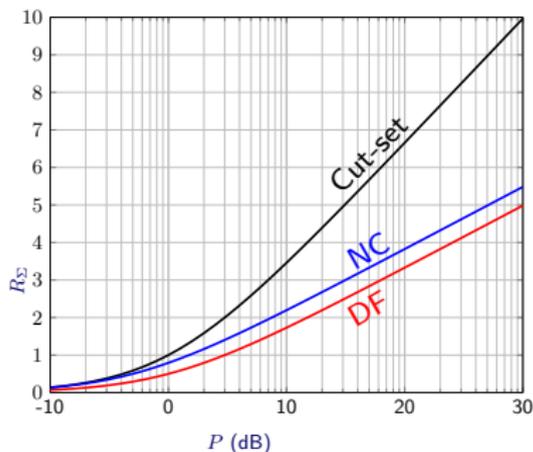
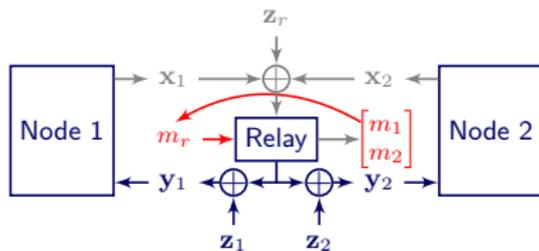
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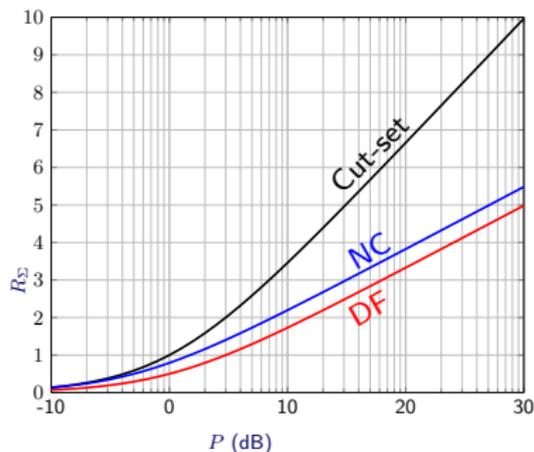
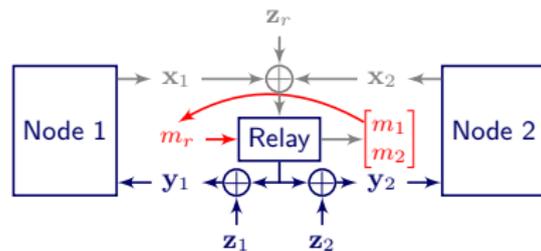
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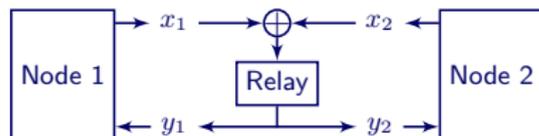
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 - **Further improvement?**



Improvement

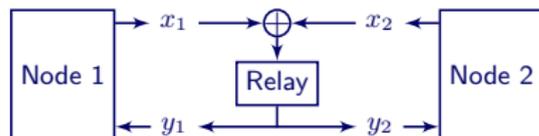
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Improvement

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Example: Binary additive noiseless channel

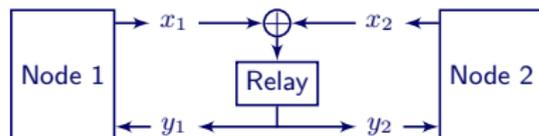


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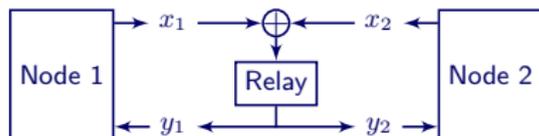


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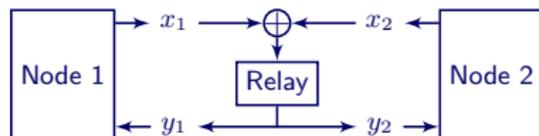


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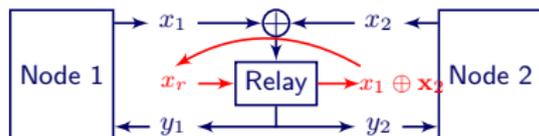


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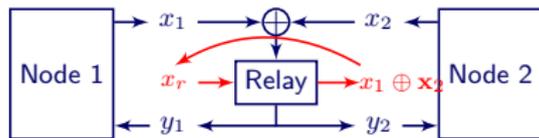


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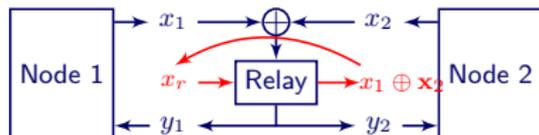
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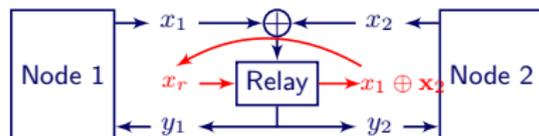


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[Avestimehr *et al.* 07]

Analyse using the **Linear Deterministic (LD) Model**

Outline

- ① Two-way channel
- ② Two-way relay channel
 - The linear-deterministic approximation
 - Lattice codes
- ③ Multi-way relay channel
 - Multi-pair Two-way Relay Channel
 - Multi-way Relay Channel
- ④ Multi-way Channel

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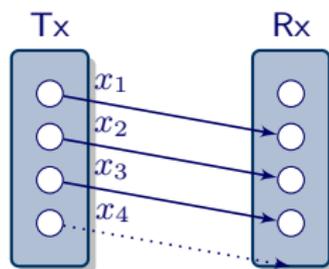
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Impact of noise modelled by clipping the least-significant bits

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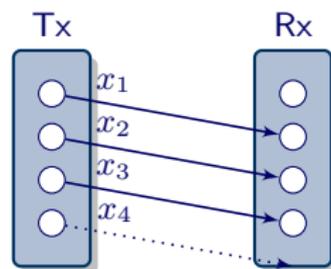
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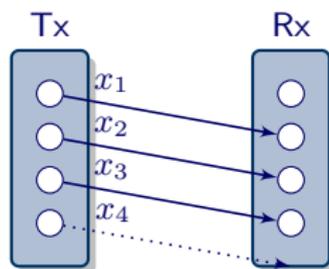
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$$\Rightarrow C \approx n = \left\lceil \frac{1}{2} \log(P) \right\rceil$$

- A good approximation at high SNR



Impact of noise modelled by clipping the least-significant bits

The linear-deterministic approximation

$$y \approx \underbrace{2^n \sum_{i=1}^n x_i 2^{-i}}_{\text{noiseless bits}} + \underbrace{\sum_{i=1}^{\infty} (x_{i+n} + z_i) 2^{-i}}_{\text{noisy bits}},$$

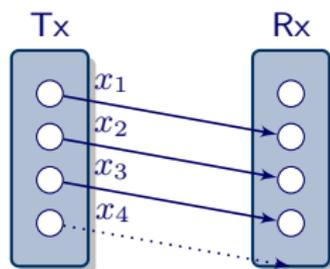
- Ignore the noisy bits \Rightarrow

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Deterministic P2P

A Gaussian P2P can be approximated as a binary channel with input $\mathbf{x} = [x_1, x_2, \dots, x_q]^T$ and output $\mathbf{y} = \mathbf{S}^{q-n} \mathbf{x}$ where $q \geq n = \left\lceil \frac{1}{2} \log(P) \right\rceil$ and

$\mathbf{S} = \begin{bmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{I} & \mathbf{0} \end{bmatrix}$ is a down-ward shift matrix.

The linear-deterministic approximation

Similar approximation can be applied to the:

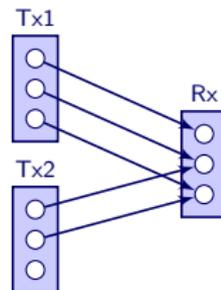
- MAC:

$$\mathbf{y} = \mathbf{S}^{q-n_1} \mathbf{x}_1 \oplus \mathbf{S}^{q-n_2} \mathbf{x}_2$$

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MAC with $n_1 \geq n_2$



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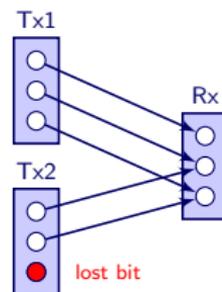
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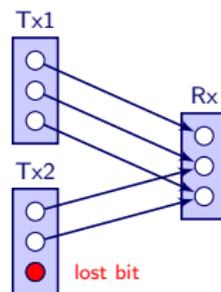
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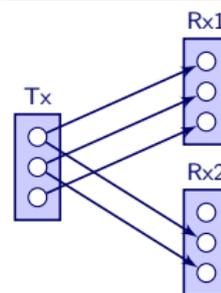
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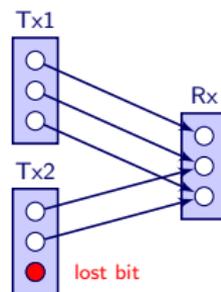
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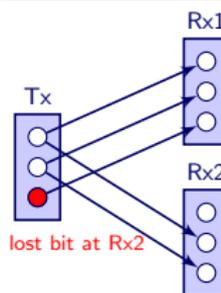
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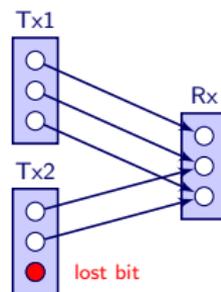
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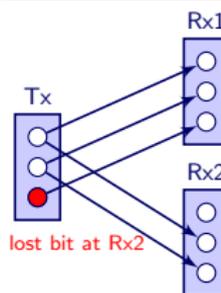
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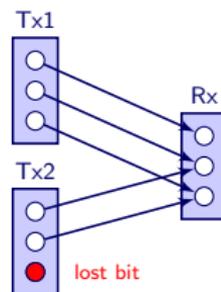
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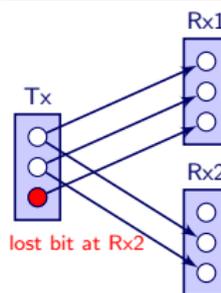
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- Obtained insights in an LD network can be extended to corresponding Gaussian networks

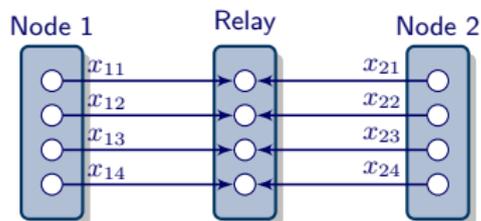
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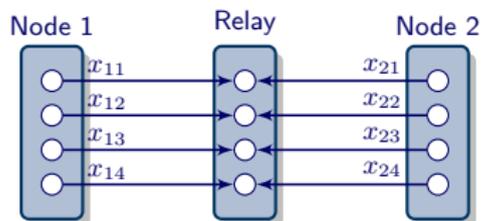


LD Two-way relay channel

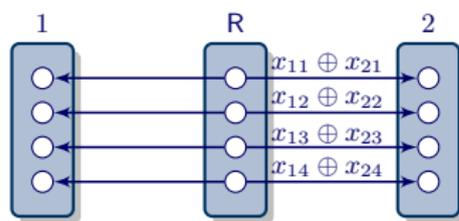


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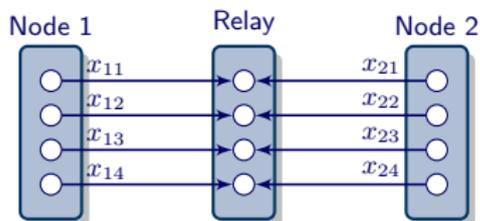


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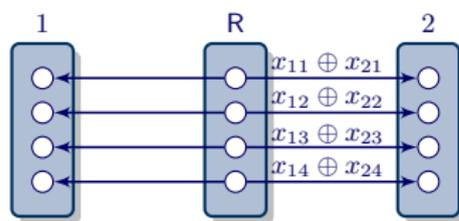


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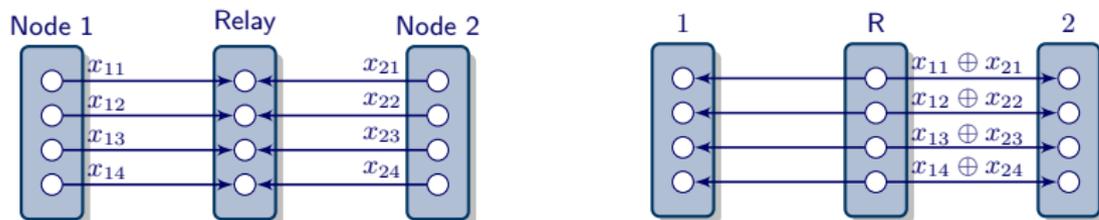


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Compute-forward (a.k.a. Physical-layer NC)

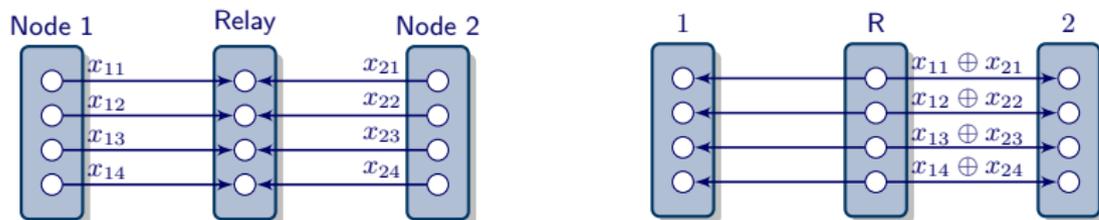
Relay decodes a function (sum) of the transmit signals, and forwards this sum. Each node can decode the desired signal using its own signal as side information.

LD Two-way relay channel



- Achievable rate $\max\{R_1, R_2\} \leq n = \lceil \frac{1}{2} \log(P) \rceil$.

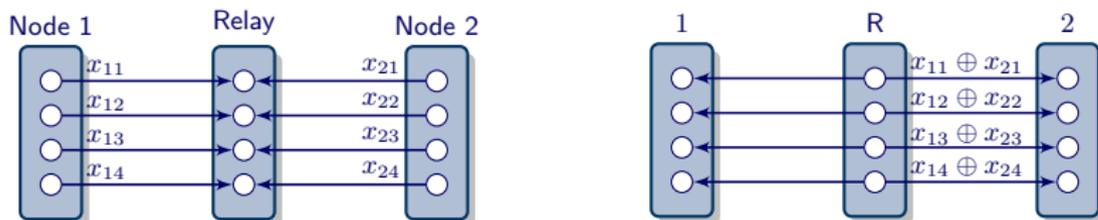
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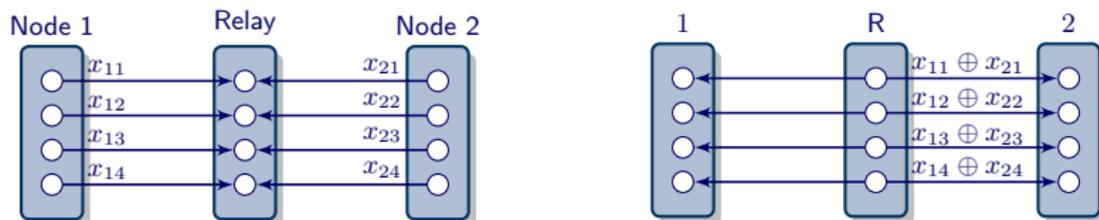
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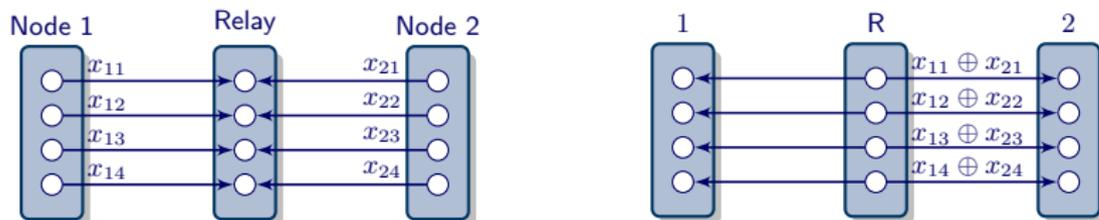


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How to extend to Gaussian two-way relay channels?

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- **Now what?**



Outline

- ① Two-way channel
- ② Two-way relay channel
 - The linear-deterministic approximation
 - Lattice codes
- ③ Multi-way relay channel
 - Multi-pair Two-way Relay Channel
 - Multi-way Relay Channel
- ④ Multi-way Channel

Computation

Definition (Computation)

Computation is the process of recovering a function of transmit codewords from a received sequence of symbols after sending the codewords through a channel.

How?

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How?

Computation can be accomplished by using **lattice codes**.

Idea: Codes located on a grid so that the sum of two codewords is a codeword.

Lattice-codes

Property: u_1 and u_2 lattice codes $\Rightarrow u_1 + u_2$ lattice code!

Examples:

- \mathbb{Z} is a one-dimensional lattice

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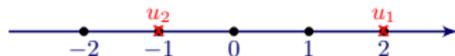
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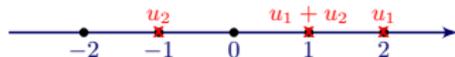


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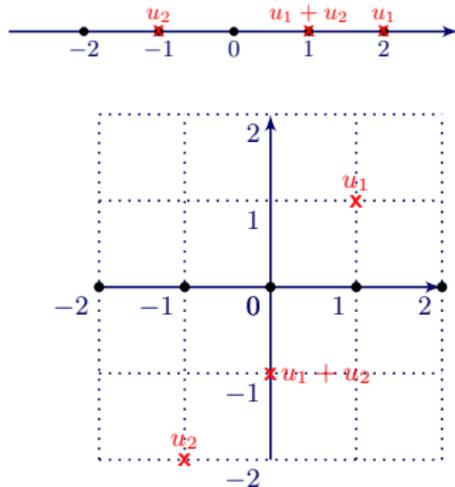
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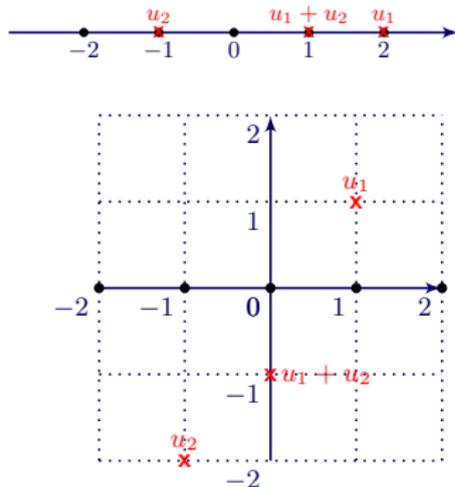


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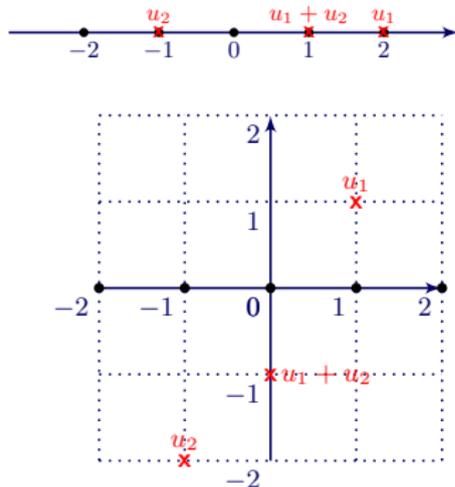
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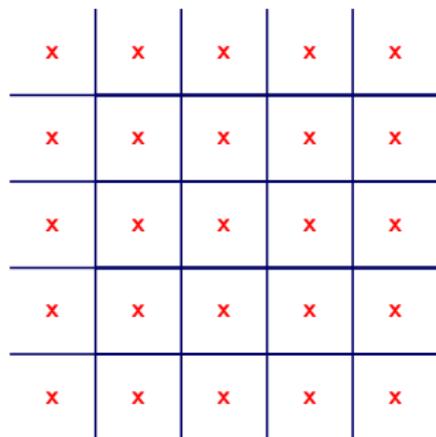
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What is a nested lattice code?

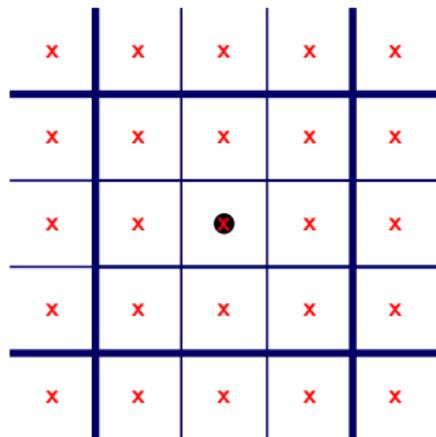
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- x : Fine lattice Λ_f



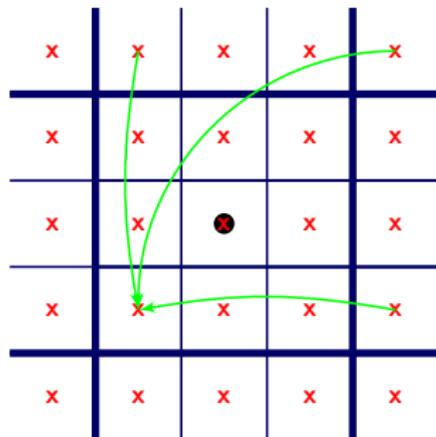
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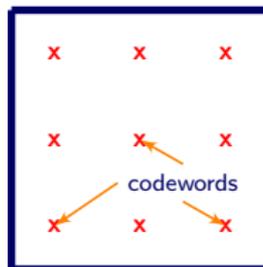
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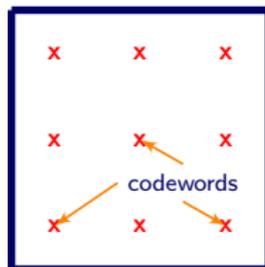
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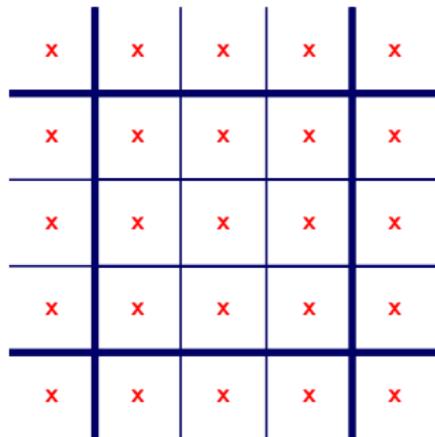
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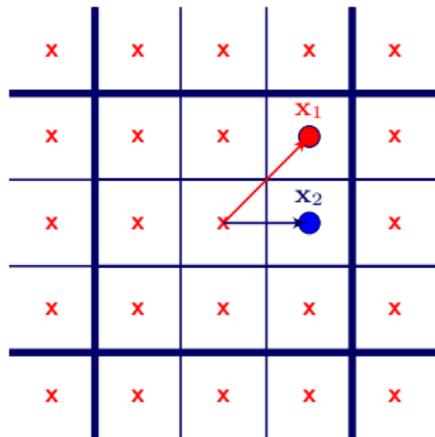
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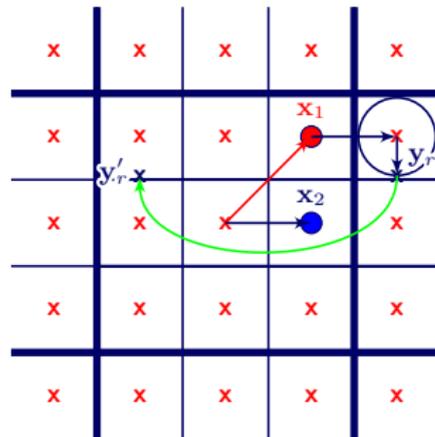
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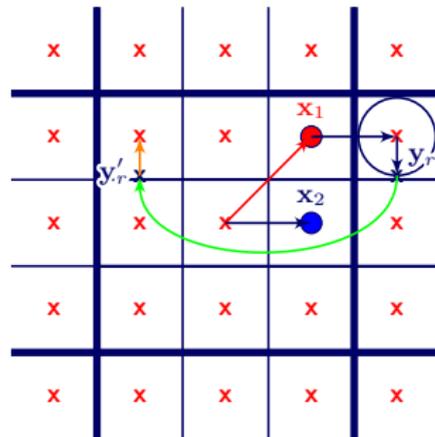
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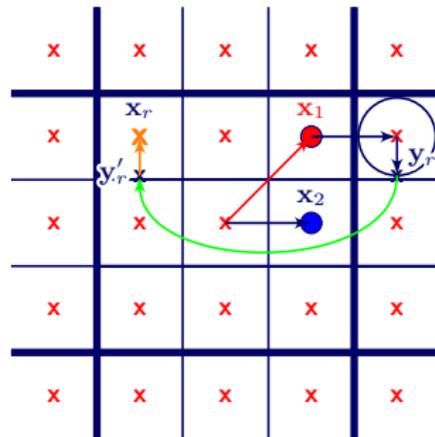
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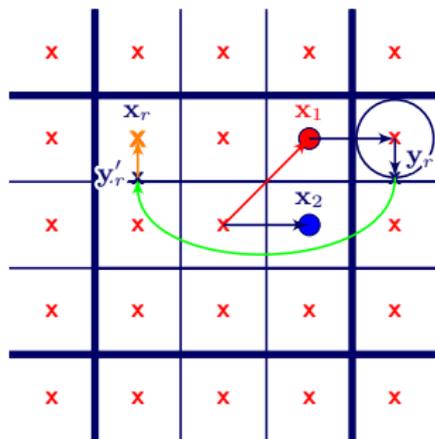


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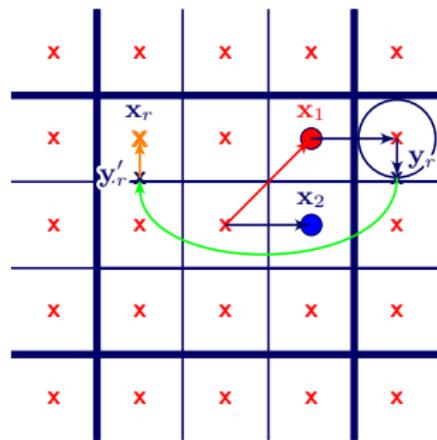
Remarks:

- \mathbf{x}_r belongs to the same nested lattice codebook
- $\Rightarrow R_r = R$ (same rate as \mathbf{x}_1 and \mathbf{x}_2)



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- decode to the nearest codeword,
- **relay obtains**
 $\mathbf{x}_r = (\mathbf{x}_1 + \mathbf{x}_2) \bmod \Lambda_c$



Remarks:

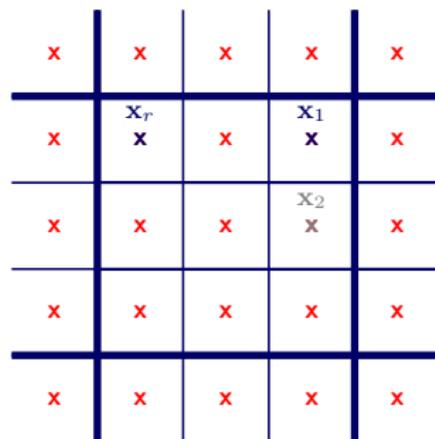
- \mathbf{x}_r belongs to the same nested lattice codebook
- $\Rightarrow R_r = R$ (same rate as \mathbf{x}_1 and \mathbf{x}_2)

Computation rate [Nazer & Gastpar 11]

Relay can compute $(\mathbf{x}_1 + \mathbf{x}_2) \bmod \Lambda_c$ as long as $R \leq [\frac{1}{2} \log (\frac{1}{2} + P)]^+$.

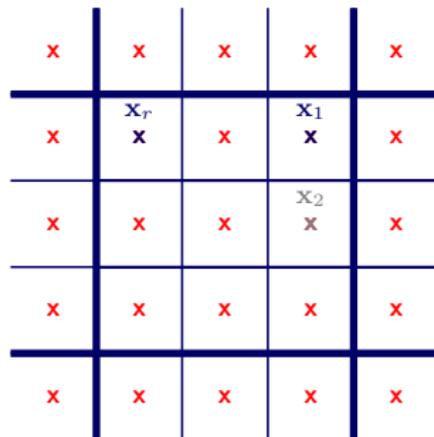
Back to the two-way relay channel

- Relay sends x_r (rate R),



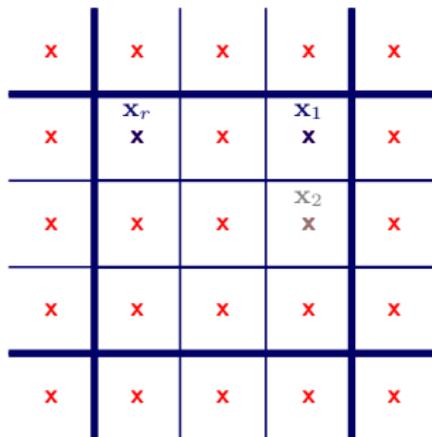
Back to the two-way relay channel

- Relay sends \mathbf{x}_r (rate R),
- node i decodes $\mathbf{x}_r \Rightarrow R \leq \frac{1}{2} \log(1 + P)$.



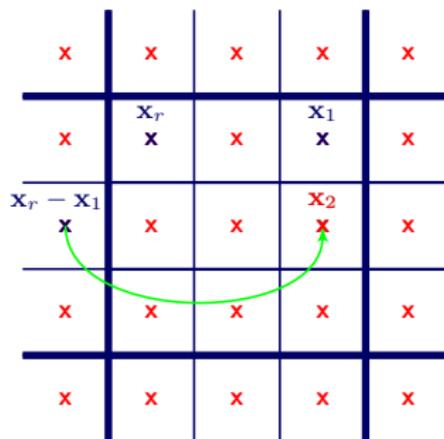
Back to the two-way relay channel

- Relay sends \mathbf{x}_r (rate R),
- node i decodes $\mathbf{x}_r \Rightarrow R \leq \frac{1}{2} \log(1 + P)$.
- Can \mathbf{x}_j be recovered from \mathbf{x}_r ?



Back to the two-way relay channel

- Relay sends \mathbf{x}_r (rate R),
- node i decodes $\mathbf{x}_r \Rightarrow R \leq \frac{1}{2} \log(1 + P)$.
- Can \mathbf{x}_j be recovered from \mathbf{x}_r ?
- Yes! Node i calculates $(\mathbf{x}_r - \mathbf{x}_i) \bmod \Lambda_c$,

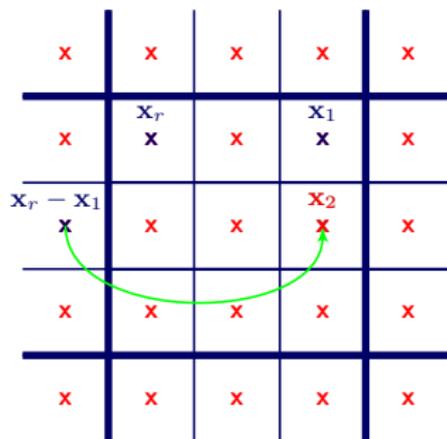


Back to the two-way relay channel

- Relay sends \mathbf{x}_r (rate R),
- node i decodes $\mathbf{x}_r \Rightarrow R \leq \frac{1}{2} \log(1 + P)$.
- **Can \mathbf{x}_j be recovered from \mathbf{x}_r ?**
- **Yes!** Node i calculates $(\mathbf{x}_r - \mathbf{x}_i) \bmod \Lambda_c$,
- CF rate constraints $R = \max\{R_1, R_2\}$

$$R \leq \left[\frac{1}{2} \log \left(\frac{1}{2} + P \right) \right]^+ \quad \text{uplink}$$

$$R \leq \frac{1}{2} \log(1 + P) \quad \text{downlink}$$

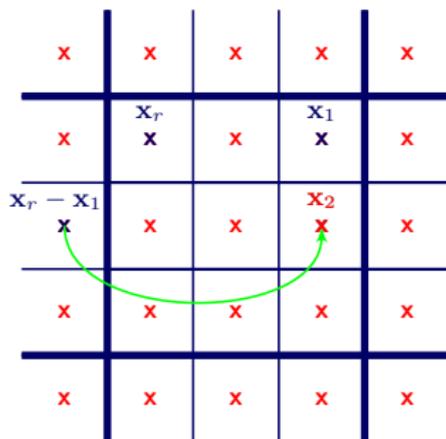


Back to the two-way relay channel

- Relay sends \mathbf{x}_r (rate R),
- node i decodes $\mathbf{x}_r \Rightarrow R \leq \frac{1}{2} \log(1 + P)$.
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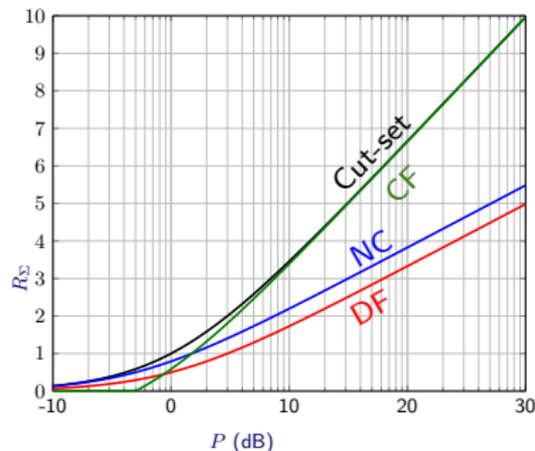
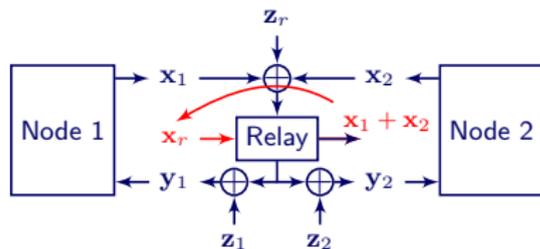
Max sum-rate for CF: $R_{CF} = \left[\log \left(\frac{1}{2} + P \right) \right]^+$

CF sum-rate

$$R_{DF} = \frac{1}{2} \log(1 + P)$$

$$R_{NC} = \frac{1}{2} \log(1 + 2P)$$

$$R_{CF} = \left[\log\left(\frac{1}{2} + P\right) \right]^+.$$



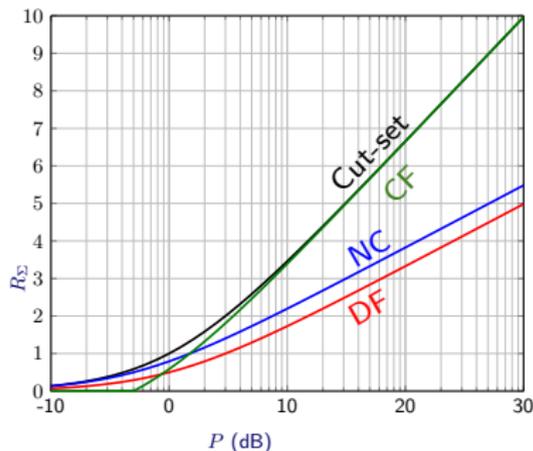
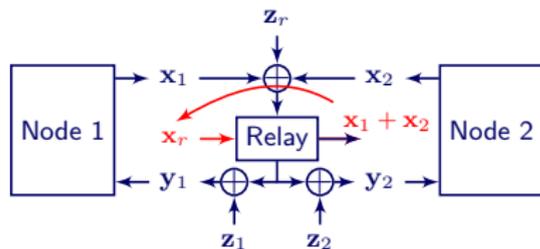
CF sum-rate

$$R_{DF} = \frac{1}{2} \log(1 + P)$$

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$$R_{CF} = \left[\log\left(\frac{1}{2} + P\right) \right]^+.$$

- CF doubles the rate (at high SNR),



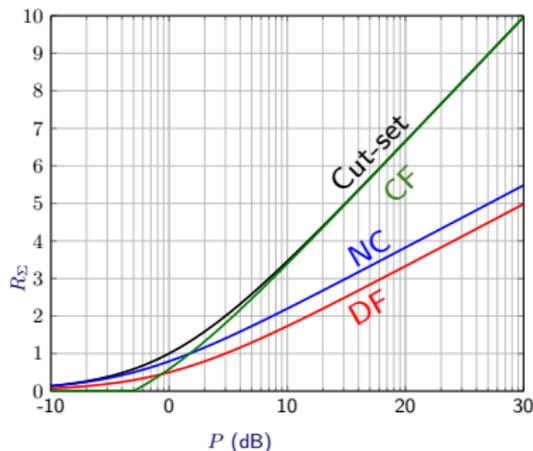
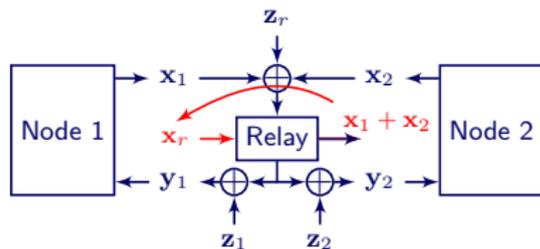
CF sum-rate

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- CF doubles the rate (at high SNR),
- + Close to capacity at high SNR
- zero rate at low SNR



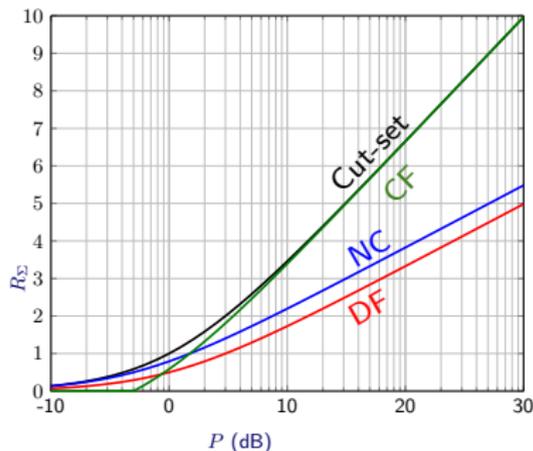
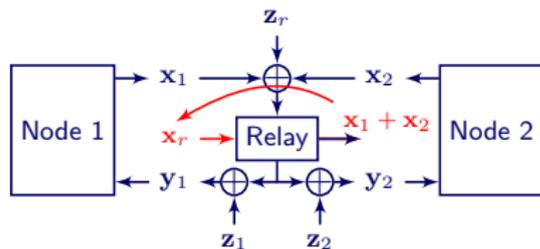
CF sum-rate

$$R_{DF} = \frac{1}{2} \log(1 + P)$$

$$R_{NC} = \frac{1}{2} \log(1 + 2P)$$

$$R_{CF} = \left[\log\left(\frac{1}{2} + P\right) \right]^+.$$

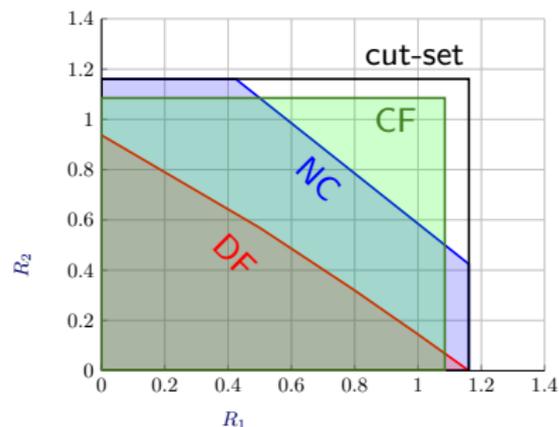
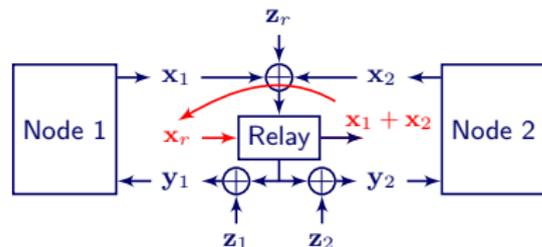
- CF doubles the rate (at high SNR),
- + Close to capacity at high SNR
- zero rate at low SNR
- Best scheme: combination of CF and NC.



CF rate region

- CF achieves

$$R_1, R_2 \leq \left[\frac{1}{2} \log \left(\frac{1}{2} + P \right) \right]^+.$$

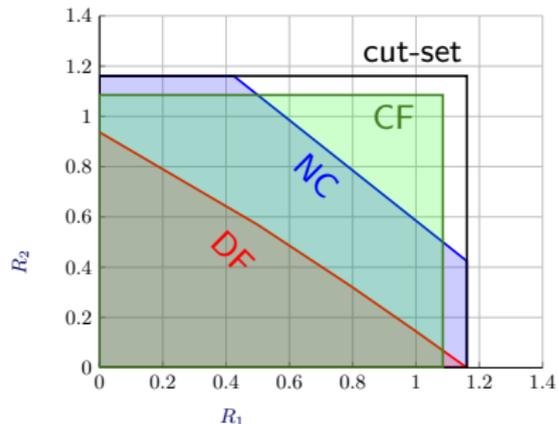
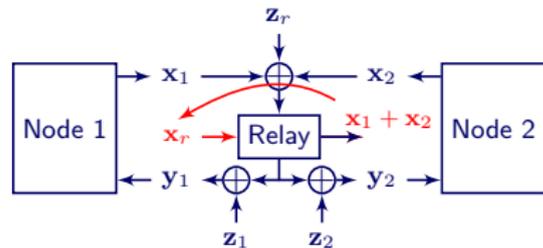


CF rate region

- CF achieves

$$R_1, R_2 \leq \left[\frac{1}{2} \log \left(\frac{1}{2} + P \right) \right]^+.$$

- highest sum-rate

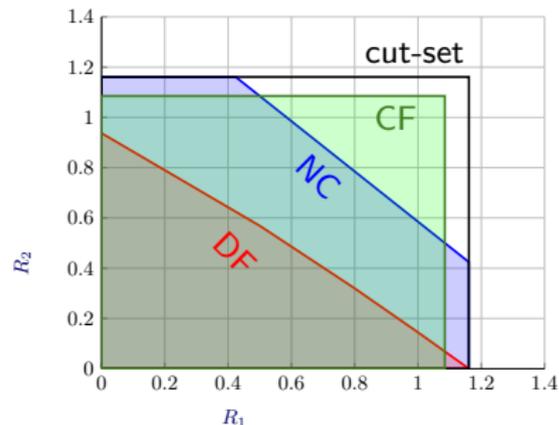
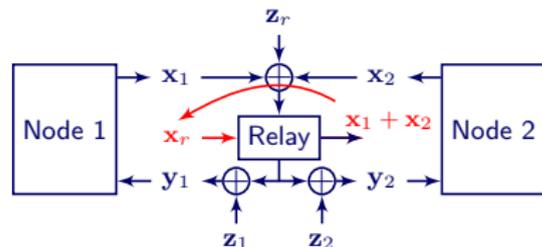


CF rate region

- CF achieves

$$R_1, R_2 \leq \left[\frac{1}{2} \log \left(\frac{1}{2} + P \right) \right]^+.$$

- highest sum-rate
- But:** NC is better in some regions,

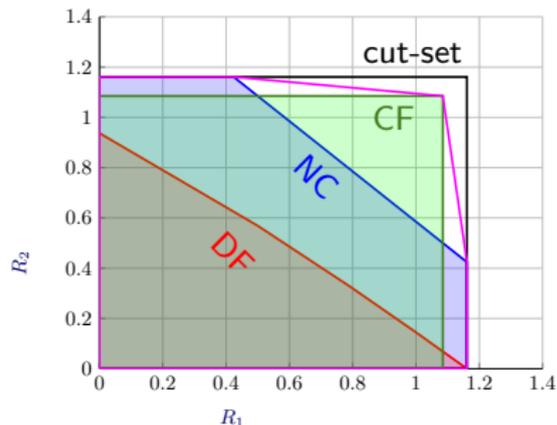
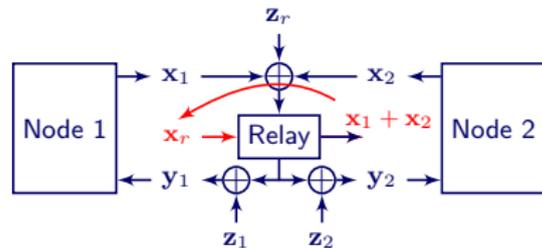


CF rate region

- CF achieves

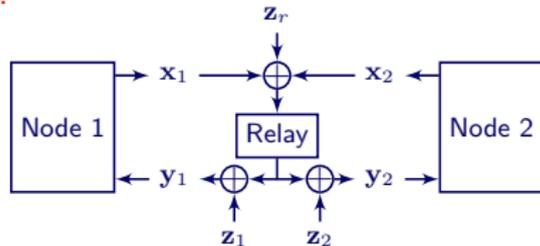
$$R_1, R_2 \leq \left[\frac{1}{2} \log \left(\frac{1}{2} + P \right) \right]^+.$$

- highest sum-rate
- But:** NC is better in some regions,
- Best:** Time-sharing NC and CF,



CF rate region

What happens if $P_1 \geq P_2$ and P_r arbitrary?



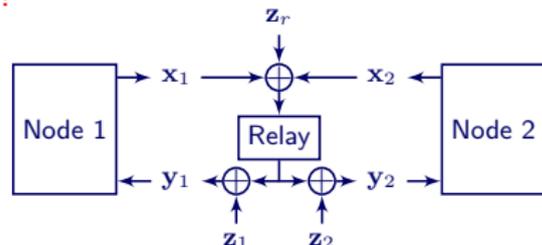
CF rate region

What happens if $P_1 \geq P_2$ and P_r arbitrary?

- Reduce P_1 to P_2 and use CF:

$$R_1, R_2 \leq \left[\frac{1}{2} \log \left(\frac{1}{2} + P_2 \right) \right]^+$$

$$R_1, R_2 \leq \frac{1}{2} \log (1 + P_r)$$



CF rate region

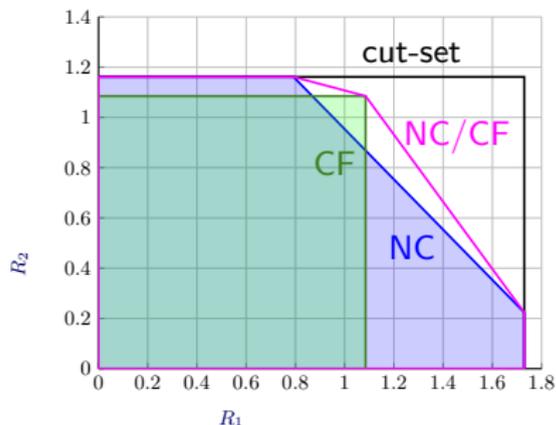
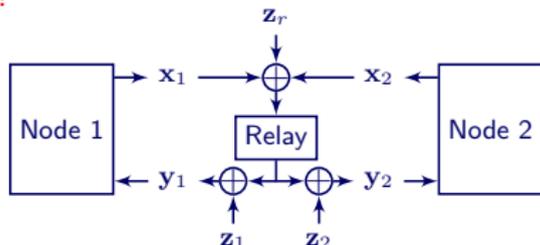
What happens if $P_1 \geq P_2$ and P_r arbitrary?

- Reduce P_1 to P_2 and use CF:

$$R_1, R_2 \leq \left[\frac{1}{2} \log \left(\frac{1}{2} + P_2 \right) \right]^+$$

$$R_1, R_2 \leq \frac{1}{2} \log (1 + P_r)$$

- Time-sharing NC and CF,



CF rate region

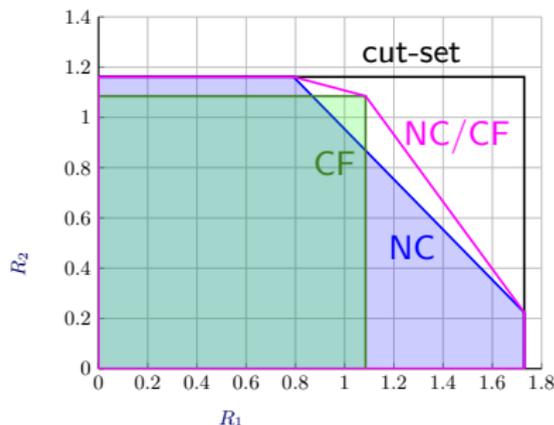
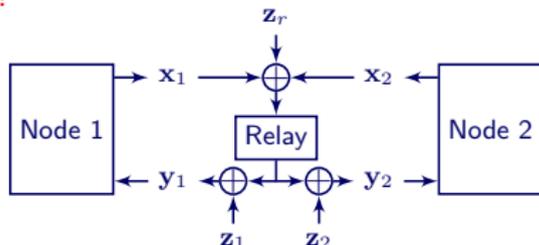
What happens if $P_1 \geq P_2$ and P_r arbitrary?

- Reduce P_1 to P_2 and use CF:

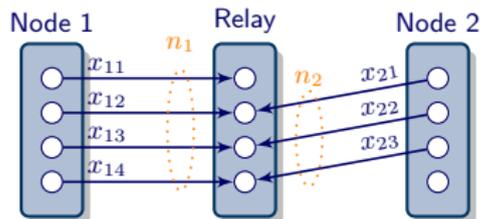
$$R_1, R_2 \leq \left[\frac{1}{2} \log \left(\frac{1}{2} + P_2 \right) \right]^+$$

$$R_1, R_2 \leq \frac{1}{2} \log (1 + P_r)$$

- Time-sharing NC and CF,
- Far from sum-capacity!
- Can we do better?

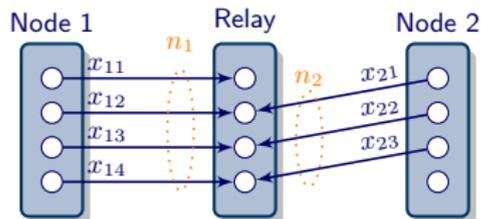


Back to LD

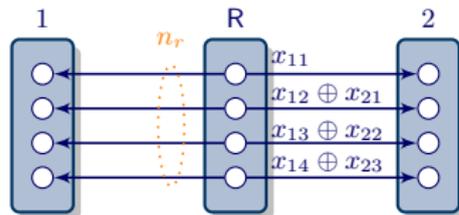


- $P_1 \geq P_2 \Rightarrow n_1 \geq n_2$,
- use n_2 bits for CF,
- use $n_1 - n_2$ bits for DF,
- R_1 and R_2 achievable if $R_1 \leq n_1$
and $R_2 \leq n_2$

Back to LD

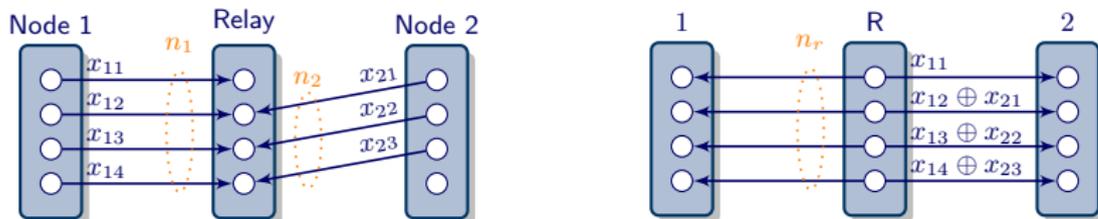


- $P_1 \geq P_2 \Rightarrow n_1 \geq n_2$,
- use n_2 bits for CF,
- use $n_1 - n_2$ bits for DF,
- R_1 and R_2 achievable if $R_1 \leq n_1$ and $R_2 \leq n_2$



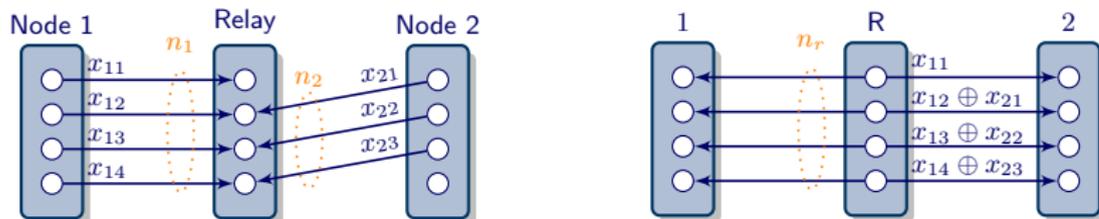
- n_r bit-pipes,
- node 2 gets x_1 ,
- node 1 gets x_2 ,
- R_1 and R_2 achievable if $\max\{R_1, R_2\} \leq n_r$,

LD Two-way relay channel



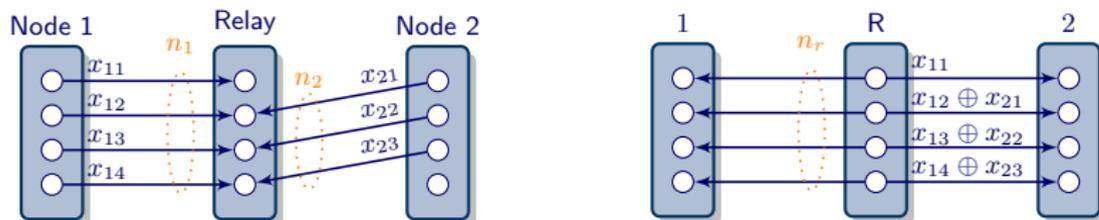
- **Achievable rates:** $R_1 \leq \min\{n_1, n_r\}$ and $R_2 \leq \min\{n_2, n_r\}$.

LD Two-way relay channel



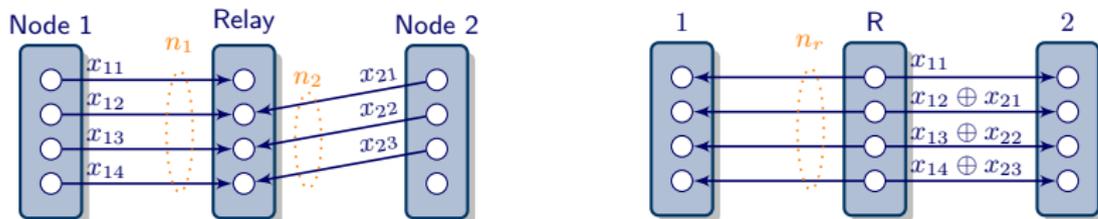
- **Achievable rates:** $R_1 \leq \min\{n_1, n_r\}$ and $R_2 \leq \min\{n_2, n_r\}$.
- $\Rightarrow R_1 \leq \lceil \frac{1}{2} \log(\min\{P_1, P_r\}) \rceil$, $R_2 \leq \lceil \frac{1}{2} \log(\min\{P_2, P_r\}) \rceil$,

LD Two-way relay channel



- **Achievable rates:** $R_1 \leq \min\{n_1, n_r\}$ and $R_2 \leq \min\{n_2, n_r\}$.
- $\Rightarrow R_1 \leq \lceil \frac{1}{2} \log(\min\{P_1, P_r\}) \rceil$, $R_2 \leq \lceil \frac{1}{2} \log(\min\{P_2, P_r\}) \rceil$,
- asymmetric rates can be achieved by combining CF and DF

LD Two-way relay channel



- **Achievable rates:** $R_1 \leq \min\{n_1, n_r\}$ and $R_2 \leq \min\{n_2, n_r\}$.
- ⇒ $R_1 \leq \lceil \frac{1}{2} \log(\min\{P_1, P_r\}) \rceil$, $R_2 \leq \lceil \frac{1}{2} \log(\min\{P_2, P_r\}) \rceil$,
- asymmetric rates can be achieved by combining CF and DF

How to extend to Gaussian two-way relay channels?

CF rate region

Combination of CF and DF

- Node 1: $\mathbf{x}_1 = \sqrt{P_d}\mathbf{x}_{1d} + \sqrt{P_c}x_{1c}$

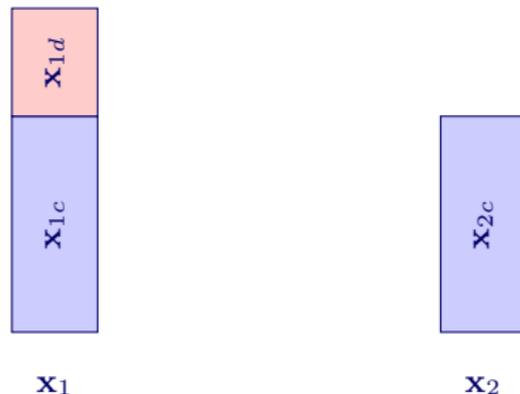


\mathbf{x}_1

CF rate region

Combination of CF and DF

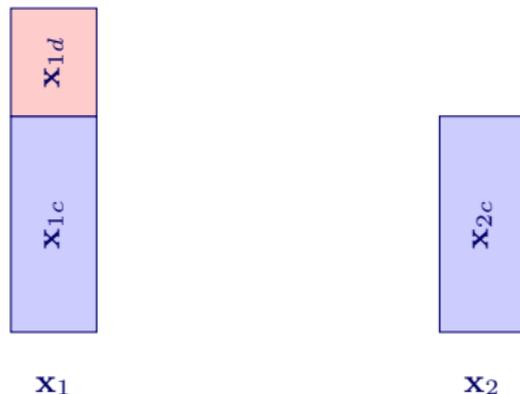
- Node 1: $\mathbf{x}_1 = \sqrt{P_d}\mathbf{x}_{1d} + \sqrt{P_c}x_{1c}$
- Node 2: $\mathbf{x}_2 = \sqrt{P_c}\mathbf{x}_{2c}$



CF rate region

Combination of CF and DF

- Node 1: $\mathbf{x}_1 = \sqrt{P_d}\mathbf{x}_{1d} + \sqrt{P_c}x_{1c}$
- Node 2: $\mathbf{x}_2 = \sqrt{P_c}\mathbf{x}_{2c}$
- Powers: $P_c + P_d \leq P_1, P_c \leq P_2$.

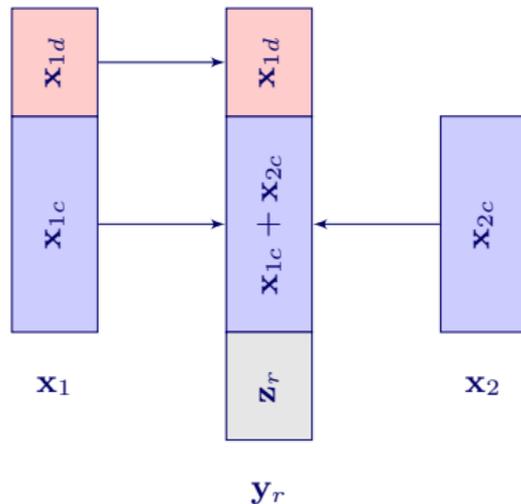


CF rate region

Combination of CF and DF

- Node 1: $\mathbf{x}_1 = \sqrt{P_d}\mathbf{x}_{1d} + \sqrt{P_c}x_{1c}$
- Node 2: $\mathbf{x}_2 = \sqrt{P_c}\mathbf{x}_{2c}$
- Powers: $P_c + P_d \leq P_1$, $P_c \leq P_2$.
- Relay receives:

$$\mathbf{y}_r = \sqrt{P_d}\mathbf{x}_{1d} + \sqrt{P_c}(x_{1c} + x_{2c}) + \mathbf{z}_r,$$



CF rate region

Combination of CF and DF

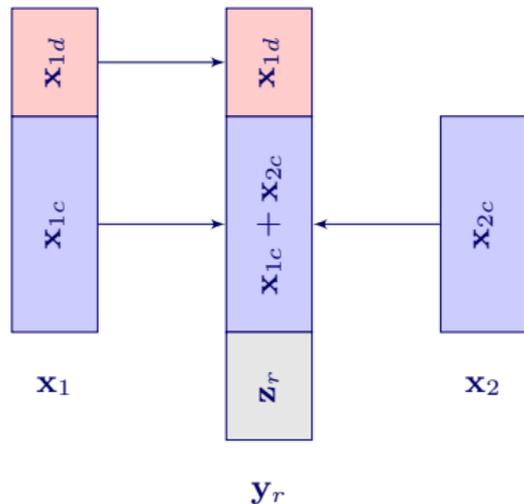
- Node 1: $\mathbf{x}_1 = \sqrt{P_d}\mathbf{x}_{1d} + \sqrt{P_c}x_{1c}$
- Node 2: $\mathbf{x}_2 = \sqrt{P_c}\mathbf{x}_{2c}$
- Powers: $P_c + P_d \leq P_1$, $P_c \leq P_2$.
- Relay receives:

$$\mathbf{y}_r = \sqrt{P_d}\mathbf{x}_{1d} + \sqrt{P_c}(x_{1c} + x_{2c}) + \mathbf{z}_r,$$

- decodes $\mathbf{x}_{rd} = \mathbf{x}_{1d}$ followed by
 $\mathbf{x}_{rc} = \mathbf{x}_{1c} + \mathbf{x}_{2c}$,

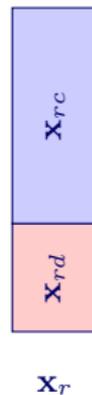
$$R_d \leq \frac{1}{2} \log \left(1 + \frac{P_d}{1 + 2P_c} \right)$$

$$R_c \leq \left[\frac{1}{2} \log \left(\frac{1}{2} + P_c \right) \right]^+$$



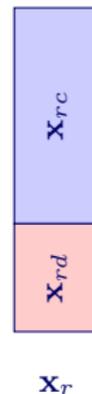
CF rate region

- $\mathbf{x}_r = \sqrt{P_{rc}}\mathbf{x}_{rc} + \sqrt{P_{rd}}\mathbf{x}_{rd}$,



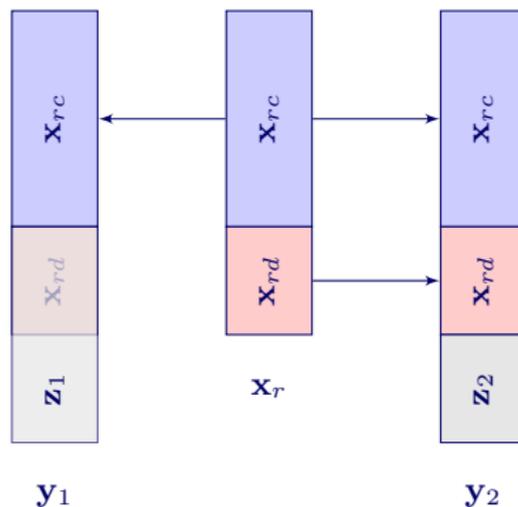
CF rate region

- $\mathbf{x}_r = \sqrt{P_{rc}}\mathbf{x}_{rc} + \sqrt{P_{rd}}\mathbf{x}_{rd}$,
- Powers: $P_{rc} + P_{rd} \leq P_r$,



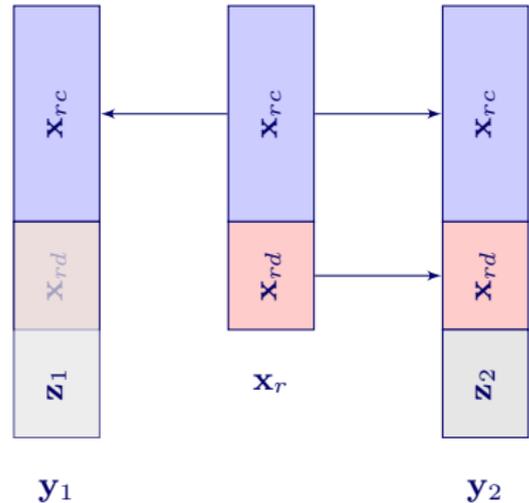
CF rate region

- $\mathbf{x}_r = \sqrt{P_{rc}}\mathbf{x}_{rc} + \sqrt{P_{rd}}\mathbf{x}_{rd}$,
- Powers: $P_{rc} + P_{rd} \leq P_r$,
- Node i receives
 $\mathbf{y}_i = \sqrt{P_{rc}}\mathbf{x}_{rc} + \sqrt{P_{rd}}\mathbf{x}_{rd} + \mathbf{z}_i$



CF rate region

- $\mathbf{x}_r = \sqrt{P_{rc}}\mathbf{x}_{rc} + \sqrt{P_{rd}}\mathbf{x}_{rd}$,
- Powers: $P_{rc} + P_{rd} \leq P_r$,
- Node i receives
 $\mathbf{y}_i = \sqrt{P_{rc}}\mathbf{x}_{rc} + \sqrt{P_{rd}}\mathbf{x}_{rd} + \mathbf{z}_i$
- both nodes decode \mathbf{x}_{rc} , and extract desired CF signal

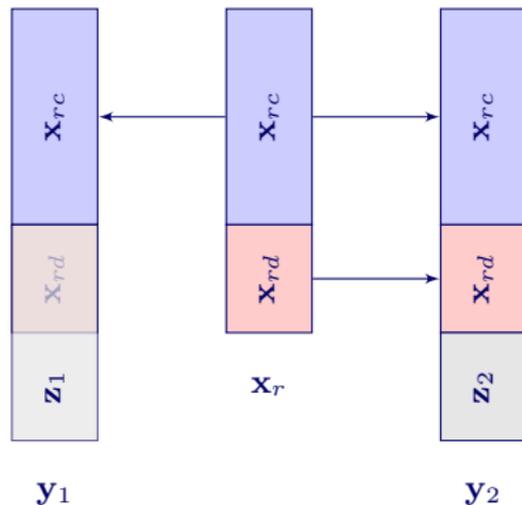


CF rate region

- $\mathbf{x}_r = \sqrt{P_{rc}}\mathbf{x}_{rc} + \sqrt{P_{rd}}\mathbf{x}_{rd}$,
- Powers: $P_{rc} + P_{rd} \leq P_r$,
- Node i receives
 $\mathbf{y}_i = \sqrt{P_{rc}}\mathbf{x}_{rc} + \sqrt{P_{rd}}\mathbf{x}_{rd} + \mathbf{z}_i$
- both nodes decode \mathbf{x}_{rc} , and extract desired CF signal
- and node 2 decodes \mathbf{x}_{rd}

$$R_c \leq \frac{1}{2} \log \left(1 + \frac{P_{rc}}{1 + P_{rd}} \right)$$

$$R_d \leq \frac{1}{2} \log(1 + P_{rd})$$



CF/DF

Combining CF and DF achieves $R_1 = R_c + R_d$, $R_2 = R_c$, where

$$R_d \leq \min \left\{ \frac{1}{2} \log \left(1 + \frac{P_d}{1 + 2P_c} \right), \frac{1}{2} \log (1 + P_d) \right\}$$

$$R_c \leq \min \left\{ \left[\frac{1}{2} \log \left(\frac{1}{2} + P_c \right) \right]^+, \frac{1}{2} \log \left(1 + \frac{P_c}{1 + P_d} \right) \right\}$$

for $P_c + P_d \leq P_1$, $P_c \leq P_2$, $P_{rc} + P_{rd} \leq P_r$.

CF/DF

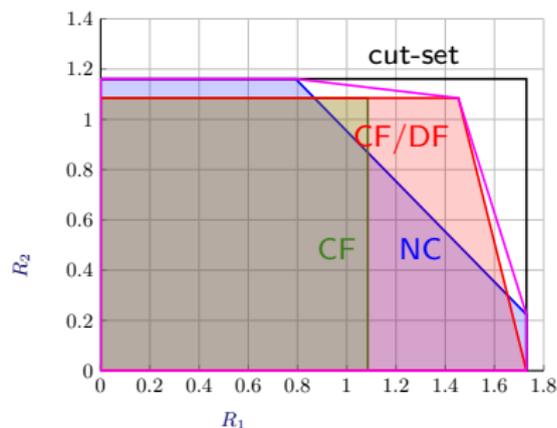
Combining CF and DF achieves $R_1 = R_c + R_d$, $R_2 = R_c$, where

$$R_d \leq \min \left\{ \frac{1}{2} \log \left(1 + \frac{P_d}{1 + 2P_c} \right), \frac{1}{2} \log (1 + P_d) \right\}$$

$$R_c \leq \min \left\{ \left[\frac{1}{2} \log \left(\frac{1}{2} + P_c \right) \right]^+, \frac{1}{2} \log \left(1 + \frac{P_c}{1 + P_d} \right) \right\}$$

for $P_c + P_d \leq P_1$, $P_c \leq P_2$, $P_{rc} + P_{rd} \leq P_r$.

Achieves capacity within a constant gap

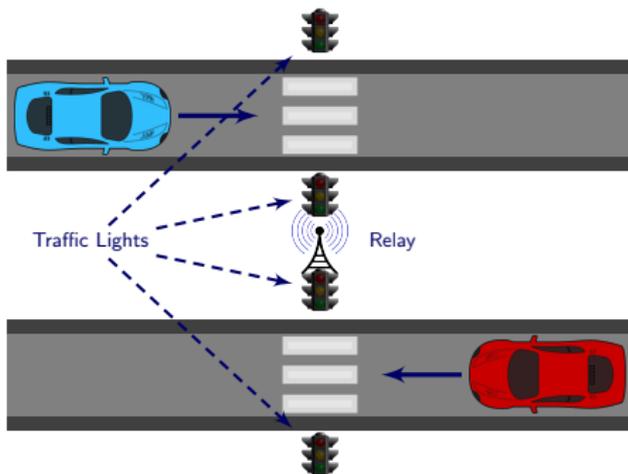


Summary

- Key ingredient: **CF using lattice codes** (physical-layer network coding)
- Best scheme: Combination of CF, DF, and NC,
- Sum-capacity scales as $\log(P)$, (optimal scaling)

Summary

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- Best scheme: Combination of CF, DF, and NC,
- Sum-capacity scales as $\log(P)$, (optimal scaling)
- **Consequence:** Using a relay as a two-way relay doubles the rate of communication, which is of interest for applications with a delay constraint



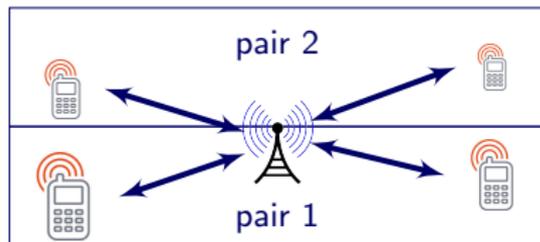
Outline

- ① Two-way channel
- ② Two-way relay channel
 - The linear-deterministic approximation
 - Lattice codes
- ③ Multi-way relay channel
 - Multi-pair Two-way Relay Channel
 - Multi-way Relay Channel
- ④ Multi-way Channel

Multi-pair Two-way Relay Channel

Multiple users communicating pair-wise through a relay [S. *et al.* 09]

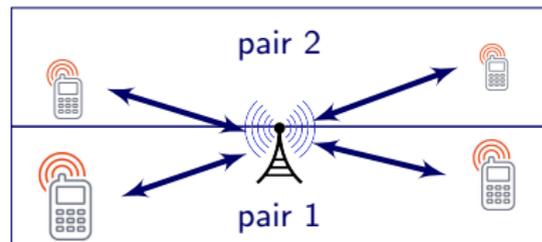
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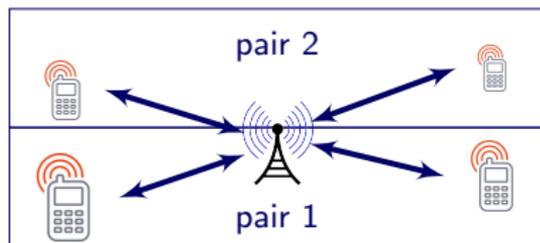
- Combination of CF and DF,
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- Combination of CF and DF,
- In each pair (i_k, j_k) , one node sends $\mathbf{x}_{i_k d} + \mathbf{x}_{i_k c}$ and the other $\mathbf{x}_{j_k c}$,
- Relay decodes $\mathbf{x}_{i_k d}$ then $\mathbf{x}_{i_k c} + \mathbf{x}_{j_k c}$ of pair k , then pair $k' \dots$

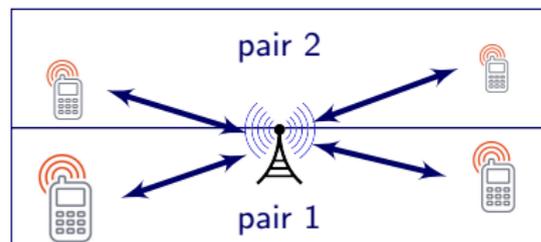


$$R_{kd} \leq \frac{1}{2} \log \left(1 + \frac{P_{kd}}{1 + 2P_{kc} + \sum_{\ell=k+1}^K (P_{\ell d} + 2P_{\ell c})} \right)$$

$$R_{kc} \leq \left[\frac{1}{2} \log \left(\frac{1}{2} + \frac{P_{kc}}{1 + \sum_{\ell=k+1}^K (P_{\ell d} + 2P_{\ell c})} \right) \right]^+$$

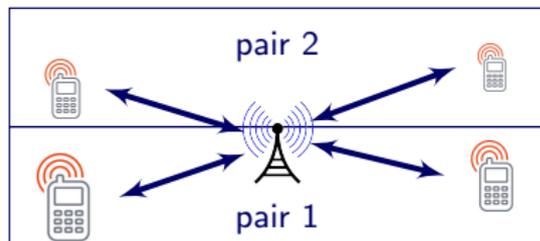
Multi-pair Two-way Relay Channel

- Relay forwards a scaled sum of the decoded signals



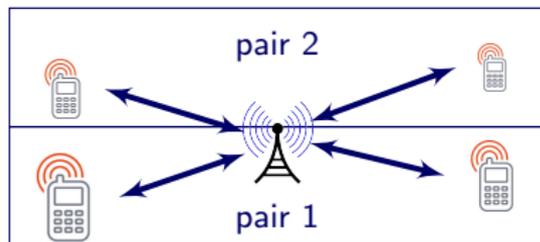
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Multi-pair Two-way Relay Channel

- Relay forwards a scaled sum of the decoded signals
- Nodes in pair k decode the signals successively, starting with pair 1 ending with pair k
- within a constant of the cut-set bound in the Gaussian case,



Remarks

The multi-pair case is similar to the single pair case:

- Sum-rate scaling of $\log(P)$, (optimal scaling)
- Cut-set bounds are nearly tight. Achievability requires:
- **CF**: Bi-directional communication between two nodes via the relay, and
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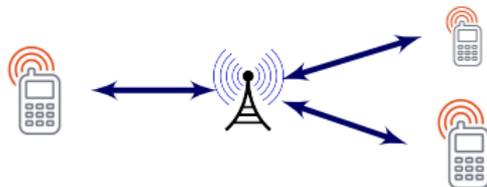
Do we require new ingredients in multi-user cases?

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Multi-way Relay Channel

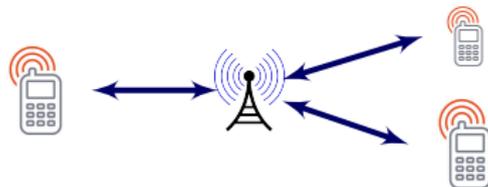
Channel with **multiple users** communicating in all directions via a **relay** [Lee & Lim 09]



Multi-way Relay Channel

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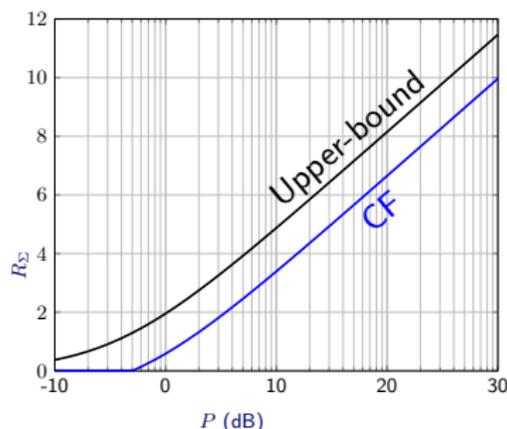
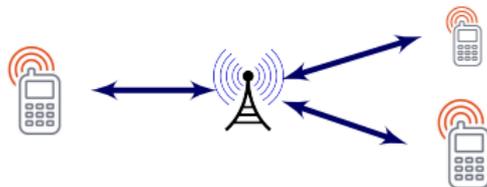
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Multi-way Relay Channel

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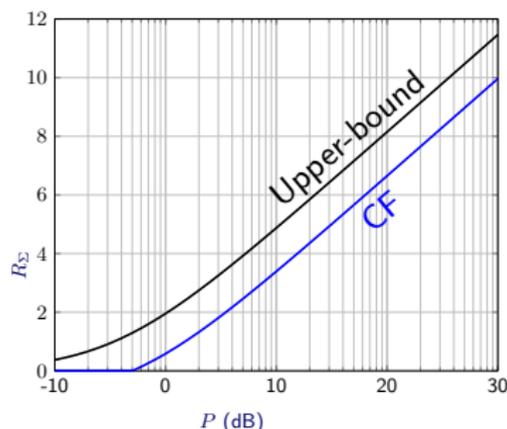
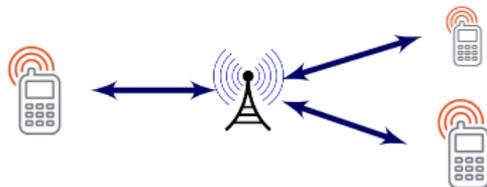
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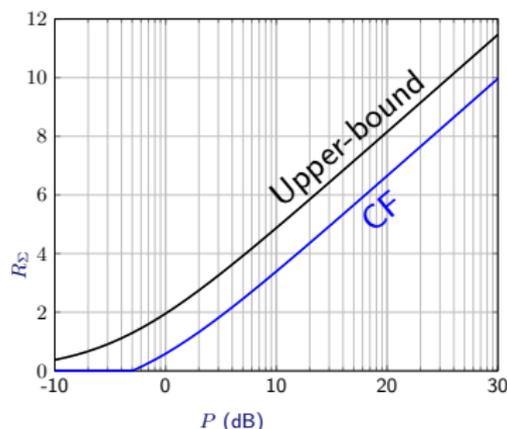
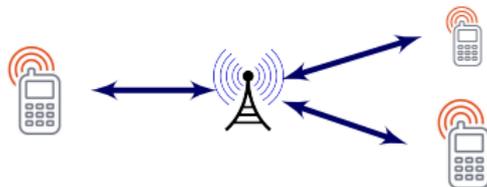
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- Cut-set bound scaling of $\frac{3}{2} \log(P)$
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- **Cut-set bounds are not tight!**
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Multi-way Relay Channel

- CF achieves optimal scaling as in the single and multi-pair case

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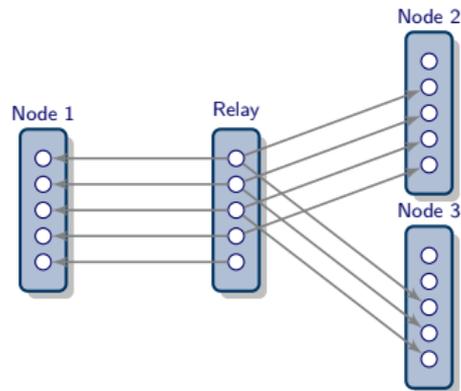
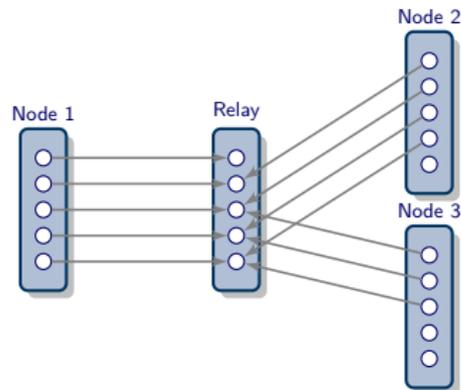
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- No!

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- Two-way: Bi-directional and uni-directional
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- No!
- Let us check the LD model

Multi-way Relay Channel

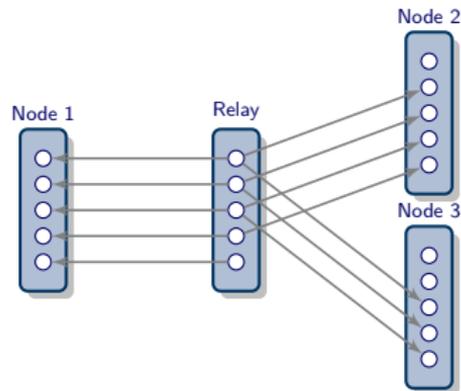
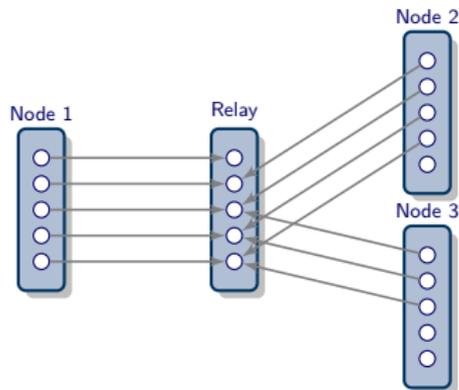
Outer bound: Cut-set and genie-aided.



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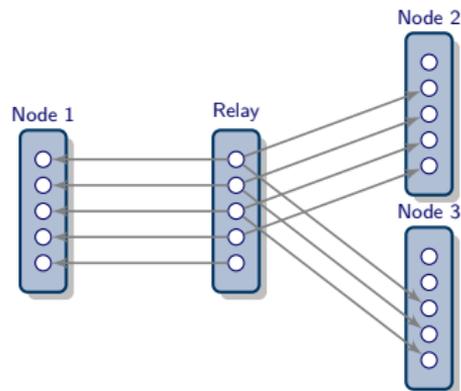
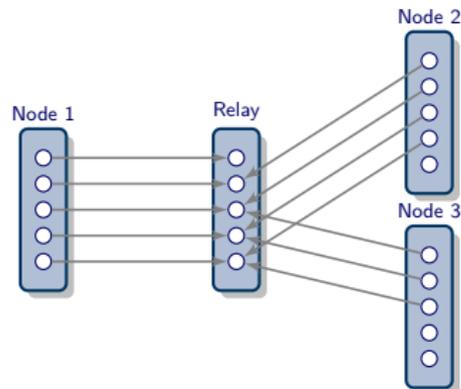
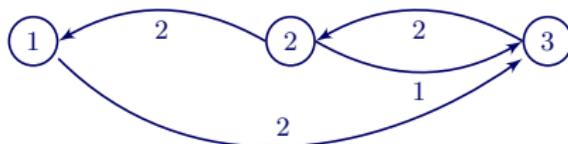
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Multi-way Relay Channel

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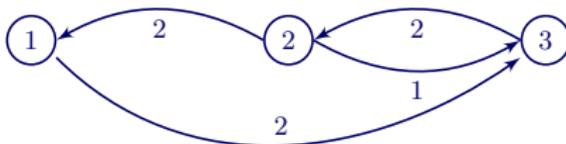
- Let $n_1 = 5$, $n_2 = 4$, $n_3 = 3$,
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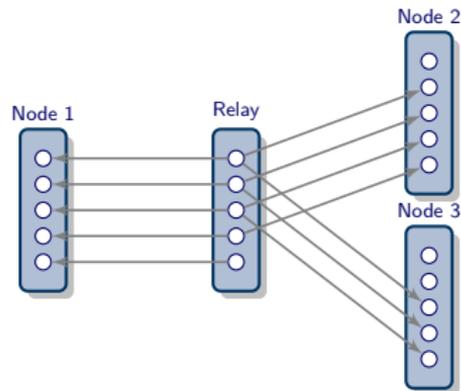
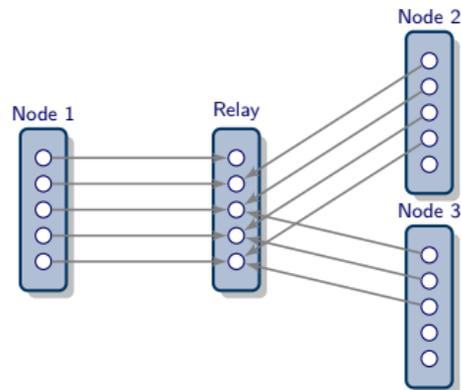
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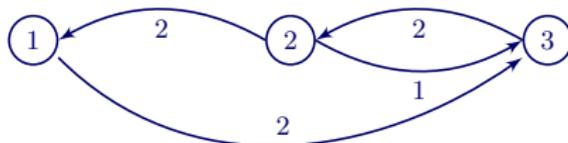
- Rates inside the outer bound



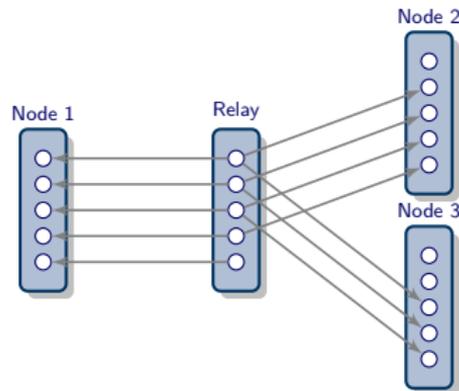
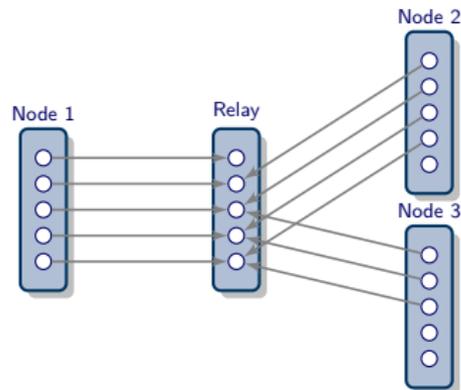
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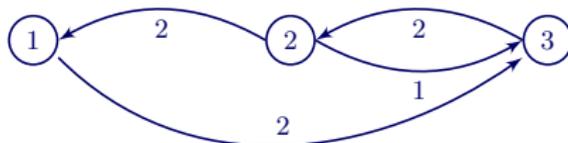
- Rates inside the outer bound
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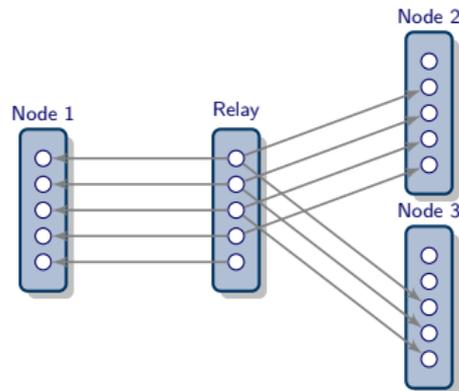
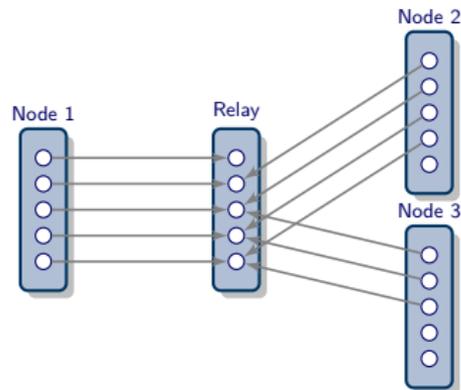
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Outer bound: Cut-set and genie-aided.

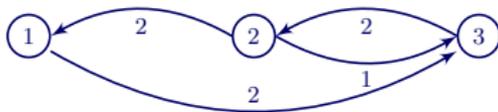
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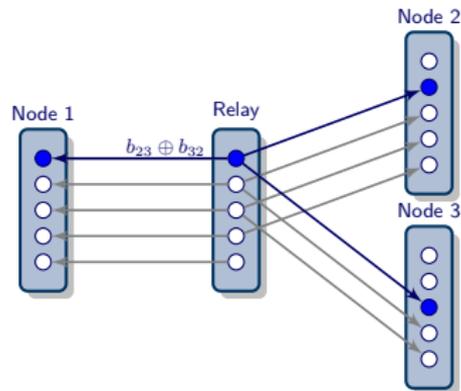
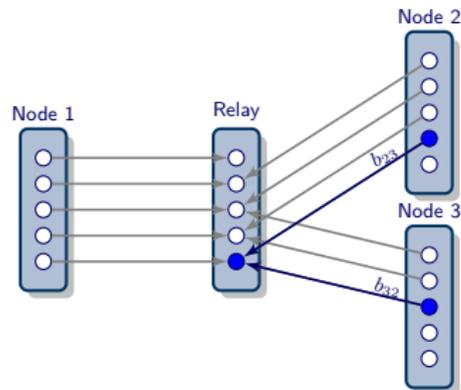
- Rates inside the outer bound
- **Achievable?**
- Try bi-directional and uni-directional



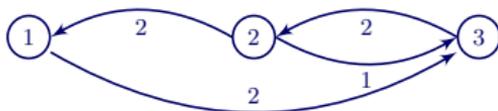
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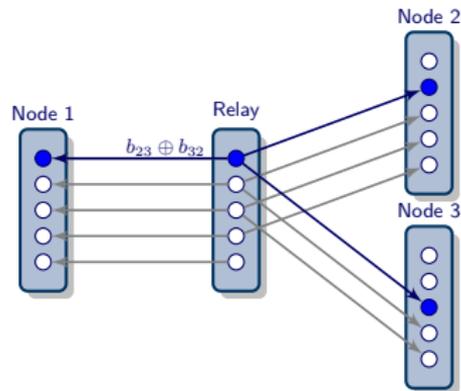
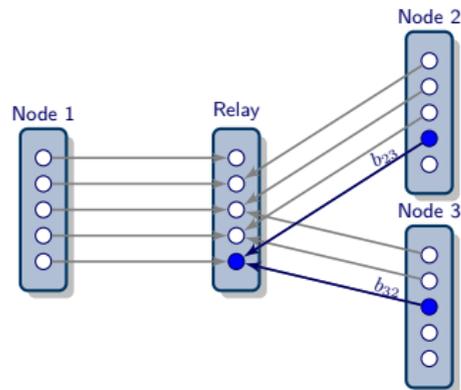
- Bi-directional $2 \leftrightarrow 3$



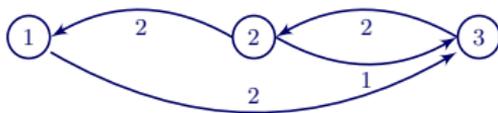
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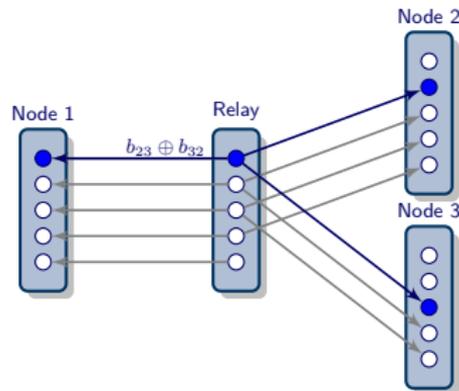
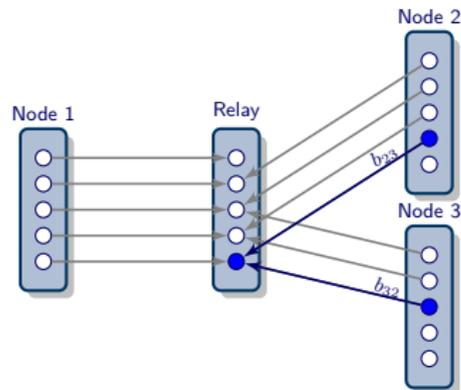
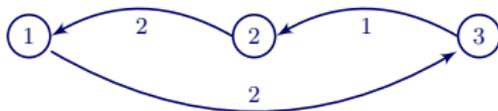
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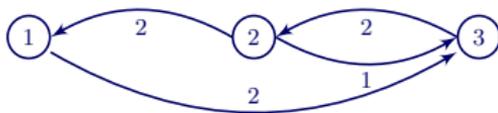
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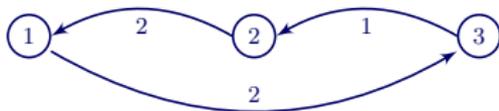
- **Bi-directional $2 \leftrightarrow 3$**
- Achieves $r_{23} = r_{32} = 1$
- Remainder $R_{13} = R_{21} = 2$,
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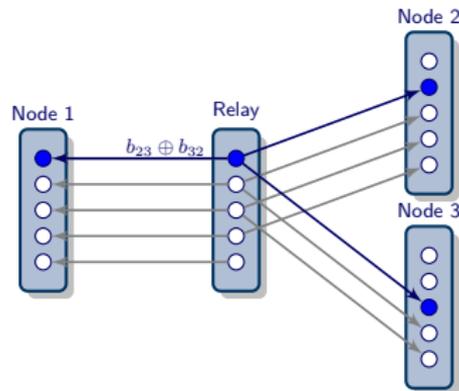
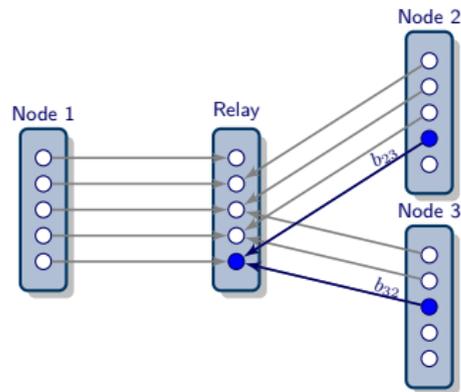
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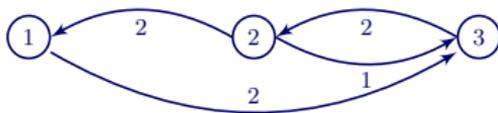
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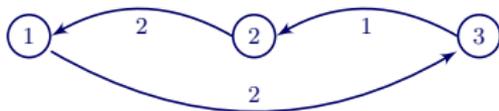
- **Uni-directional** $1 \rightarrow 3$, $2 \rightarrow 1$, and $3 \rightarrow 2$ requires 5 bit-pipes



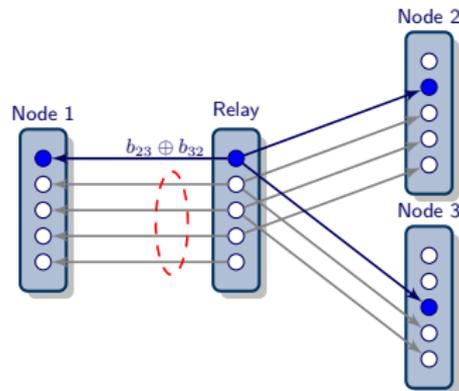
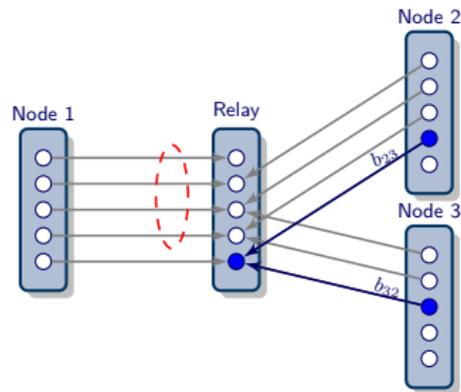
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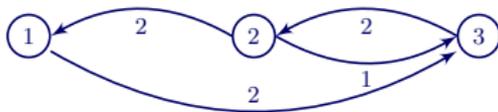
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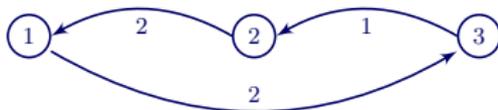
- **Uni-directional** $1 \rightarrow 3$, $2 \rightarrow 1$, and $3 \rightarrow 2$ requires 5 bit-pipes
- **Relay has only 4 remaining!**



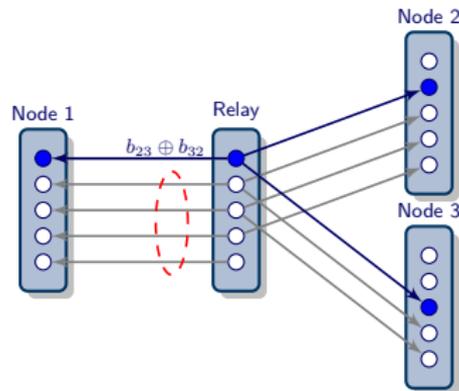
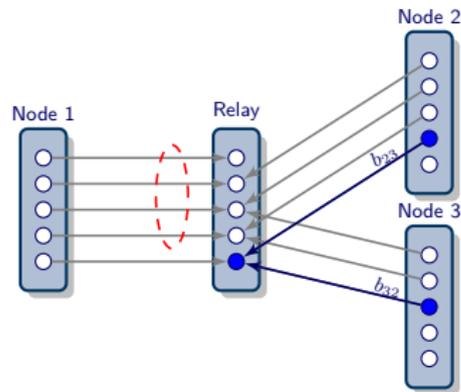
Multi-way Relay Channel



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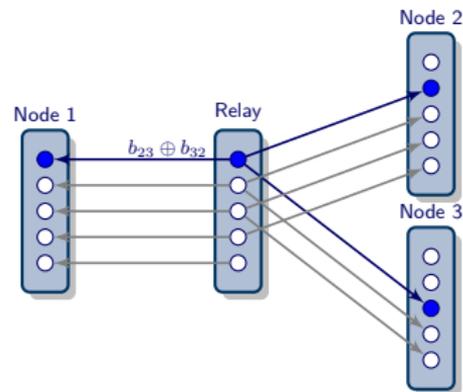
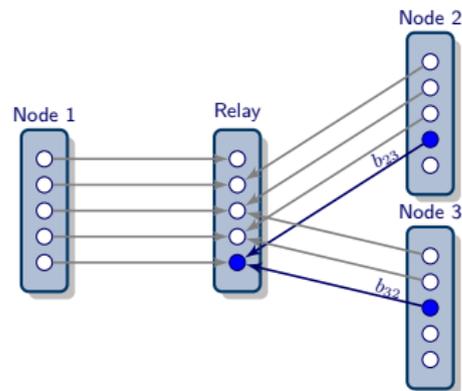
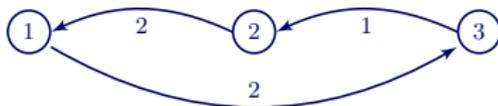


- **Uni-directional** $1 \rightarrow 3$, $2 \rightarrow 1$, and $3 \rightarrow 2$ requires 5 bit-pipes
 - **Relay has only 4 remaining!**
- \Rightarrow **Achievability requires more CF**



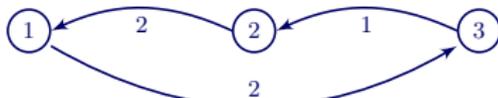
Multi-way Relay Channel

- Bi-directional 2 \leftrightarrow 3

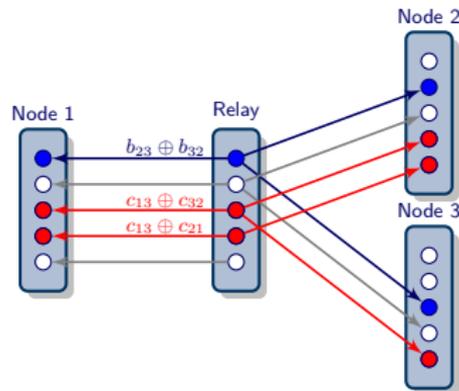
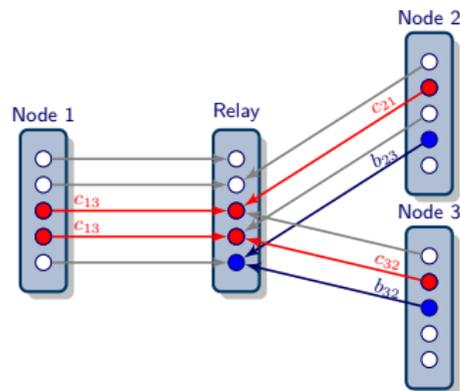


Multi-way Relay Channel

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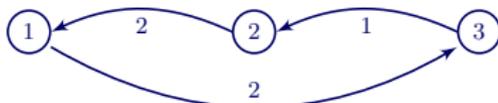


- Cyclic $1 \rightarrow 3 \rightarrow 2 \rightarrow 1$:
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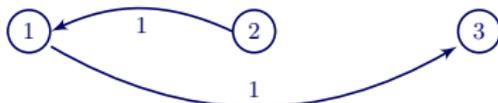


Multi-way Relay Channel

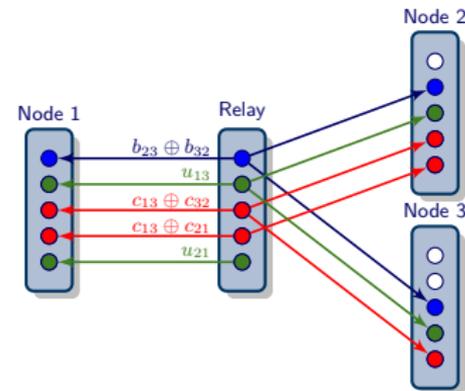
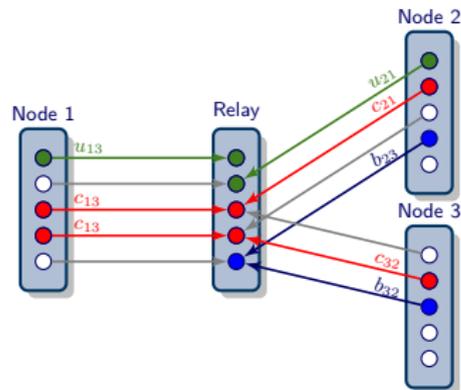
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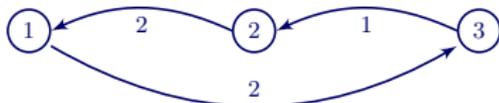


- Uni-directional $1 \rightarrow 3$ and $2 \rightarrow 1$: requires 2 bit-pipes
- Relay has 2 remaining

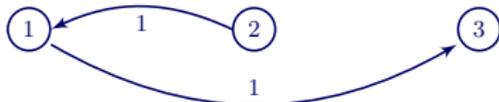


Multi-way Relay Channel

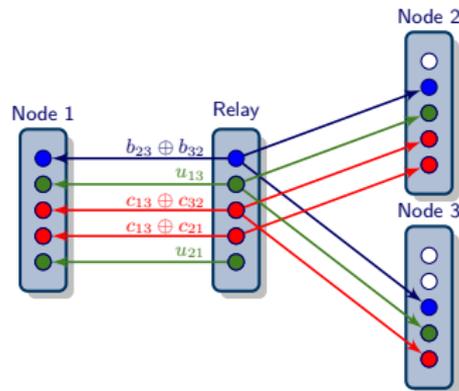
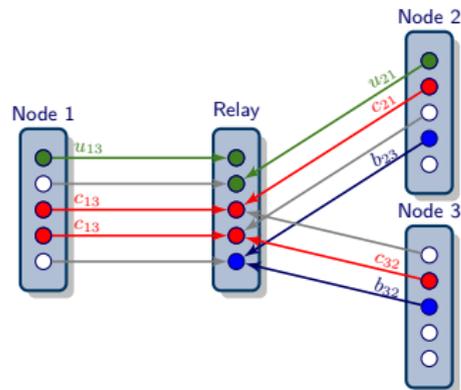
- Bi-directional $2 \leftrightarrow 3$



- Cyclic $1 \rightarrow 3 \rightarrow 2 \rightarrow 1$:
- Achieves $r_{13} = r_{32} = r_{21} = 1$
- Remainder $R_{13} = R_{21} = 1$

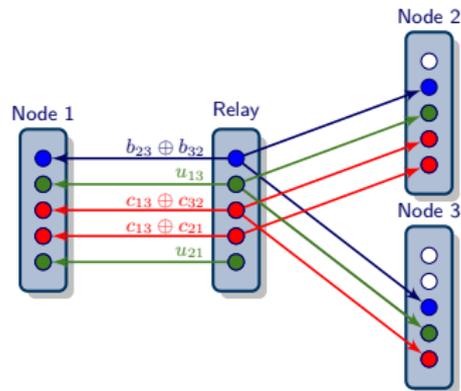
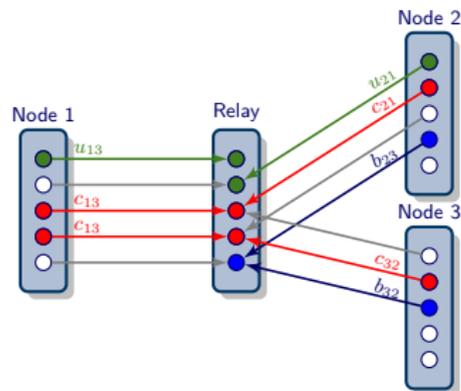


- Uni-directional $1 \rightarrow 3$ and $2 \rightarrow 1$: requires 2 bit-pipes
- Relay has 2 remaining
- Desired rate achieved!



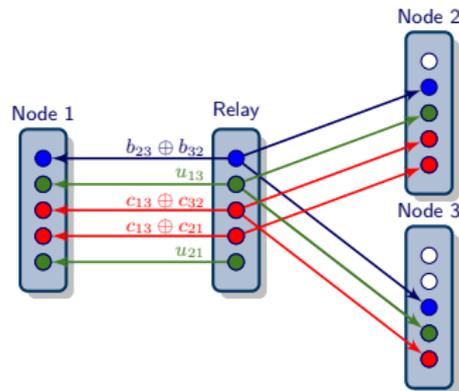
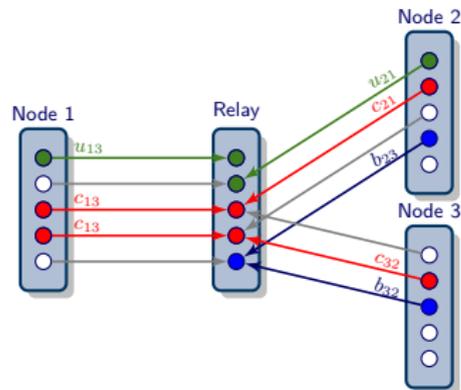
Multi-way Relay Channel

- Additional ingredient: Cyclic Communication



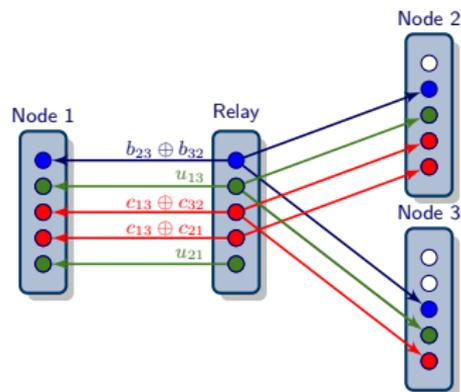
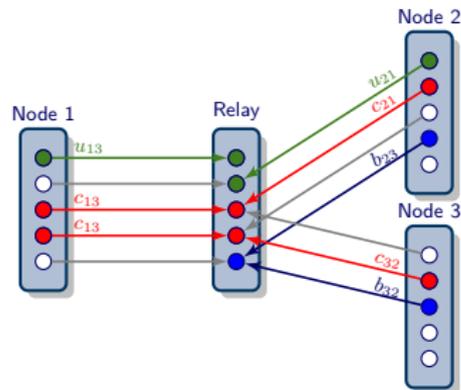
Multi-way Relay Channel

- Additional ingredient: **Cyclic Communication**
- **Remark:**
 Bi-directional: 2 bits per bit-pipe
 Cyclic: 3/2 bits per bit-pipe
 Uni-directional: 1 bit per bit-pipe



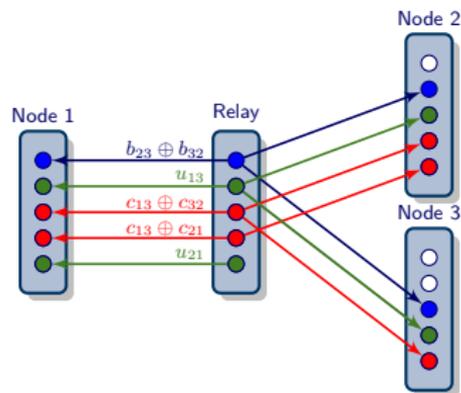
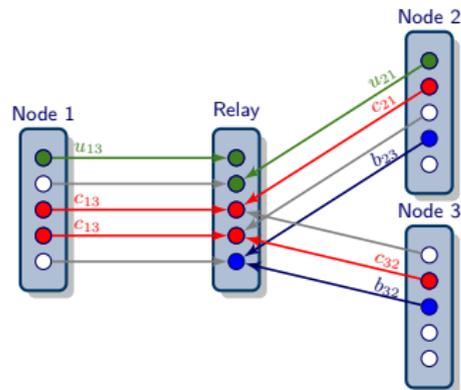
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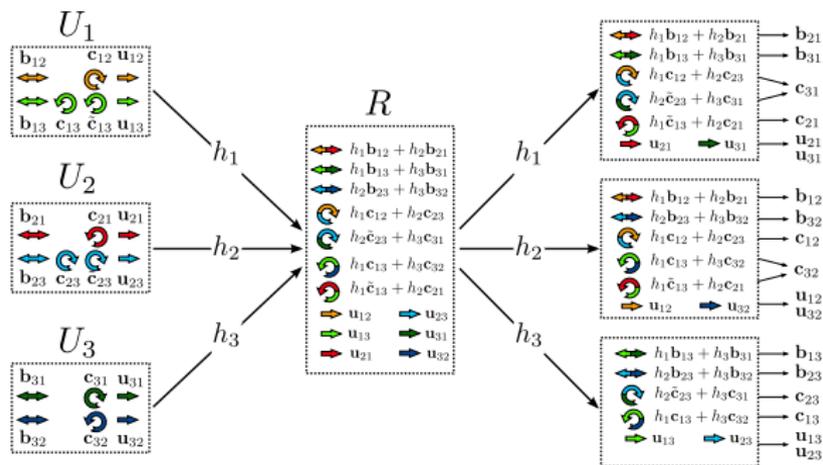


Multi-way Relay Channel

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 Bi-directional: 2 bits per bit-pipe
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- LD case: **Capacity achieving** [C. & S. 11]



Multi-way Relay Channel

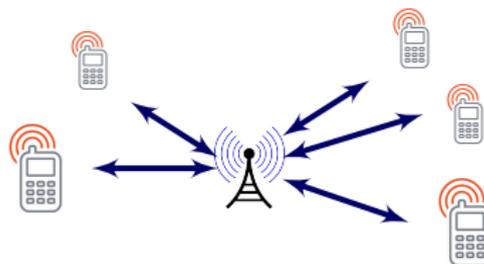


Gaussian case:

- node i sends $\mathbf{x}_{ib} + \mathbf{x}_{ic} + \mathbf{x}_{iu}$ (bi-directional, cyclic, uni-directional)
- relay computes the sum of bi-directional signals, cyclic signals, and decodes the uni-directional ones
- nodes decode successively and obtain their desired signals
- **Problem reduces to power allocation** (near optimal allocation in [C. & S. 12])

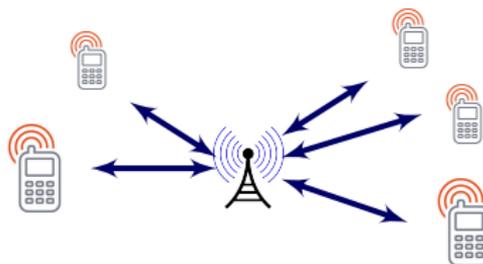
K -user case

- Sum-capacity upper bound scales as $\log(P)$,



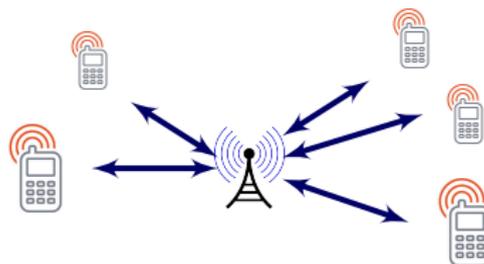
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- Sum-capacity upper bound scales as $\log(P)$,
- **Simple scheduling:** Schedule one pair of users at a time
- Channel reduces to a sequence of two-way relay channels



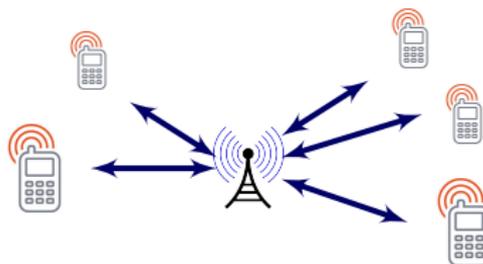
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- Apply bi-directional communication over each two-way relay channel



K -user case

- Sum-capacity upper bound scales as $\log(P)$,
- **Simple scheduling:** Schedule one pair of users at a time
- Channel reduces to a sequence of two-way relay channels
- Apply bi-directional communication over each two-way relay channel
- achieves sum-capacity within a constant gap

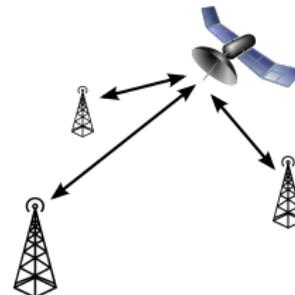
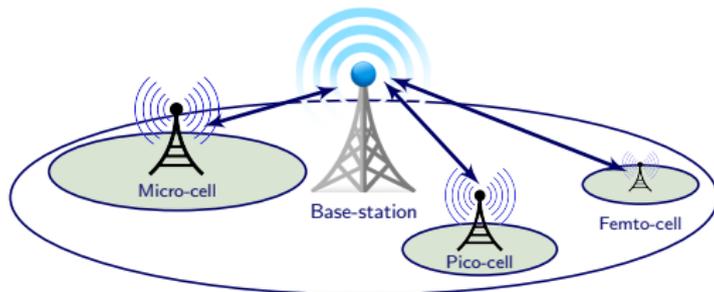


Summary

- Key ingredient: CF for **bi-directional** and **cyclic** communication
- Best scheme: Combination of bi-directional, cyclic, and uni-directional
- Sum-capacity scales as $\log(P)$,

Summary

- Key ingredient: CF for **bi-directional** and **cyclic** communication
- Best scheme: Combination of bi-directional, cyclic, and uni-directional
- Sum-capacity scales as $\log(P)$,
- **Consequence:** Treating different modes of information flow differently increases the communication rate

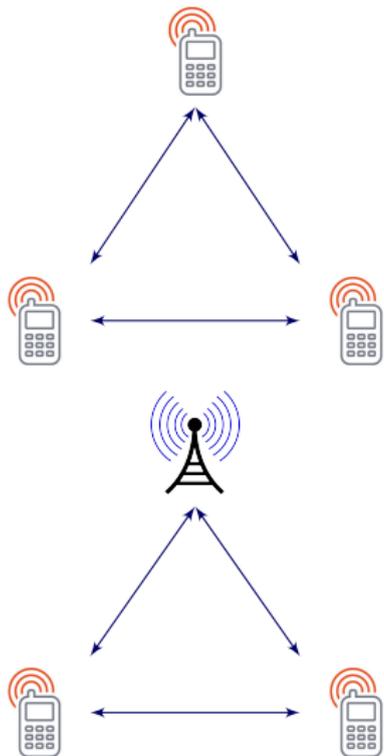


Outline

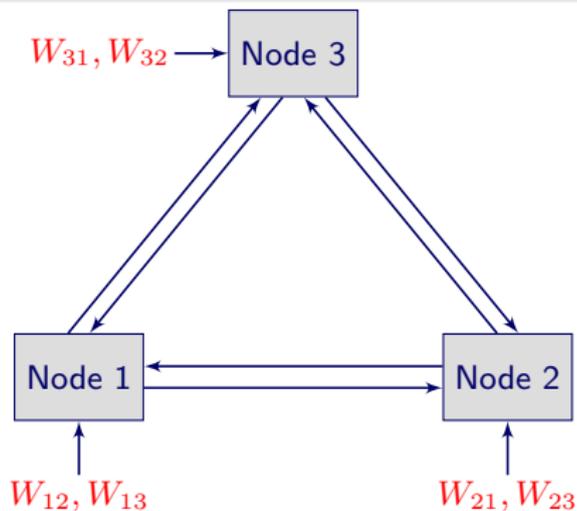
- ① Two-way channel
- ② Two-way relay channel
 - The linear-deterministic approximation
 - Lattice codes
- ③ Multi-way relay channel
 - Multi-pair Two-way Relay Channel
 - Multi-way Relay Channel
- ④ Multi-way Channel

3-Way Channel

- 3 (or more) nodes communicating with each other in multiple directions
- Extension of Shannon's two-way channel
- A suitable model for D2D systems (offloading traffic from the cellular network [Asadi *et al.*])

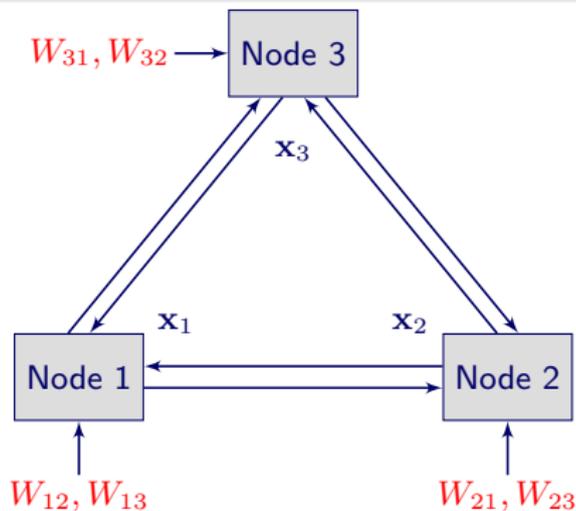


3-Way Channel (3WC)



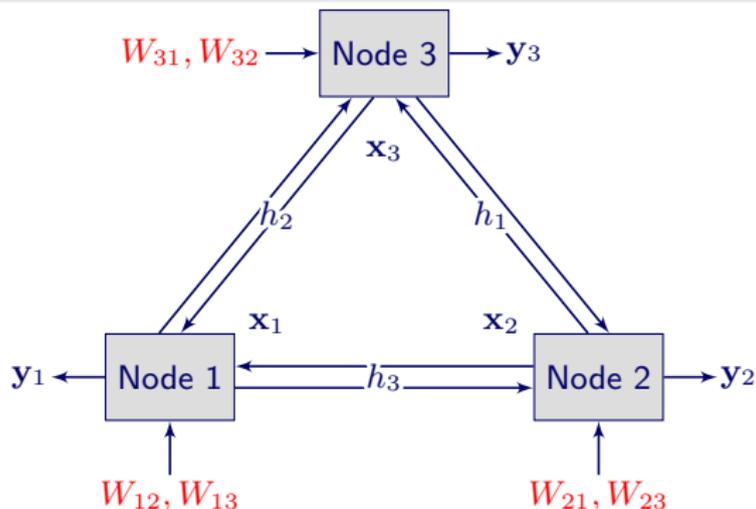
- Full message-exchange: Message W_{ij} from node i to j ,

3-Way Channel (3WC)



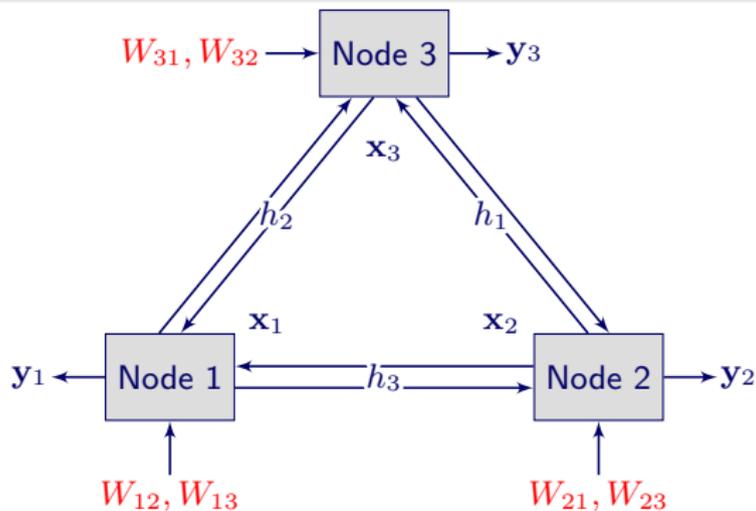
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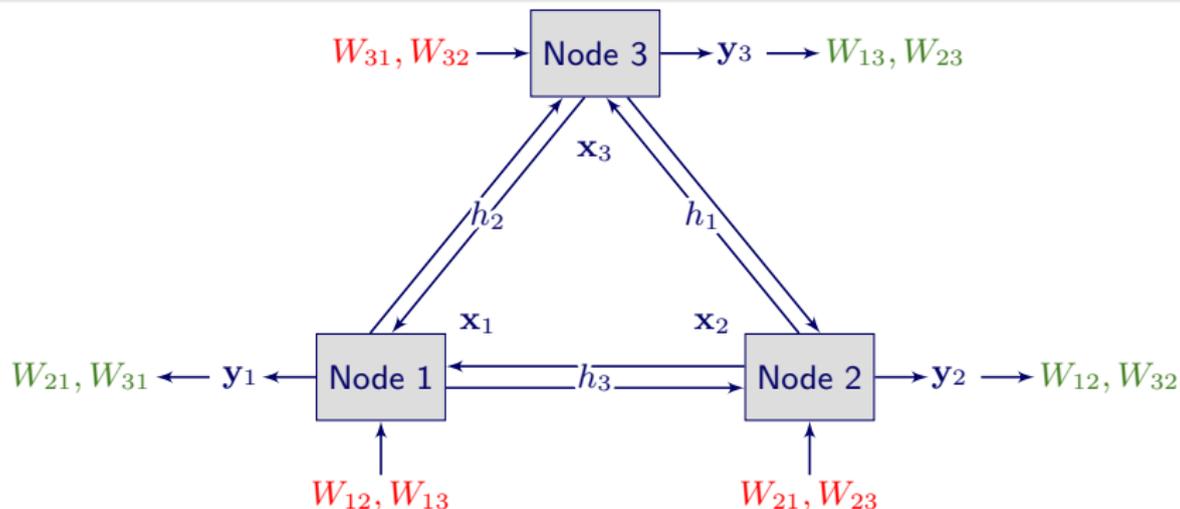
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- w.l.o.g. $h_3^2 \geq h_2^2 \geq h_1^2$,

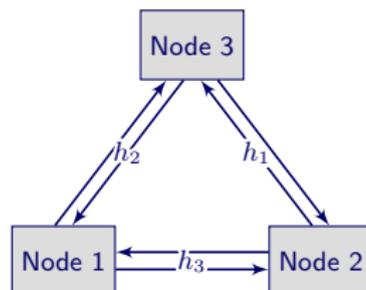
3-Way Channel (3WC)



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- w.l.o.g. $h_3^2 \geq h_2^2 \geq h_1^2$,
- Node k decodes W_{ik} and W_{jk} ,

Sum-Capacity

- Two-way channel: Cut-set bound tight, capacity scales as $\log(P)$,
- 3-way channel: Cut-set bound **not tight**, capacity also scales as $\log(P)$



Sum-capacity

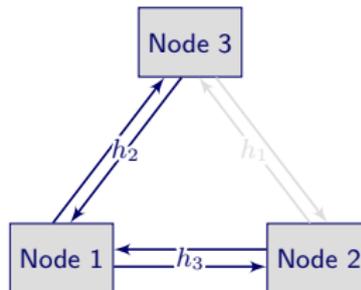
The sum-capacity of the 3-way channel is bounded by

$$\log(1 + h_3^2 P) \leq C_\Sigma \leq \log(1 + h_3^2 P) + 2.$$

- Converse: Genie-aided bound [C. *et al.* 14]
- Achievability: Only users 1 and 2 communicate
- Optimal scaling can also be achieved by scheduling

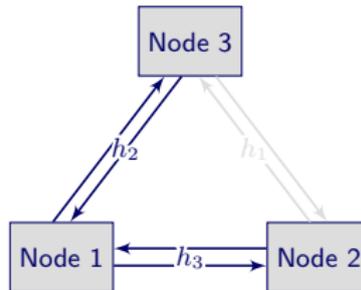
Capacity Region

- Two-way channel scheme suffices for sum-capacity,



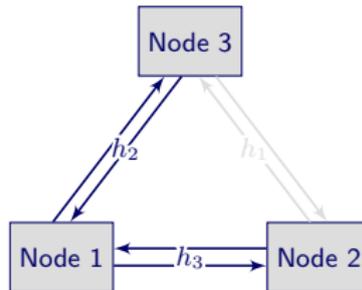
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Capacity Region

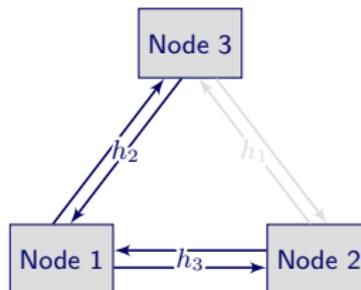
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Capacity Region

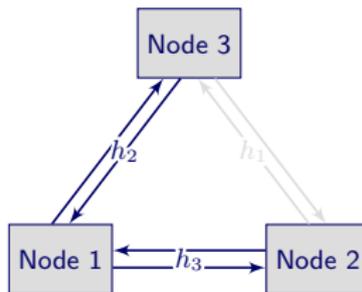
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Capacity Region

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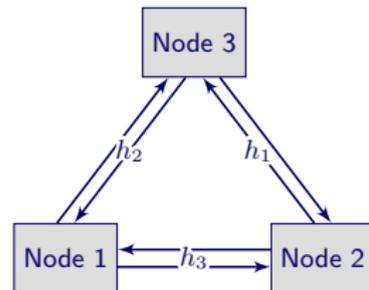


- Assume nodes 2 & 3 want to communicate, but $h_1^2 \ll 1$
- Communication still possible **via node 1 as a relay (two-way relay channel)**
- Relaying is necessary for capacity region!

Capacity Region

How to find the capacity region?

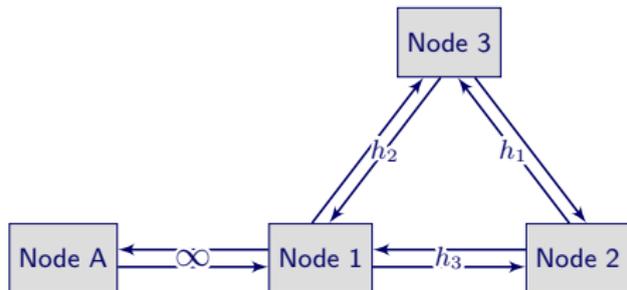
- Trick: Transform the channel into a Y-channel!



Capacity Region

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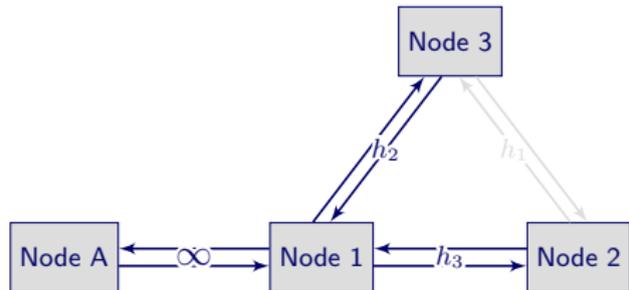
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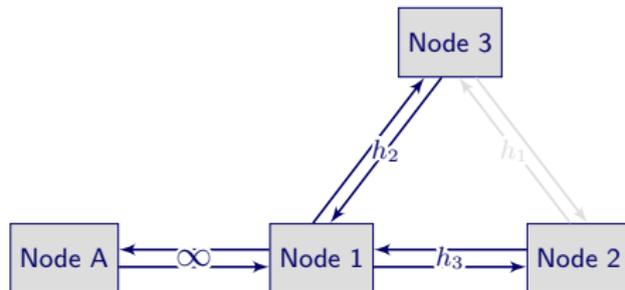
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- Assume $h_1^2 = 0 \Rightarrow$ Y-channel!



Capacity Region

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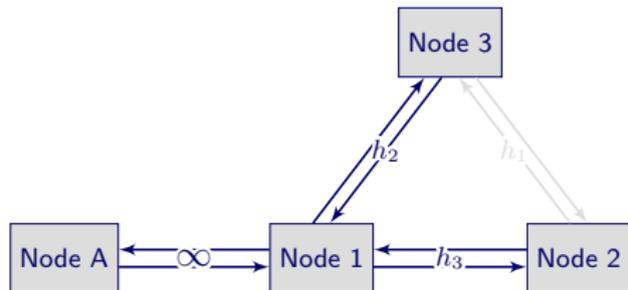
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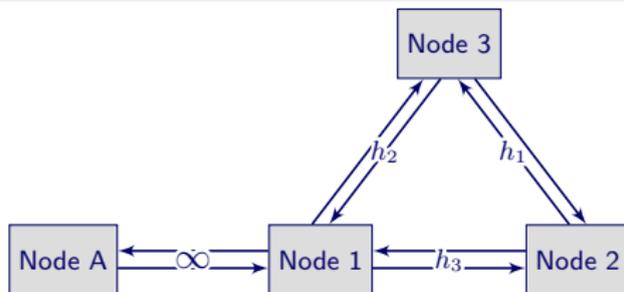


- Assume $h_1^2 = 0 \Rightarrow$ Y-channel!
- \Rightarrow Capacity achieving scheme for the Y-channel is capacity achieving for the 3-way channel
- What if $h_1^2 > 0$?

Capacity Region

If $h_1^2 > 0$:

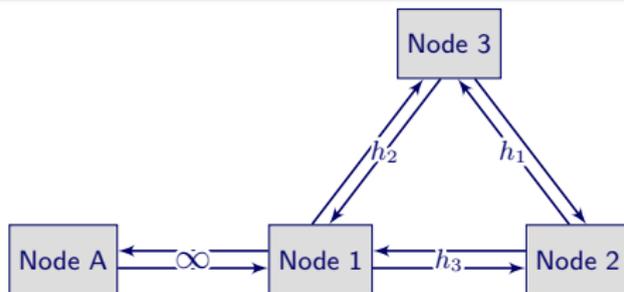
- Interference between nodes 2 and 3 (w.r.t. Y-channel scheme)



Capacity Region

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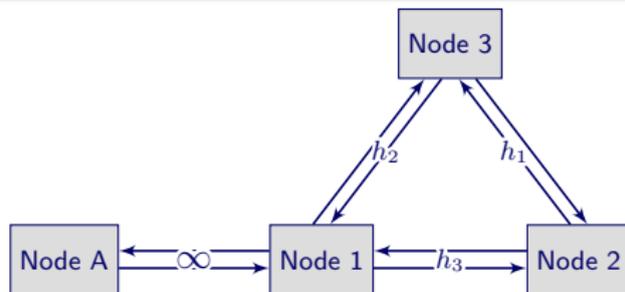
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Capacity Region

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If interference at 3 is:

- A desired signal at 3: **Backward decoding:**

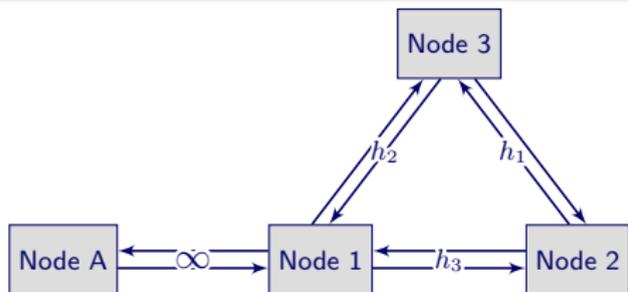
$$\mathbf{y}_3(B) = h_2\mathbf{x}_1(B) + h_1\mathbf{x}_{23}(B) + \mathbf{z}_3(B), \quad \mathbf{y}_3(B+1) = h_2\mathbf{x}_1(B+1) + \mathbf{z}_3(B+1)$$

- After decoding desired signals from $\mathbf{x}_1(B+1)$, node 3 removes interference from $\mathbf{x}_{23}(B)$ (**Causality**)

Capacity Region

If $h_1^2 > 0$:

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- After decoding desired signals from $\mathbf{x}_1(B+1)$, node 3 removes interference from $\mathbf{x}_{23}(B)$ (**Causality**)
- A desired signal at 1: **Interference neutralization**

$$\mathbf{y}_3 = h_2\mathbf{x}_1 + h_1\mathbf{x}_{21} + \mathbf{z}_3$$

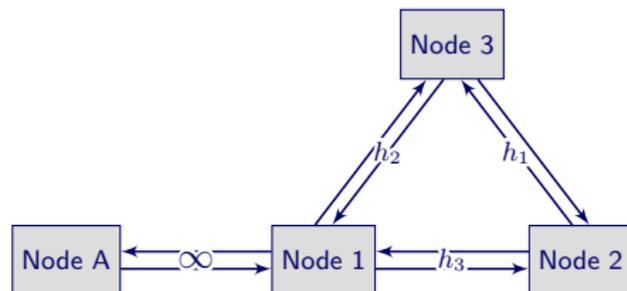
- Node 2 pre-transmits a signal for interference neutralization:

$$\mathbf{x}_1 = \mathbf{x}'_1 - \frac{h_1}{h_2}\mathbf{x}_{21}$$

Capacity Region

Main ingredients

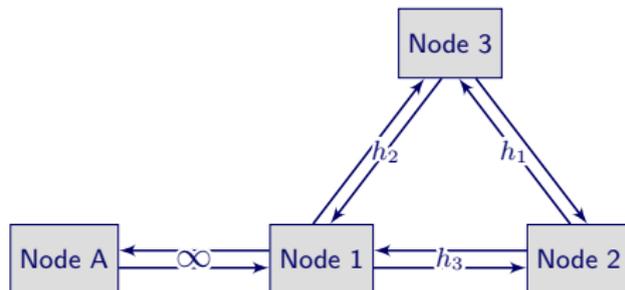
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Capacity Region

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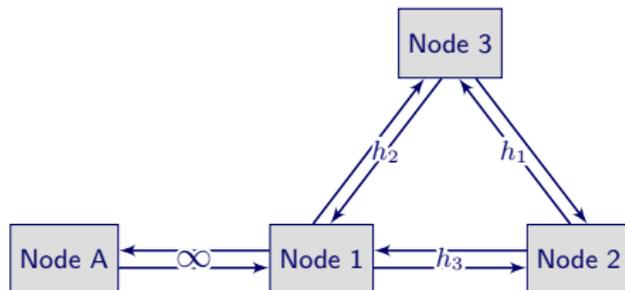
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Capacity Region

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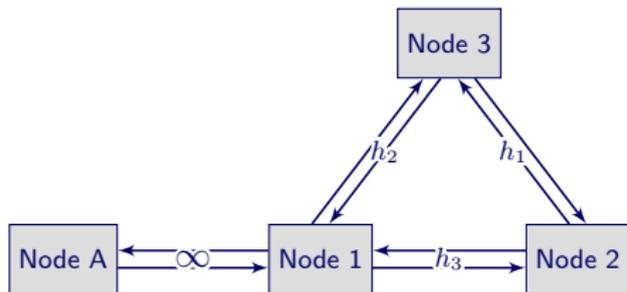
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- For resolving interference between nodes 2 and 3: **Backward decoding** and **interference neutralization**
- Outer bound: Genie-aided and cut-set



Capacity Region

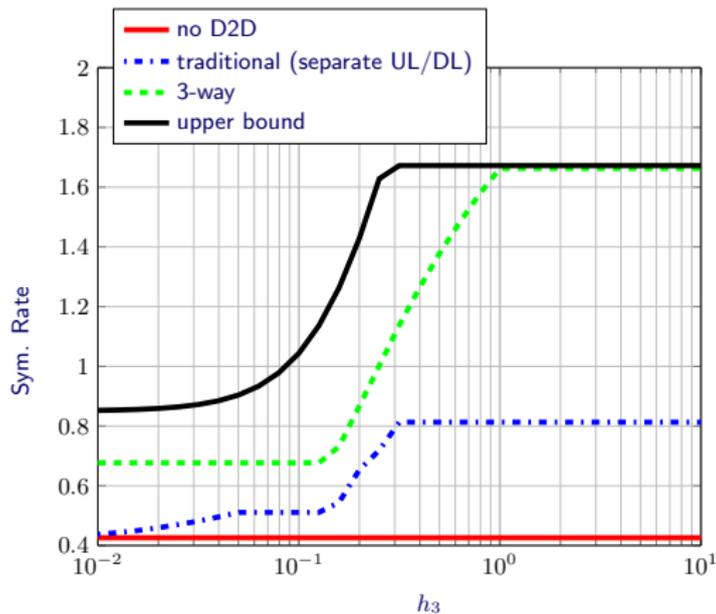
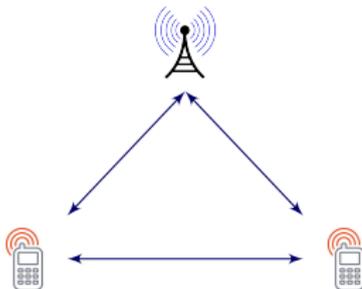
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- For resolving interference between nodes 2 and 3: **Backward decoding** and **interference neutralization**
 - Outer bound: Genie-aided and cut-set
 - Capacity region of the LD case, and approximate capacity of the Gaussian case [C *et al.* 14],



Application

D2D communications:
Impact of (D2D) channel



Part 3: MIMO

Outline

- ① From Capacity to DoF
- ② MIMO Two-Way Relay Channel
 - Channel diagonalization
 - Signal Alignment
- ③ MIMO multi-way relay channel
 - Sum-DoF
 - DoF Region
- ④ MIMO Multi-way Channel

From Capacity to Capacity Region

Single-user (MIMO P2P):



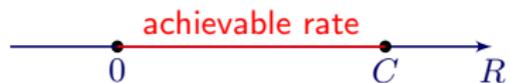
Input covariance \mathbf{Q} , $\text{tr}(\mathbf{Q}) \leq P$

From Capacity to Capacity Region

Single-user (MIMO P2P):



Capacity: $C = \log |\mathbf{I} + \mathbf{H}\mathbf{Q}\mathbf{H}^H|$



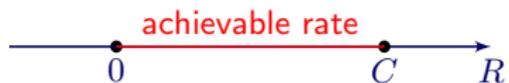
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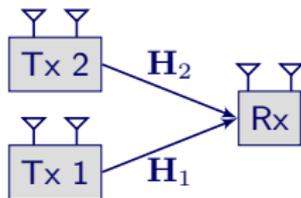


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Multi-user (MIMO MAC):



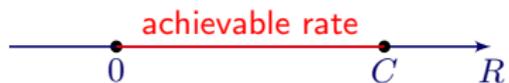
Input covariance \mathbf{Q}_i , $i = 1, 2$,
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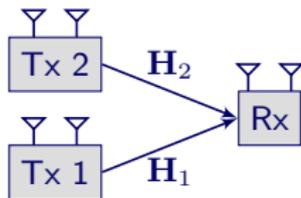


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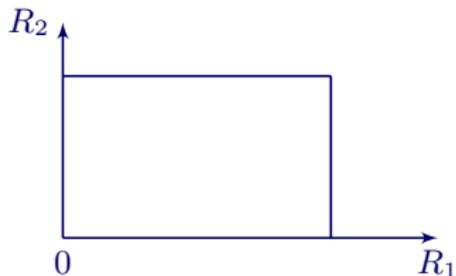
Capacity region:

$$R_i \leq \log |\mathbf{I} + \mathbf{H}_i \mathbf{Q}_i \mathbf{H}_i^H|,$$

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From Capacity to Capacity Region

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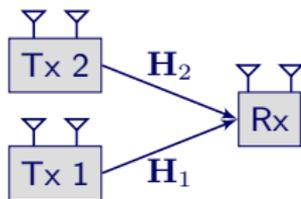


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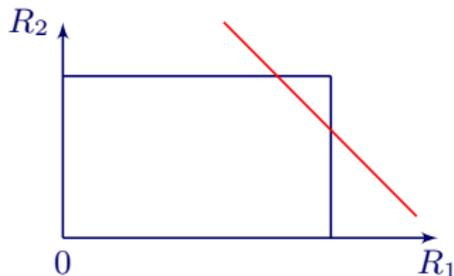


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 $\text{tr}(\mathbf{Q}_i) \leq P$

Capacity region:

$$R_i \leq \log |\mathbf{I} + \mathbf{H}_i \mathbf{Q}_i \mathbf{H}_i^H|,$$

$$R_1 + R_2 \leq \log |\mathbf{I} + \mathbf{H}_1 \mathbf{Q}_1 \mathbf{H}_1^H + \mathbf{H}_2 \mathbf{Q}_2 \mathbf{H}_2^H|$$



From Capacity to Capacity Region

Single-user (MIMO P2P):



Capacity: $C = \log |\mathbf{I} + \mathbf{H}\mathbf{Q}\mathbf{H}^H|$



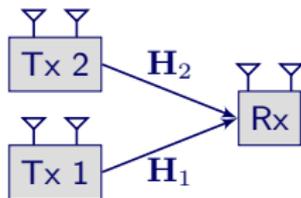
Input covariance \mathbf{Q} , $\text{tr}(\mathbf{Q}) \leq P$

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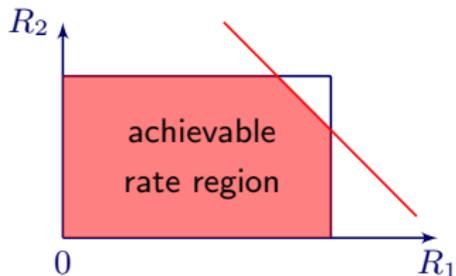
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Multi-user (MIMO MAC):



Input covariance \mathbf{Q}_i , $i = 1, 2$,
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Capacity to DoF

Single-user (MIMO P2P):



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Optimization: water-filling

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- M Tx antennas, N Rx antennas

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$C(P)$: SISO P2P capacity

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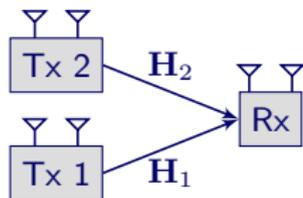
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- DoF: $d = \lim_{P \rightarrow \infty} \frac{\text{Capacity}}{C(P)} = \text{rank}(\mathbf{H}) \Rightarrow d = \min\{M, N\}$
- \Rightarrow Capacity equivalent to that of d parallel SISO P2P channels!



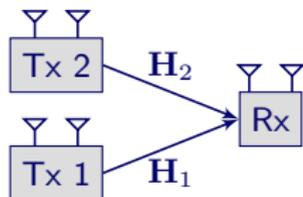
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Multi-user (MIMO MAC):



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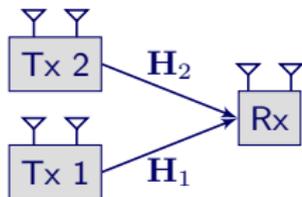
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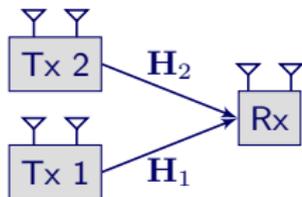
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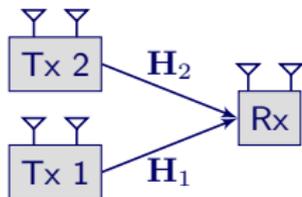
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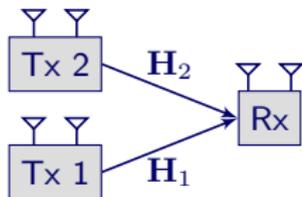
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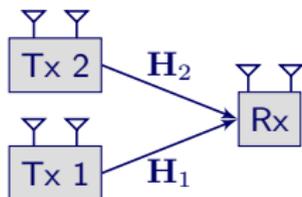
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Capacity to DoF

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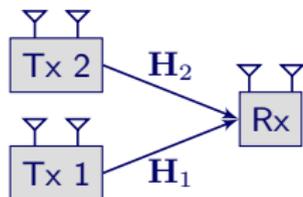
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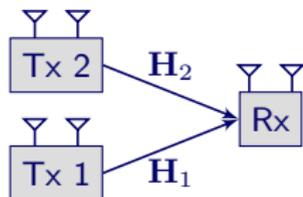
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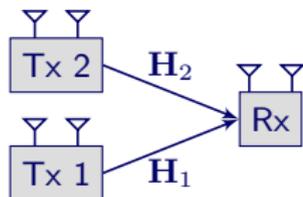
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- ⇒ $d_\Sigma = \min\{M_1 + M_2, N\}$
- ⇒ **Sum-capacity** equivalent to that of d_Σ parallel SISO P2P channels!

DoF

DoF

DoF d can be interpreted as the number of parallel streams that can be sent simultaneously over a channel.

It leads to a capacity approximation as

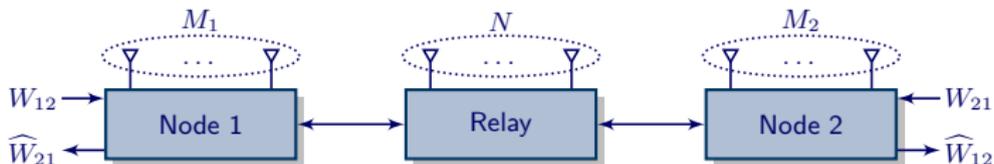
$$C_{\Sigma} = dC(P) + o(C(P)),$$

($C(P)$): capacity of a P2P channel)

Outline

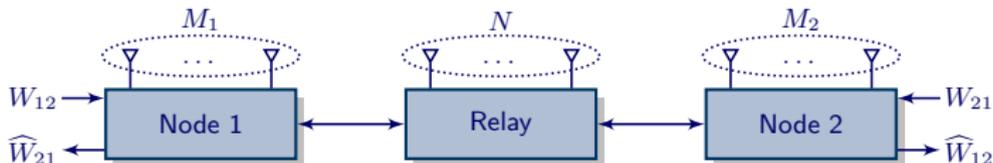
- 1 From Capacity to DoF
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MIMO Two-Way Relay Channel



DoF characterization [Gündüz *et al.* 08]

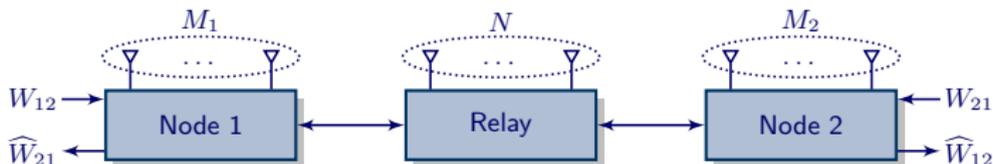
MIMO Two-Way Relay Channel



Cut-set bound:

- Node i can not send more than M_i streams,
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 - Relay can relay at most $2N$ streams (PLNC gain),
- ⇒ Total streams $2 \min\{M_1, M_2, N\}$ DoF
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 - **Next:** Simple achievability scheme

Simple Achievability

Main Ingredients:

- Channel diagonalization
- Signal alignment

Outline

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Channel Diagonalization

Definition (Channel diagonalization)

Transform an arbitrary MIMO channel matrix \mathbf{H} to a **diagonal matrix**.

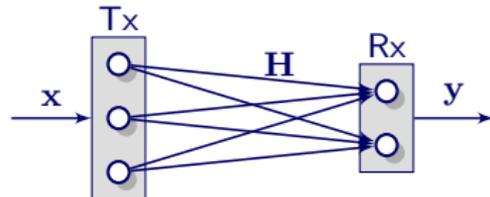
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$M \geq N$: ZF pre-coding:



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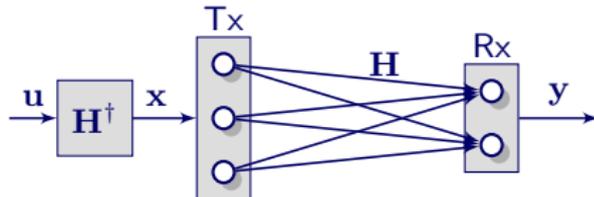
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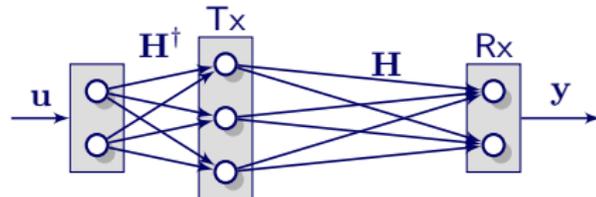
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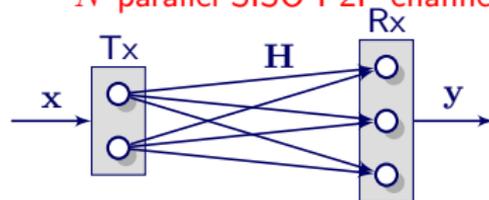
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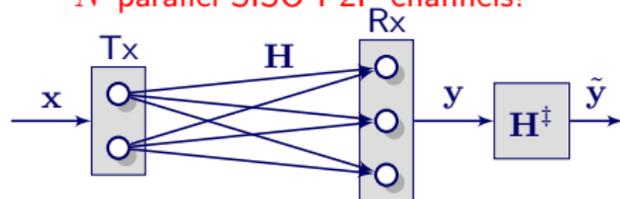
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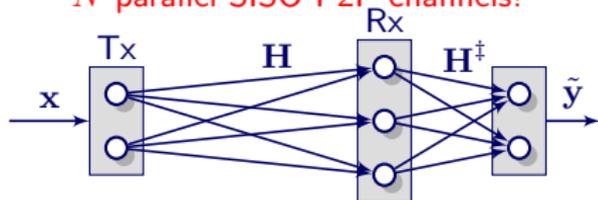
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MAC and BC are also separable

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Signal Alignment

Definition (Signal alignment)

Placing two signals \mathbf{x}_1 and \mathbf{x}_2 in signal space so that $\text{span}(\mathbf{x}_1) = \text{span}(\mathbf{x}_2)$.

Signal Alignment

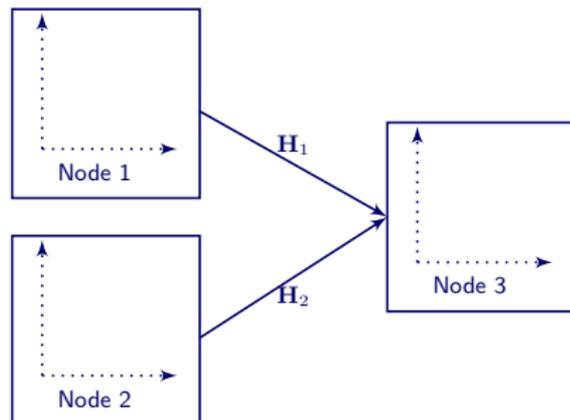
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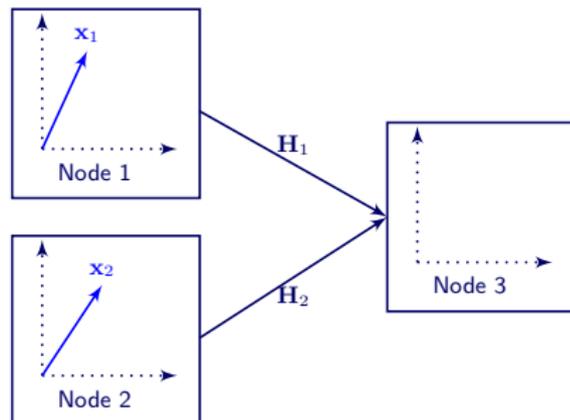
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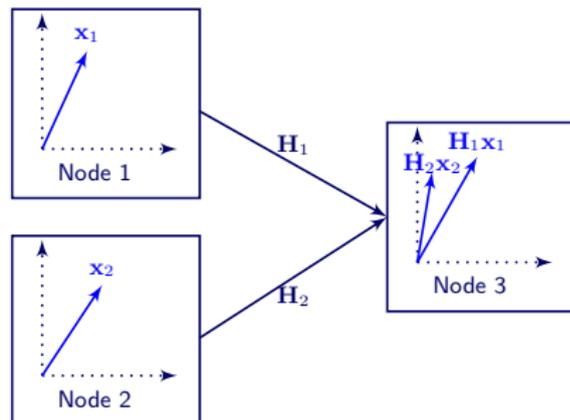
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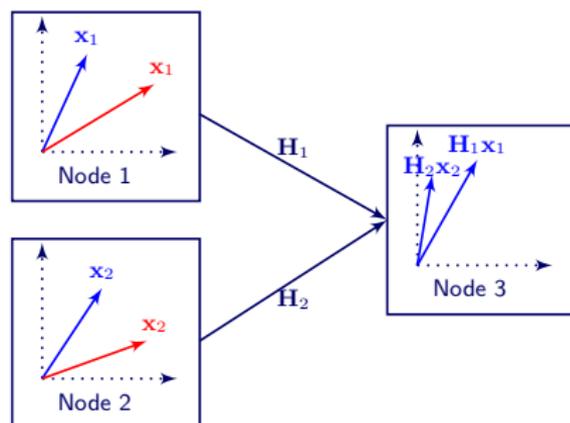
Placing two signals \mathbf{x}_1 and \mathbf{x}_2 in signal space so that $\text{span}(\mathbf{x}_1) = \text{span}(\mathbf{x}_2)$.

Two signals can be aligned by pre-coding:

$$\mathbf{x}_1 = \mathbf{V}_1 u_1$$

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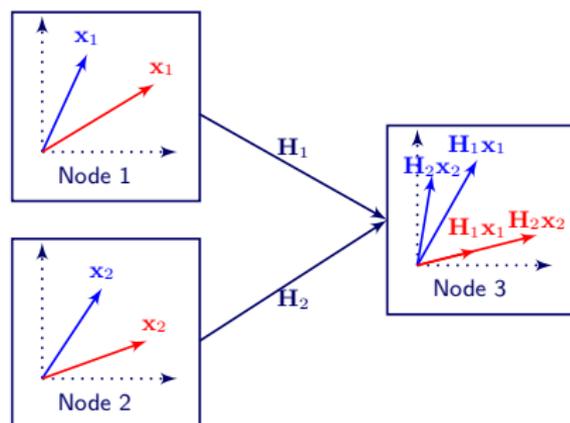
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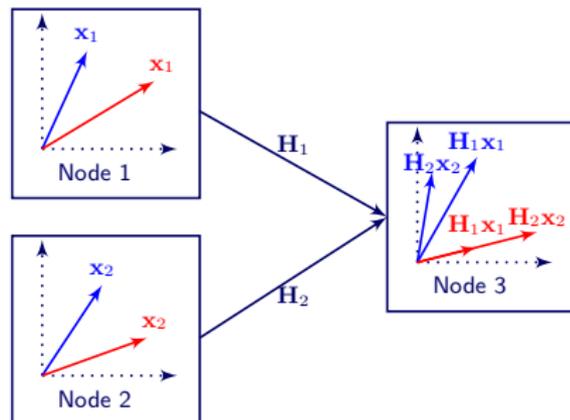
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- Useful for CF!



Signal-alignment for CF

Definition (Compute-forward (CF))

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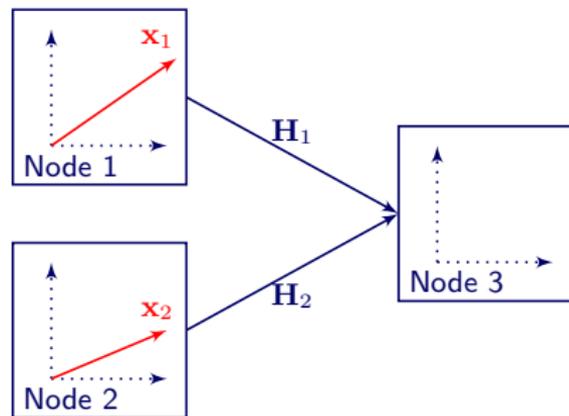
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Signal-alignment for CF

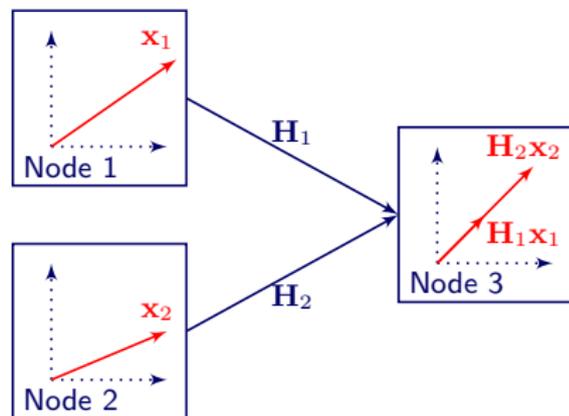
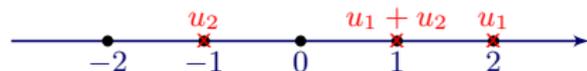
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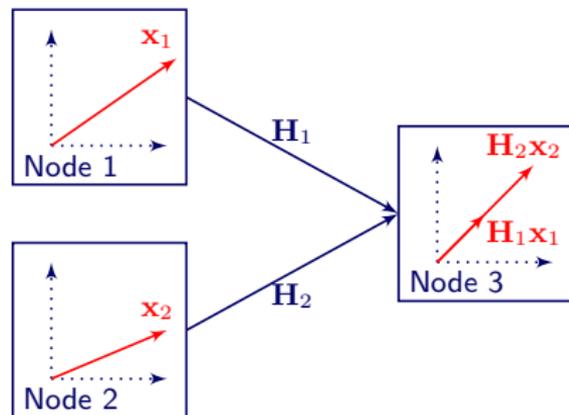
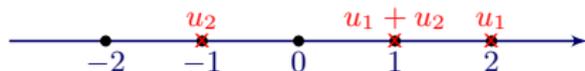
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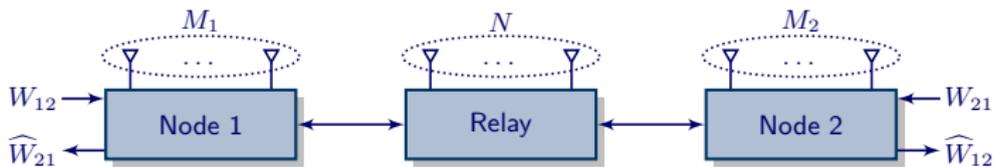
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- **compute** $u_1 + u_2$ from $[1, 1]\mathbf{y}_3 = 2(u_1 + u_2) + n'$

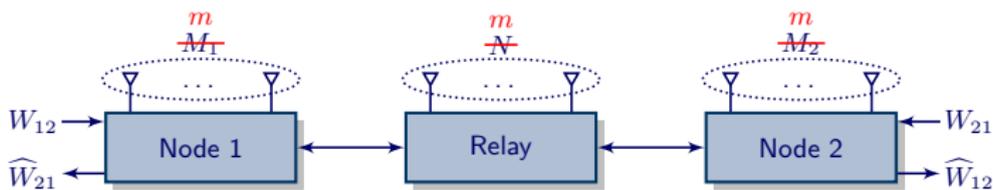


Back to the MIMO Two-way Relay Channel



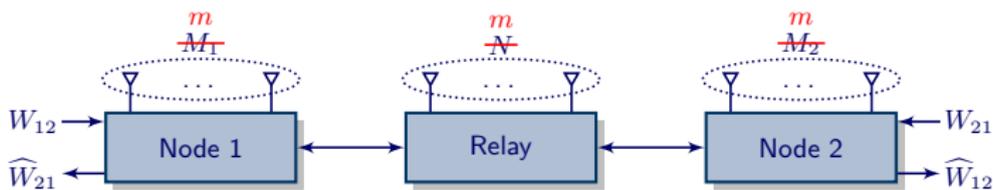
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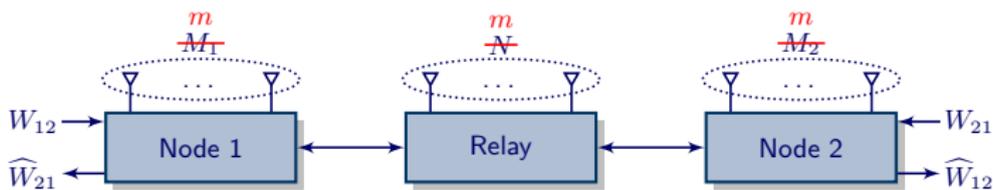
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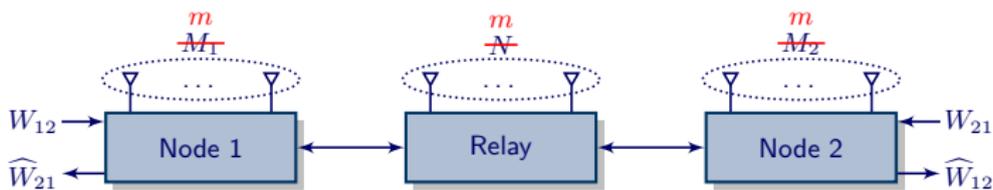
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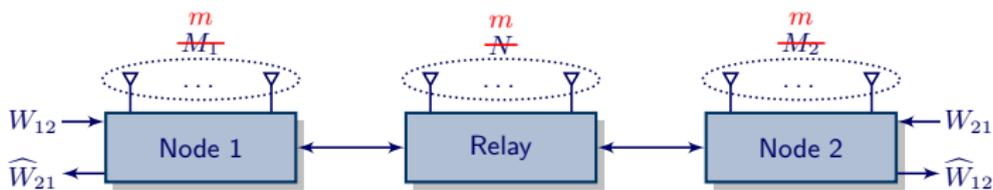
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 - Apply CF: achieve $2 \left[\frac{1}{2} \log \left(\frac{1}{2} + P \right) \right]^+ \approx 2C(P)$ (at high P) per sub-channel
 - Total rate $2mC(P) \Rightarrow 2m (= d)$ DoF

Remarks

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- Optimal DoF achievable by using either **compress-forward**, **compute-forward**, or **amplify-forward** over each sub-channel (dimension)

Possible improvement

DoF achieving scheme:

- Reduce the number of antennas to $\min\{M_1, M_2, N\}$,
 - apply MIMO pre-coding and post-coding for channel diagonalization,
- ⇒ decompose channel into sub-channels,
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Use **excess antennas** for sending a **(uni-directional) DF signal Dirty-paper coded** against the remaining signals at the same node.

Outline

- ① From Capacity to DoF
- ② MIMO Two-Way Relay Channel
 - Channel diagonalization
 - Signal Alignment
- ③ MIMO multi-way relay channel
 - Sum-DoF
 - DoF Region
- ④ MIMO Multi-way Channel

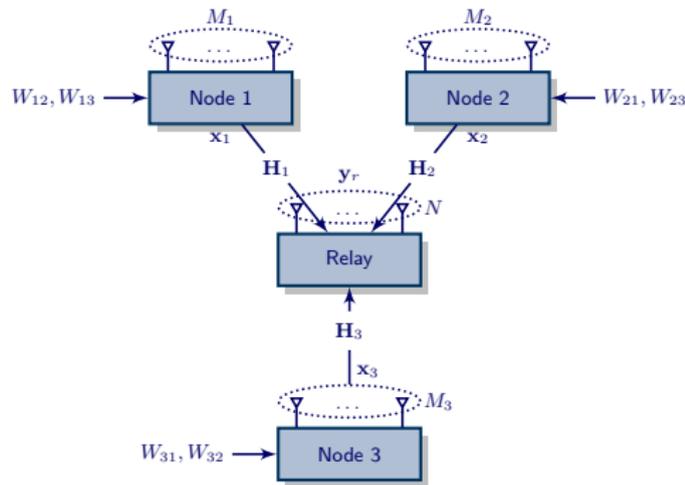
MIMO Multi-way Relay Channel

MIMO Y-channel [Lee & Lim 09]

- inputs \mathbf{x}_i and \mathbf{x}_r ,
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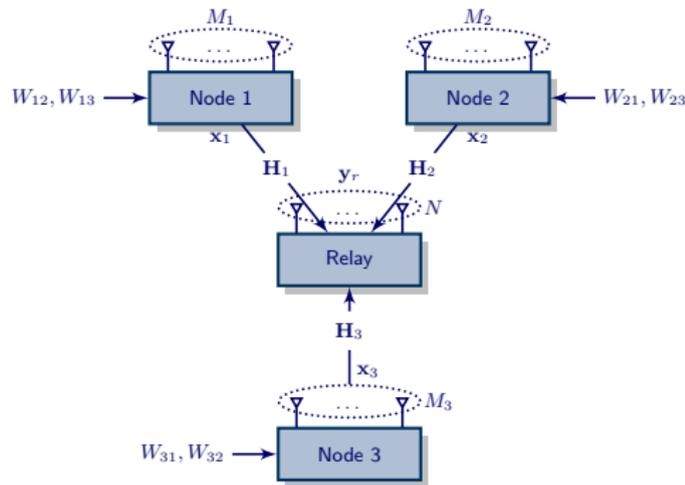
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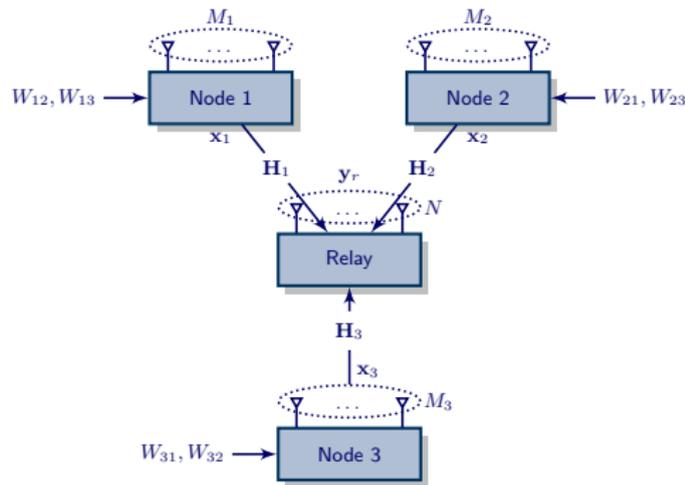
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- **Question:** DoF?

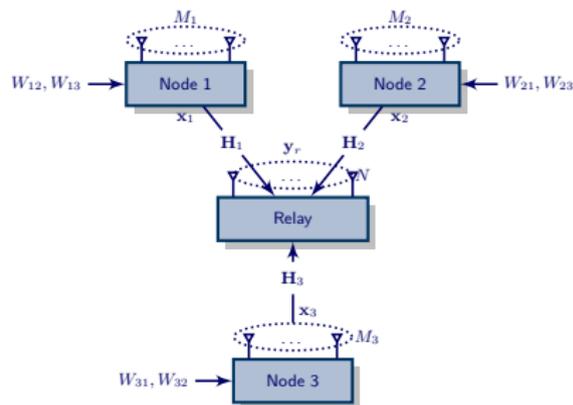


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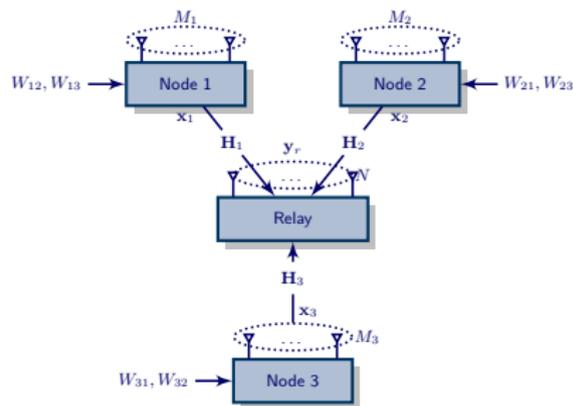
MIMO Multi-way Relay Channel

- If $M_1 = M_2 = M_3 = M$ and $N \geq \lceil 3M/2 \rceil$, then:
 - **cut-set bound is achievable** [Lee *et al.* 10],
 - Achievability: Signal-space alignment for NC
- \Rightarrow i.e., $d = 3M$,



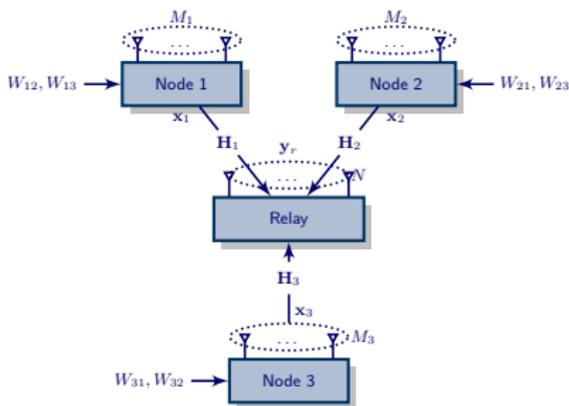
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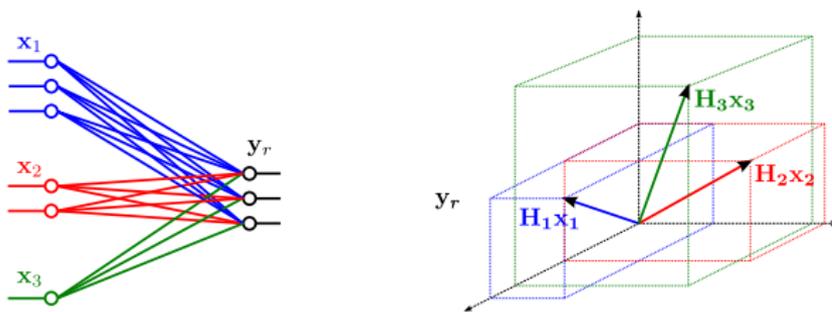
sum-DoF

The sum-DoF of the MIMO Y-channel with $M_1 \geq M_2 \geq M_3$ (wlog) is given by

$$d = \min \left\{ \underbrace{M_1 + M_2 + M_3}_{\text{Cut-set bound}}, \underbrace{2M_2 + 2M_3}_{\text{New bounds}}, 2N \right\}.$$

Transmission Strategy

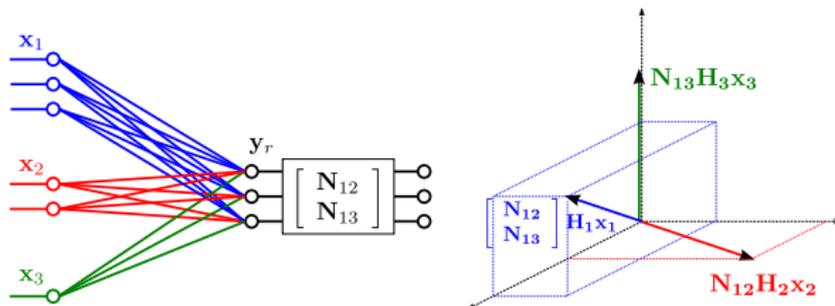
Signal-space alignment for network-coding



- The signals H_1x_1 , H_2x_2 , and H_3x_3 fill the entire space at the relay

Transmission Strategy

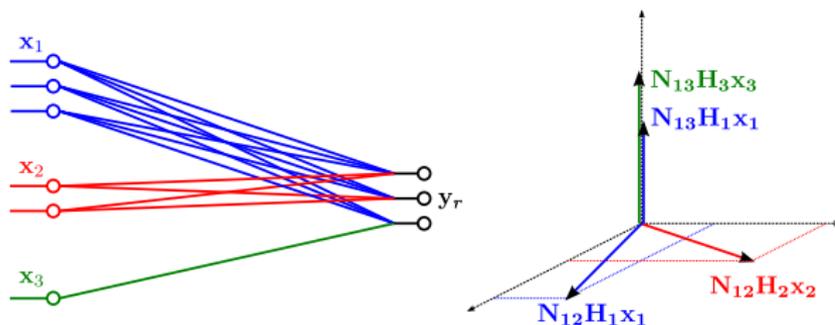
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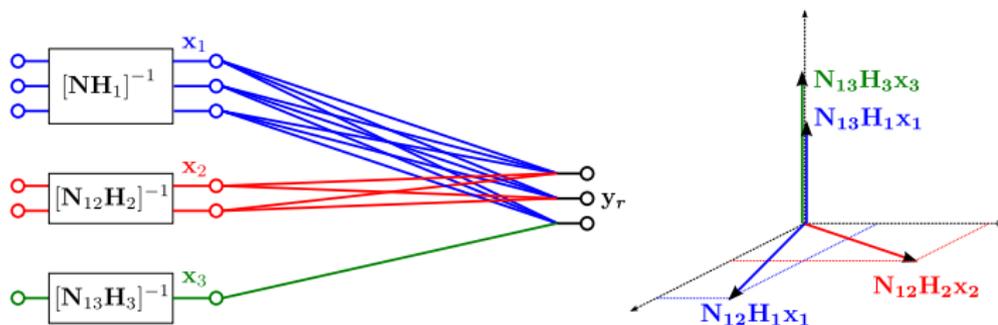
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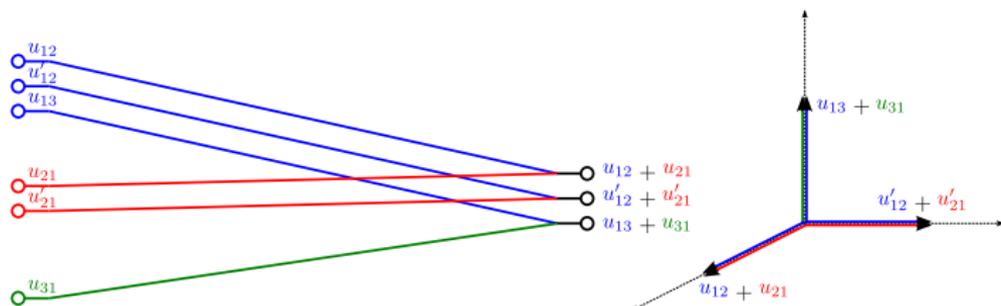
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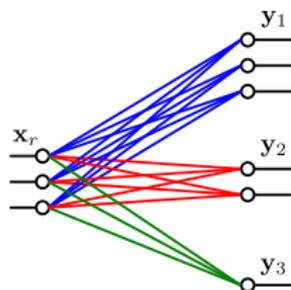
Transmission Strategy

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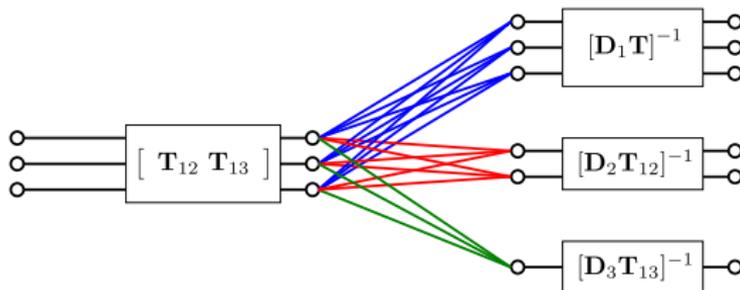


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- Tx's diagonalize their effective channels \Rightarrow desired channel structure
- Relay obtains net-coded signals: $u_{12} + u_{21}$, $u'_{12} + u'_{21}$, and $u_{13} + u_{31}$

Transmission strategy

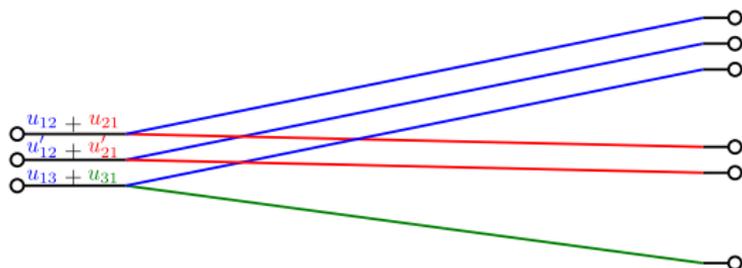


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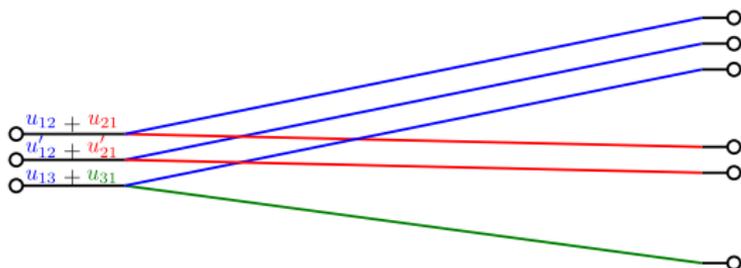
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Transmission strategy



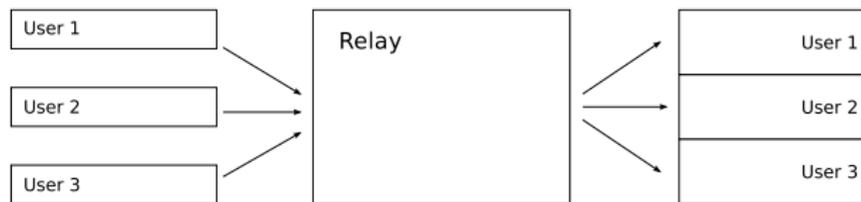
- Relay uses a similar beam-forming strategy to deliver the net-coded signals to their desired destinations
- User 1 gets $u_{12} + u_{21}$, $u'_{12} + u'_{21}$, and $u_{13} + u_{31}$, and extracts u_{21} , u'_{21} , and u_{31}
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- 6 symbols delivered successfully \Rightarrow 6 DoF (optimal)

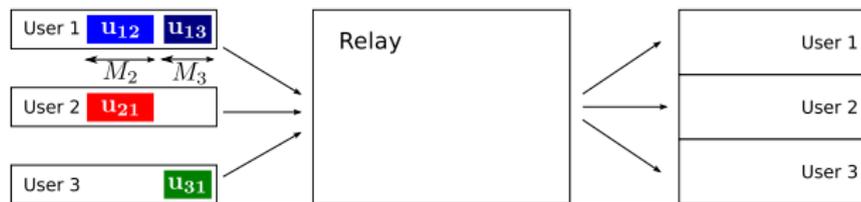
General Transmission Strategy



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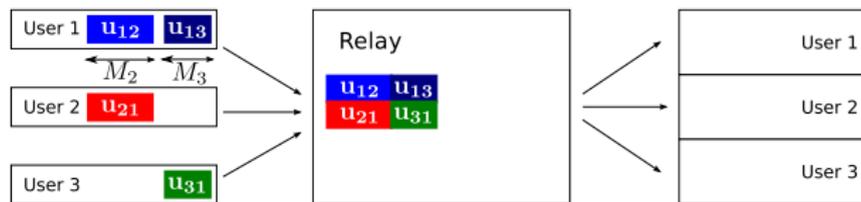
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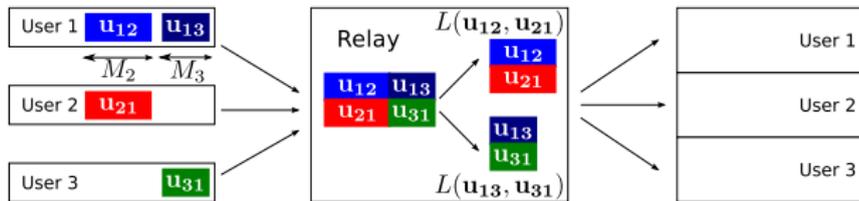
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- Users send u_{12} and u_{21} (M_2 -dim), and u_{13} and u_{31} (M_3 -dim)
- Align u_{12} and u_{21} , and align u_{13} and u_{31} @ relay

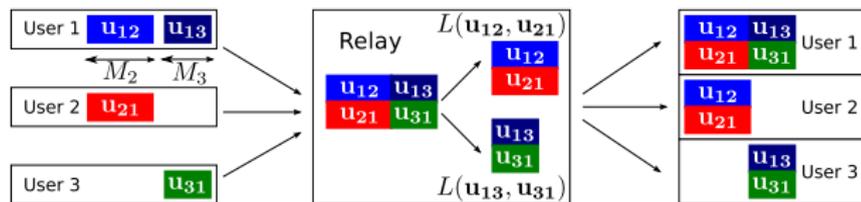
General Transmission Strategy



Case 1: $d = \min\{2M_2 + 2M_3, M_1 + M_2 + M_3, 2N\} = 2M_2 + 2M_3$

- Use only $M_2 + M_3$ antennas at the relay
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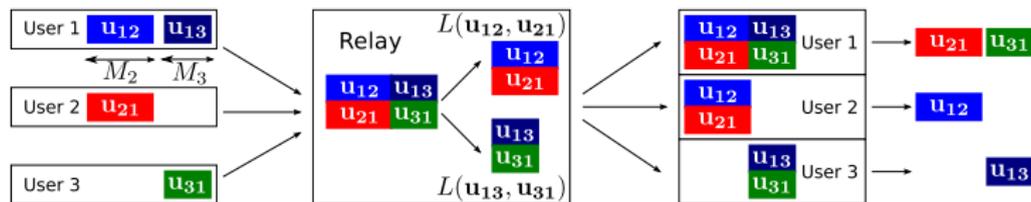
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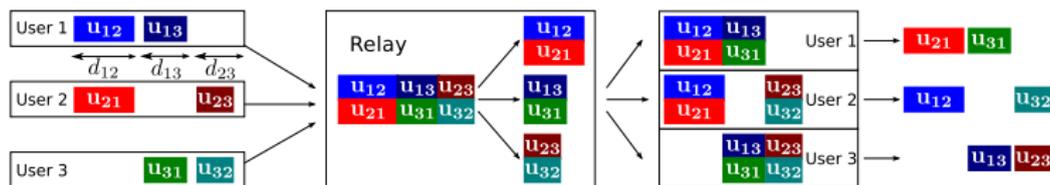
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- Each user decodes the desired linear combinations, and extracts the desired signals $\Rightarrow 2M_2 + 2M_3$ DoF

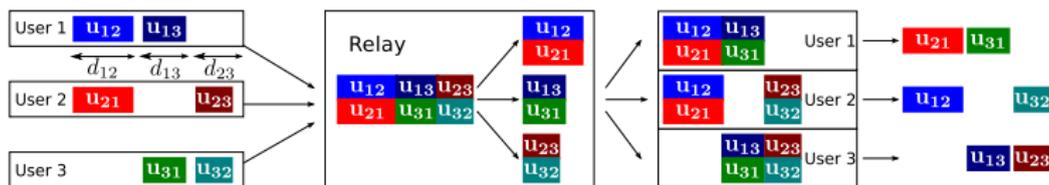
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Case 2: $d = \min\{2M_2 + 2M_3, M_1 + M_2 + M_3, 2N\} = M_1 + M_2 + M_3$

- Similar to [Lee et al. 10] but with an **asymmetric DoF allocation**

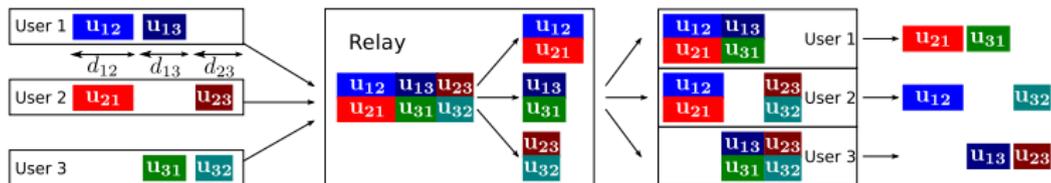
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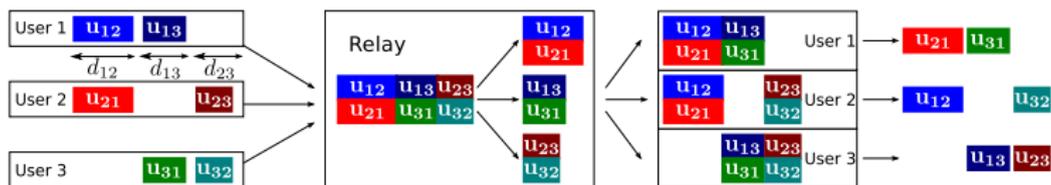
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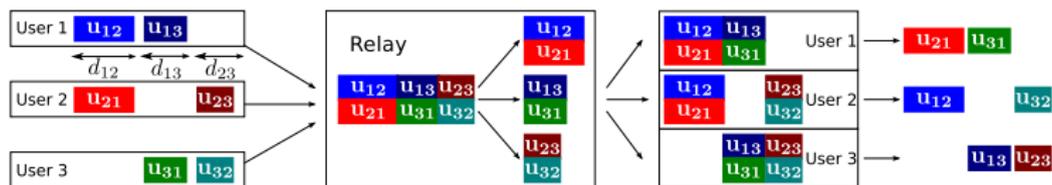
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- Achieve $d = 2d_{12} + 2d_{13} + 2d_{23} = M_1 + M_2 + M_3$ DoF

General Transmission Strategy

Case 3: $d = \min\{2M_2 + 2M_3, M_1 + M_2 + M_3, 2N\} = 2N$

- Reduce the number of antennas at the users so that
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General Transmission Strategy

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General Transmission Strategy

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Remark: If $M_3 = 0 \Rightarrow$ sum-DoF of the two-way relay channel

Outline

- ① From Capacity to DoF
- ② MIMO Two-Way Relay Channel
 - Channel diagonalization
 - Signal Alignment
- ③ MIMO multi-way relay channel
 - Sum-DoF
 - DoF Region
- ④ MIMO Multi-way Channel

Importance of DoF region

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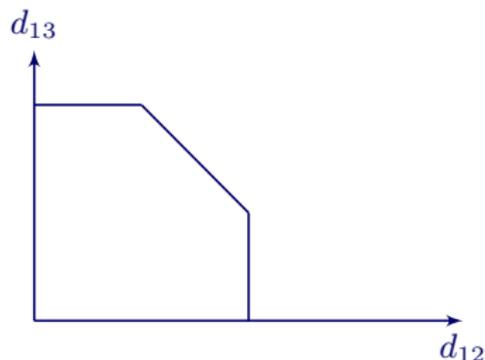
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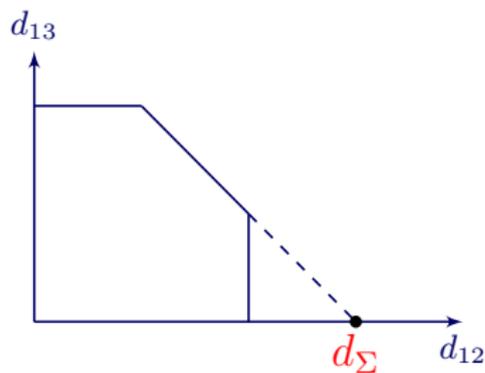
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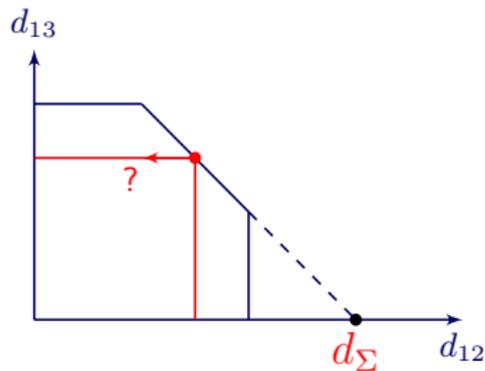
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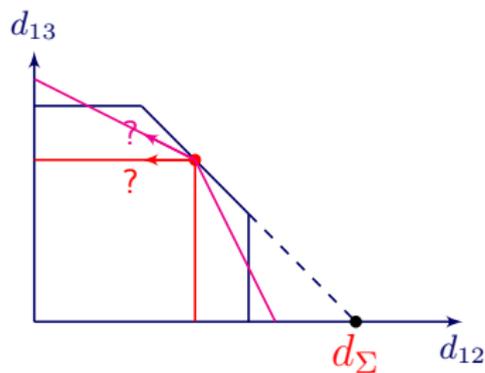
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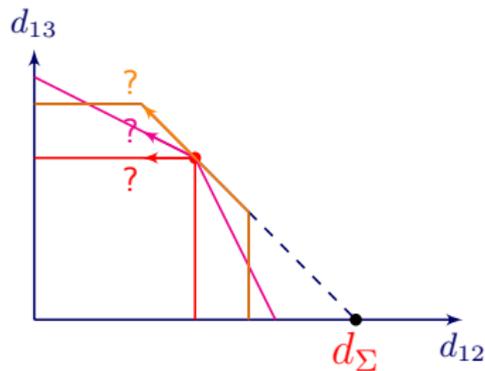
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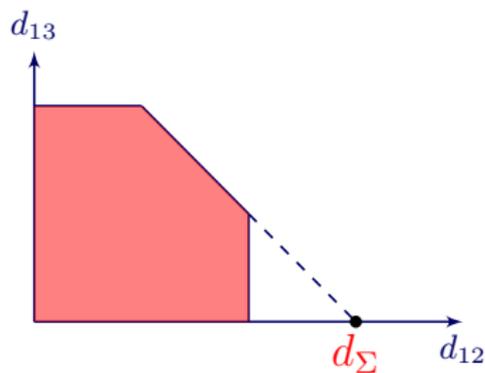
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Goal

Find the DoF region of the MIMO Y-channel.

DoF Region

- Bi-directional communication suffices for sum-DoF,

DoF Region

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- True for DoF-region?

DoF Region

- Bi-directional communication suffices for sum-DoF,
- True for DoF-region?
- No!

Optimal scheme is a combination of bi-directional, cyclic, and uni-directional schemes.

Simple example

Goal: Achieve the DoF tuple:
over a Y-channel with
 $M = N = 3$

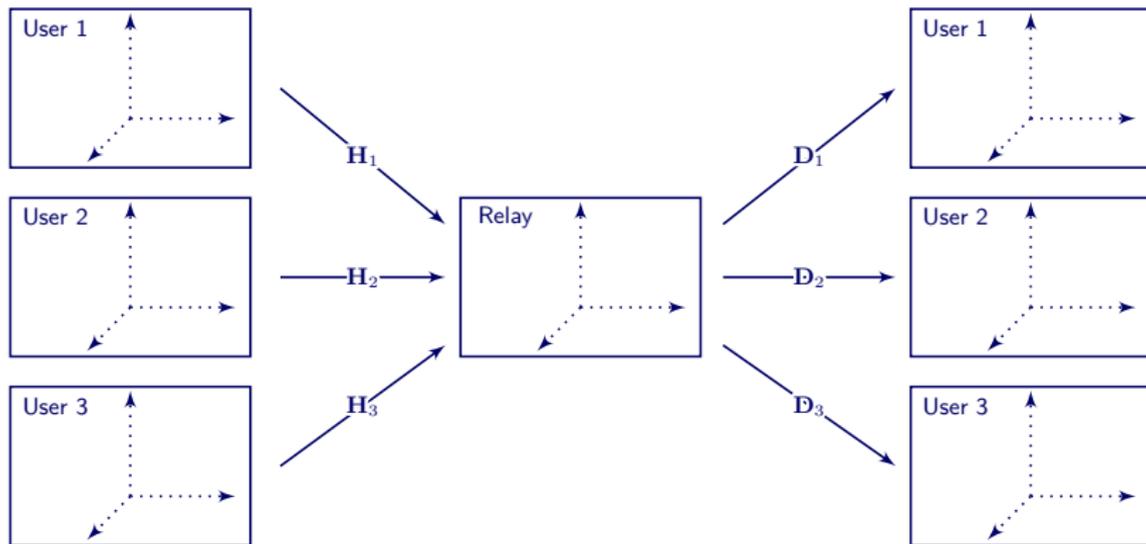
d_{12}	d_{13}	d_{21}	d_{23}	d_{31}	d_{32}
2	0	1	1	1	0

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Lets try uni-directional

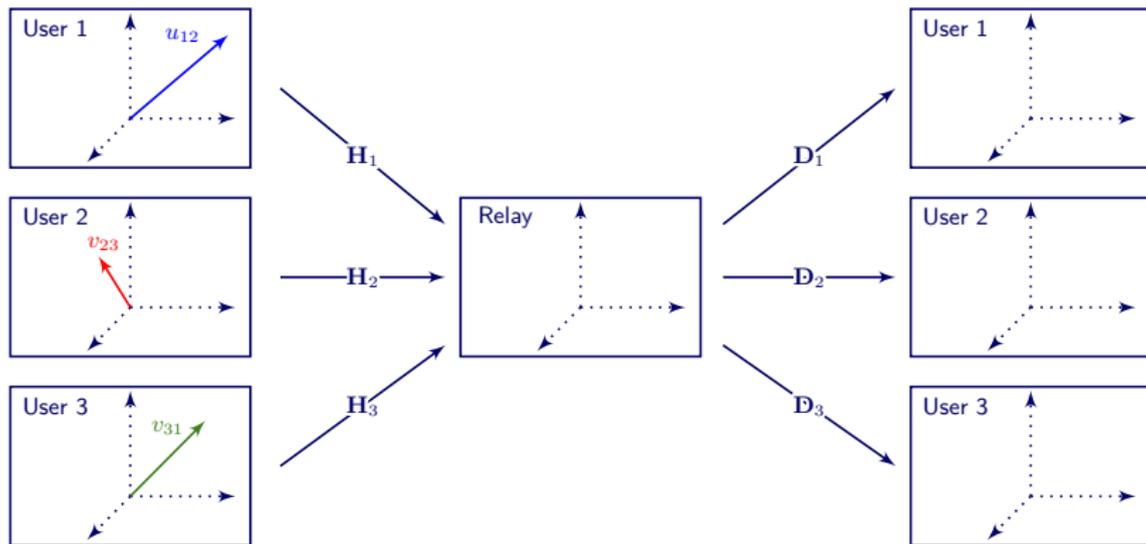


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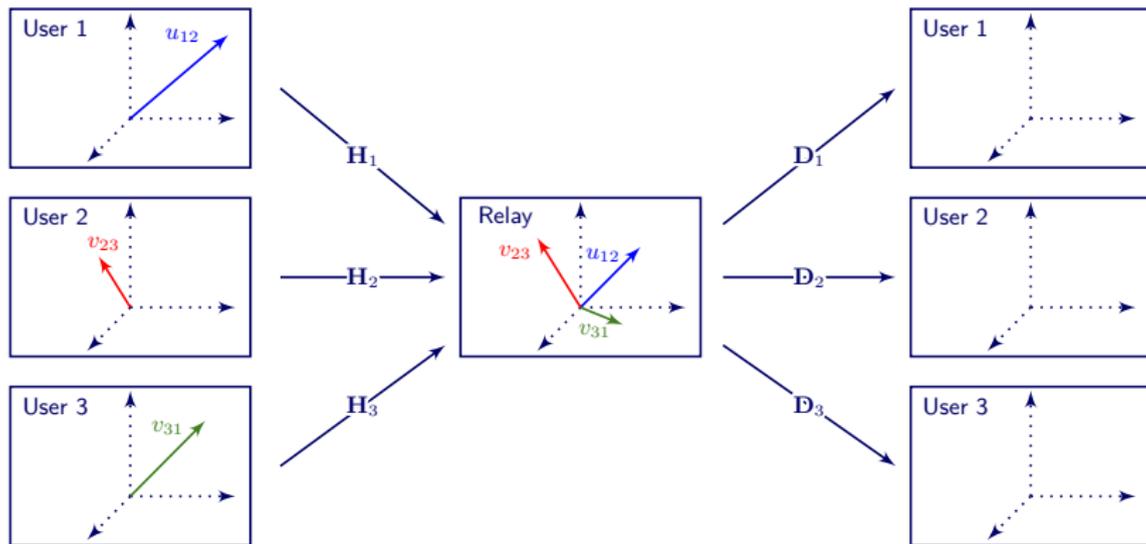


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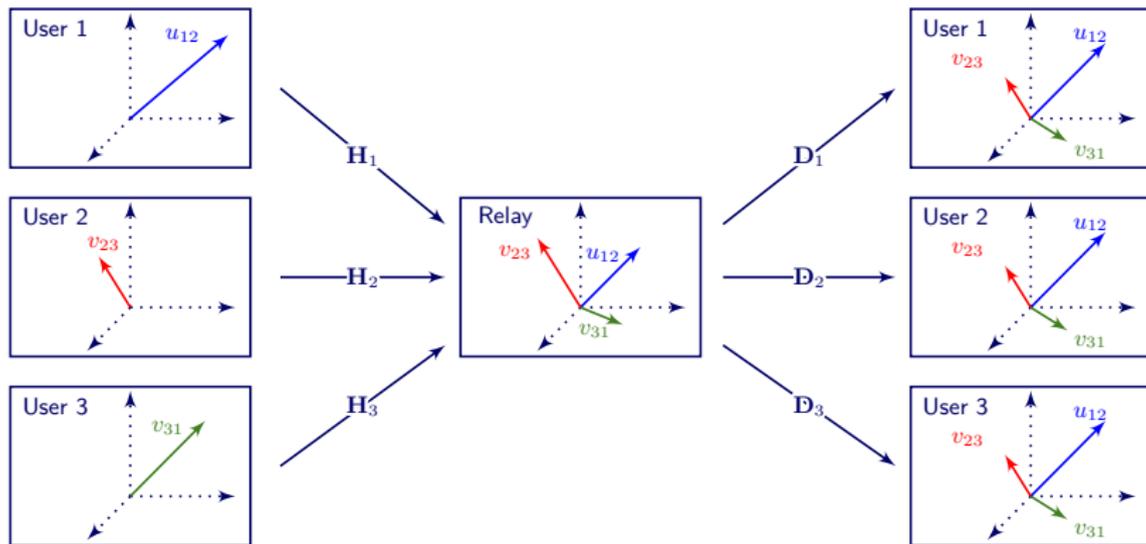


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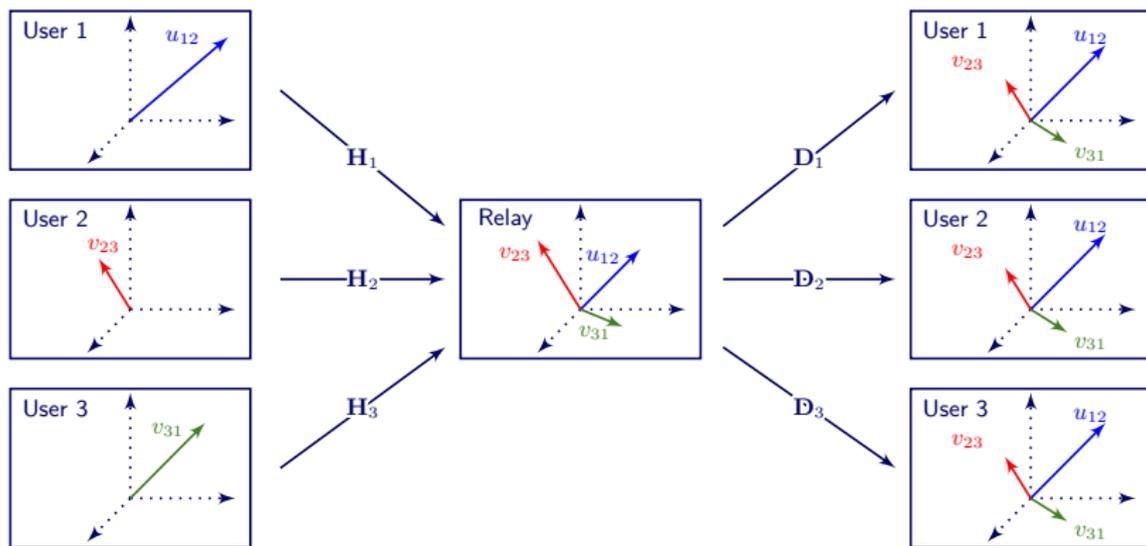


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d_{12}	d_{13}	d_{21}	d_{23}	d_{31}	d_{32}
$\cancel{2}$ 1	0	1	$\cancel{1}$ 0	$\cancel{1}$ 0	0

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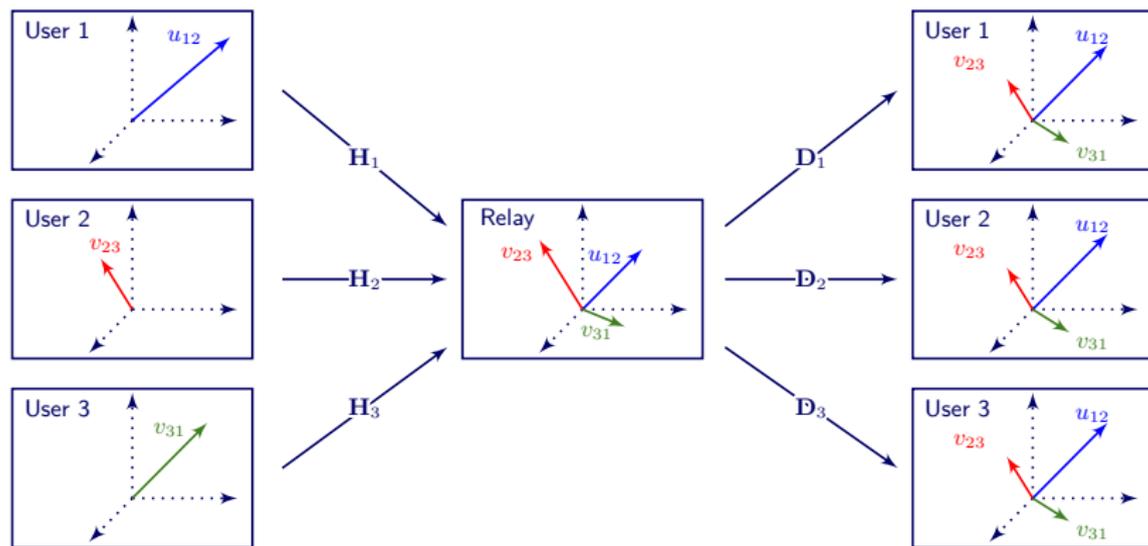


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Lets try uni-directional+ bi-directional

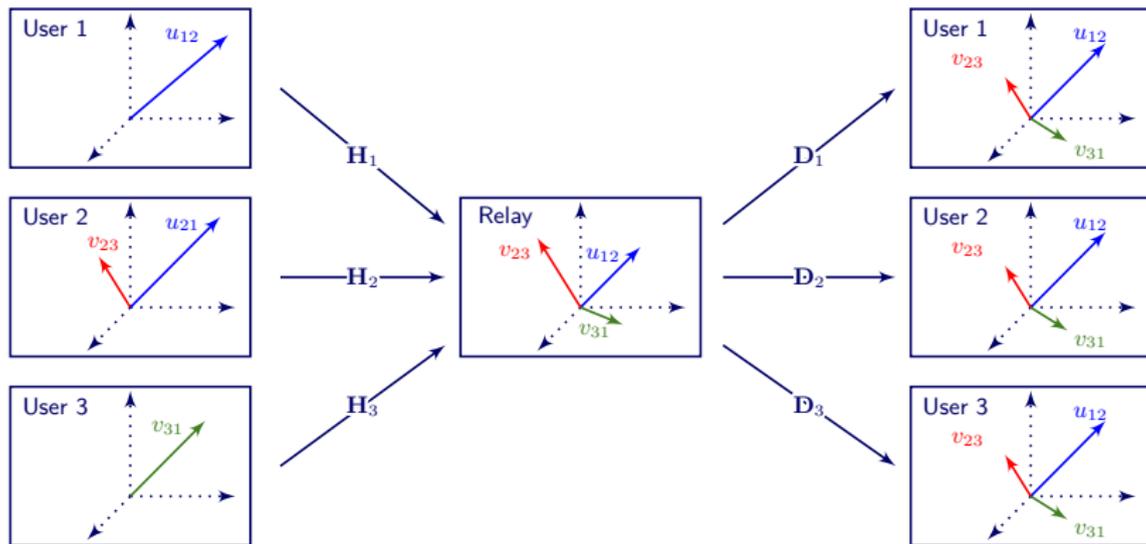


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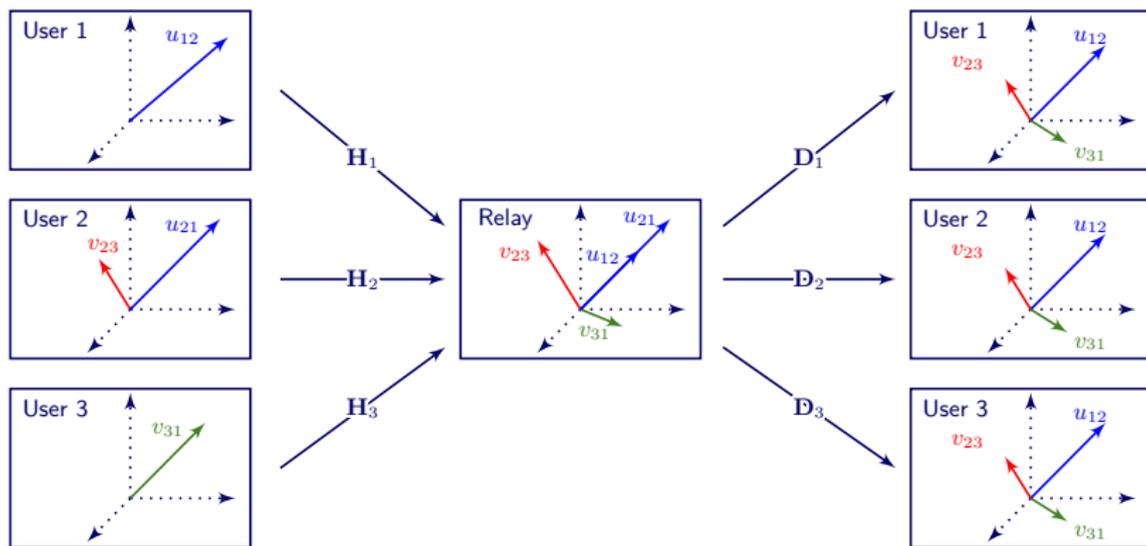


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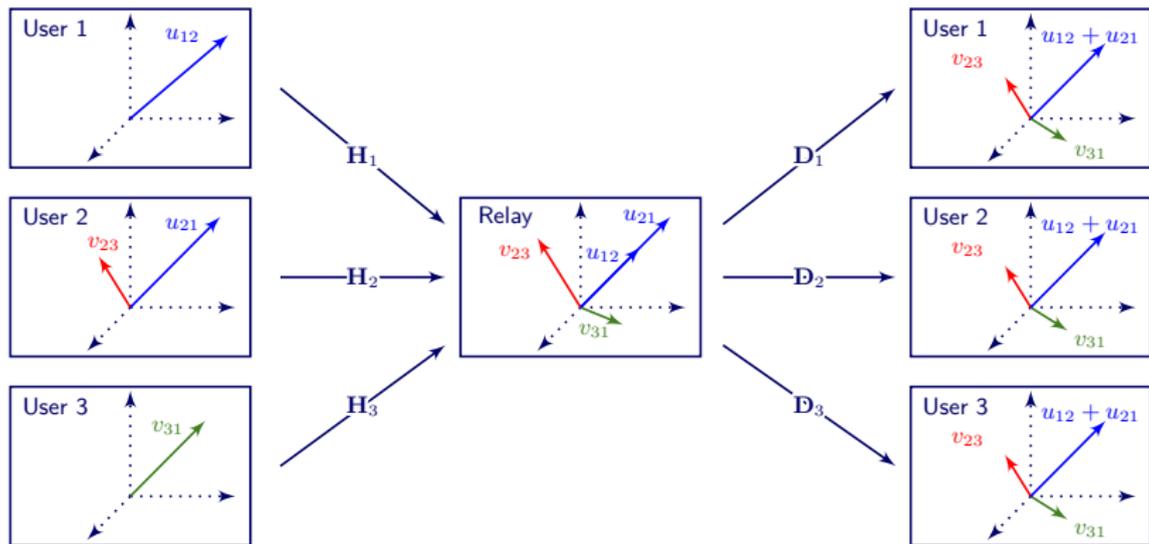


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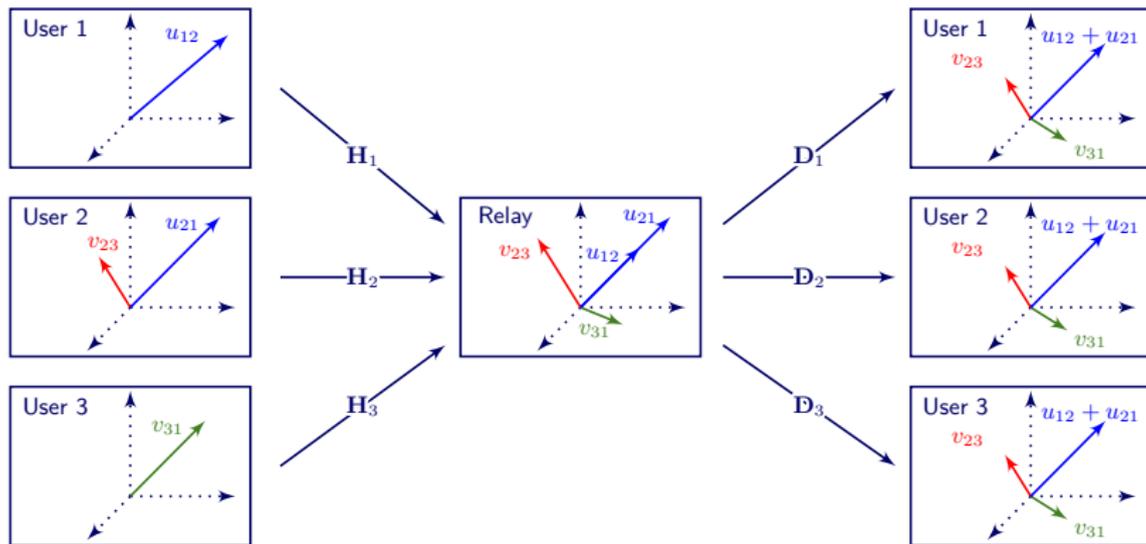


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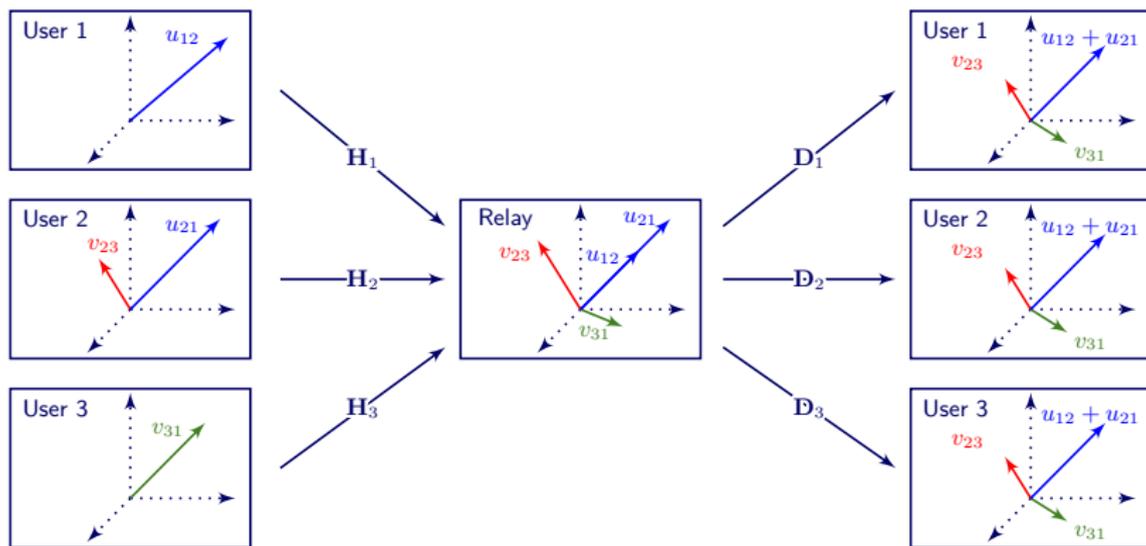


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Lets try uni-directional + bi-directional + Cyclic

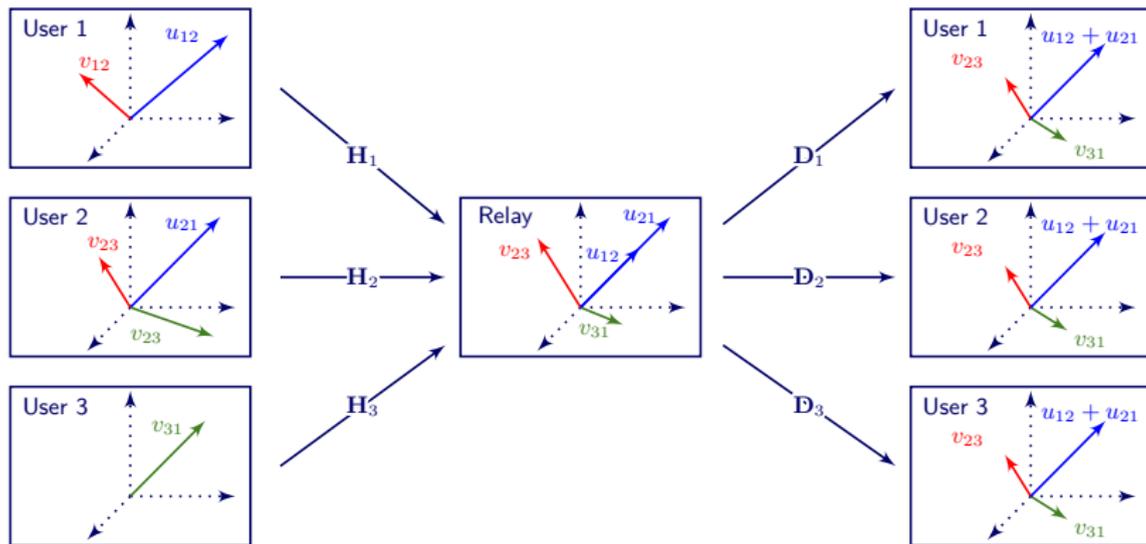


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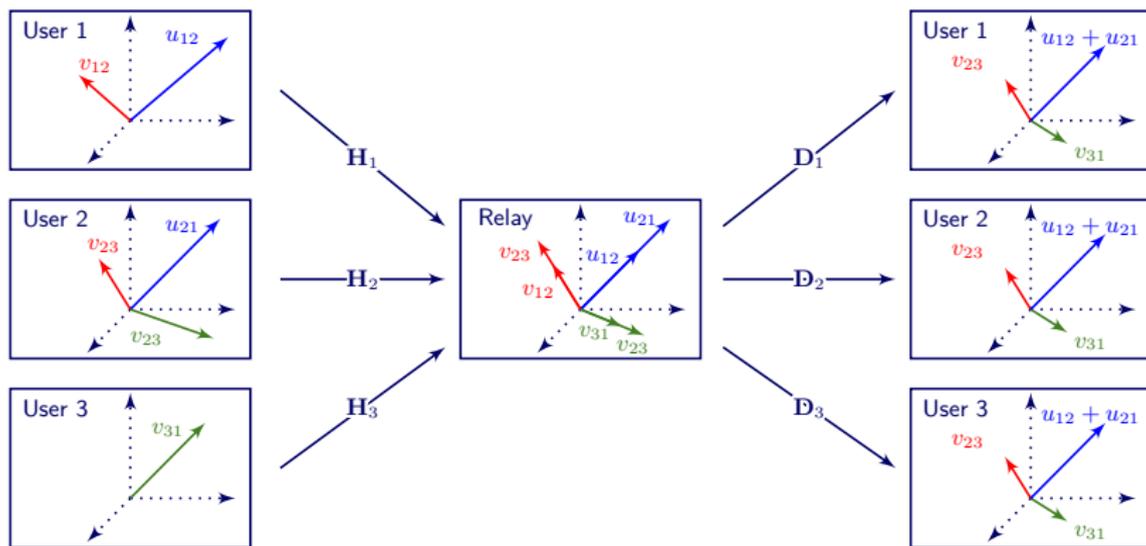


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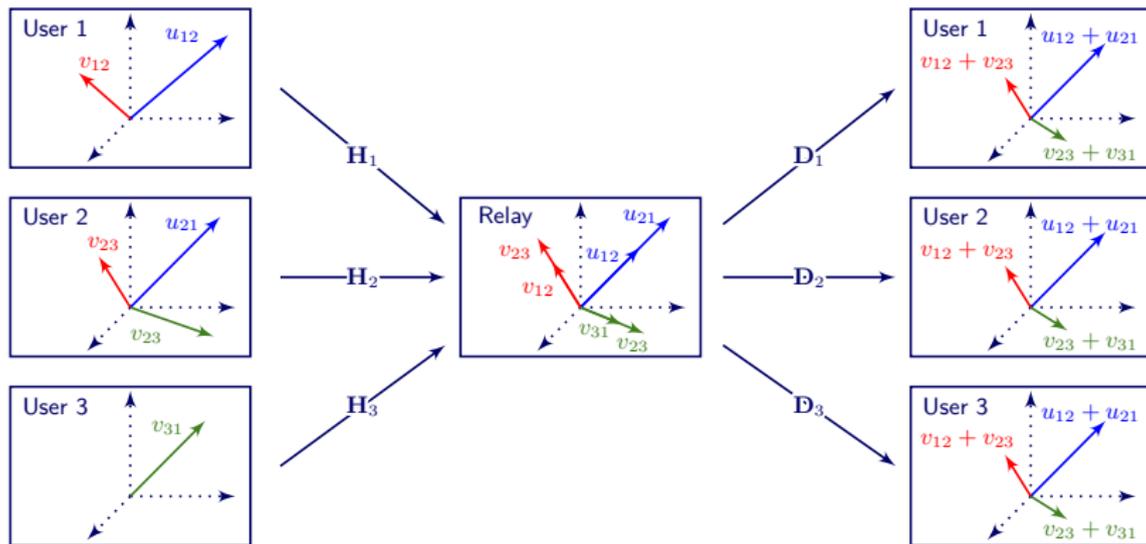


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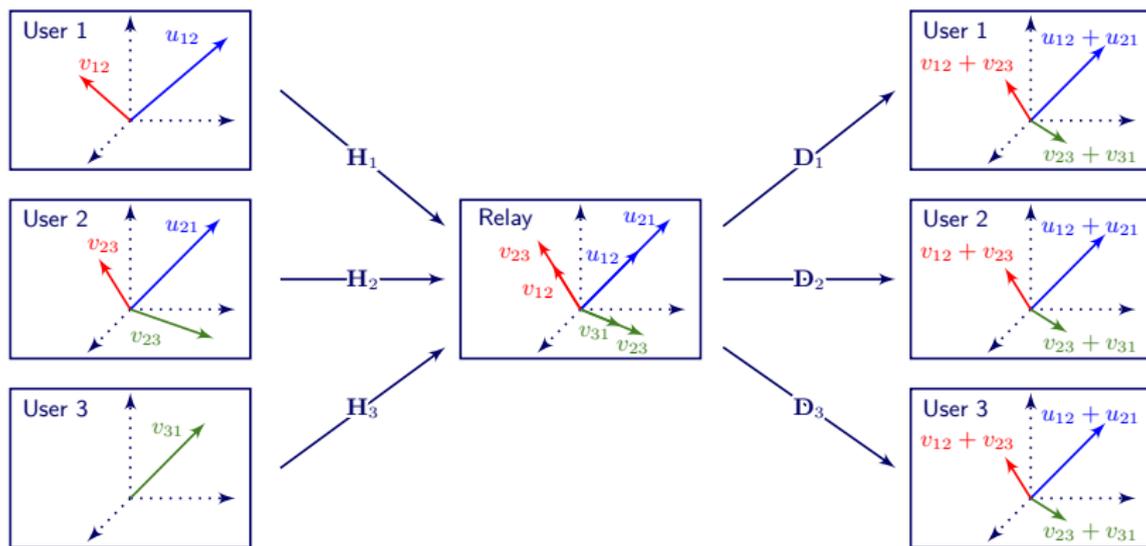


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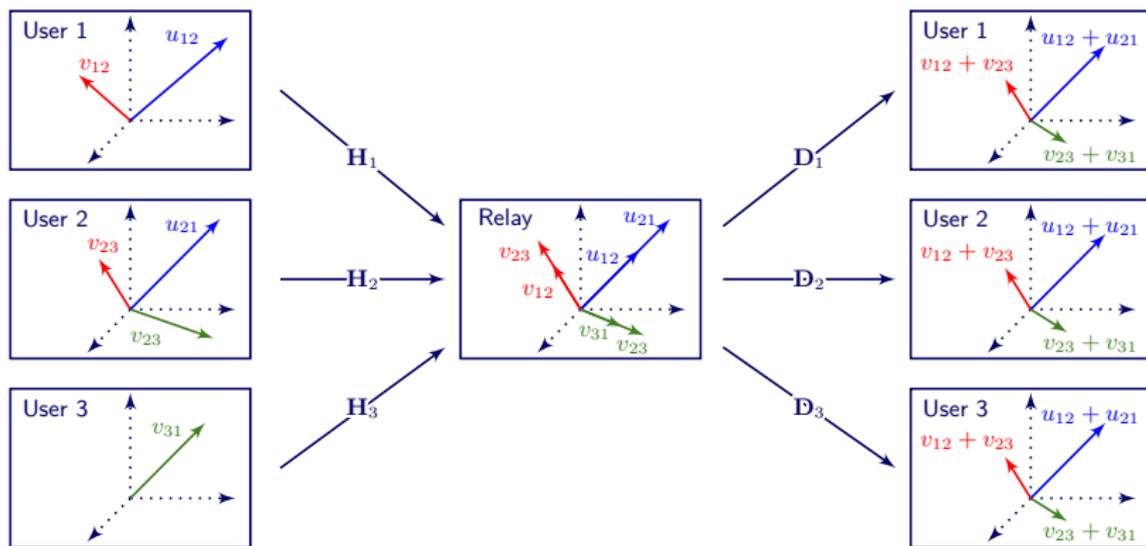


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$\cancel{2} / 1$	0	$\cancel{1} / 0$	$\cancel{1} / 0$	$\cancel{1} / 0$	0

Lets try uni-directional + bi-directional + Cyclic ← optimal combination



DoF Region

The DoF region of a 3-user MIMO Y-channel with $N \leq M$ is described by

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$$\vdots \quad \vdots \quad \vdots \quad \leq \quad \vdots$$

DoF region [C. & S. 2014]

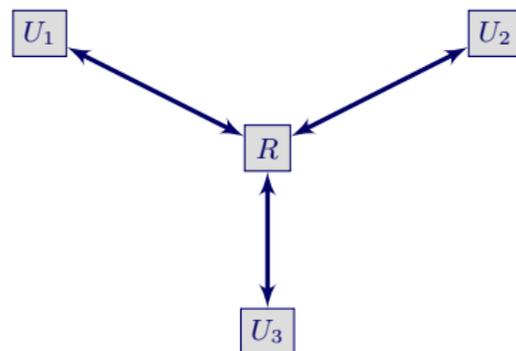
DoF region for $N \leq M$ described by

$$d_{p_1 p_2} + d_{p_1 p_3} + d_{p_2 p_3} \leq N, \quad \forall \mathbf{p}$$

where \mathbf{p} is a permutation of $(1, 2, 3)$ and p_i is its i -th component.

Overview

Achievability of \mathcal{D} is proved using:

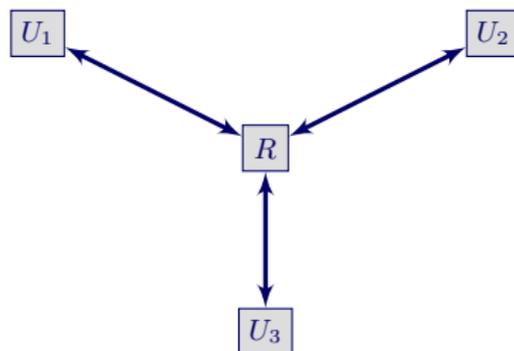


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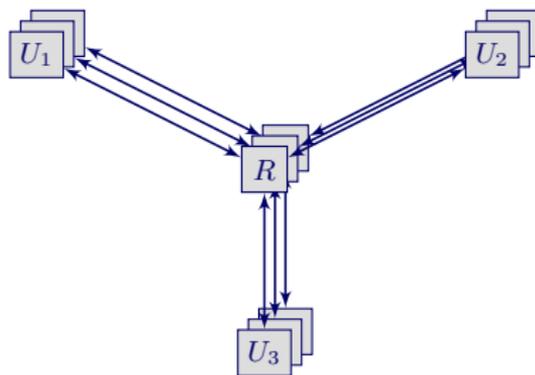


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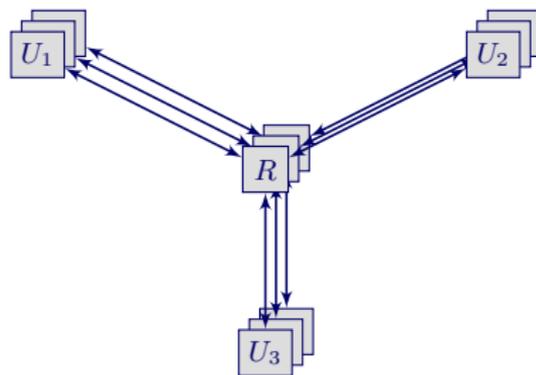
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Information exchange:



Overview

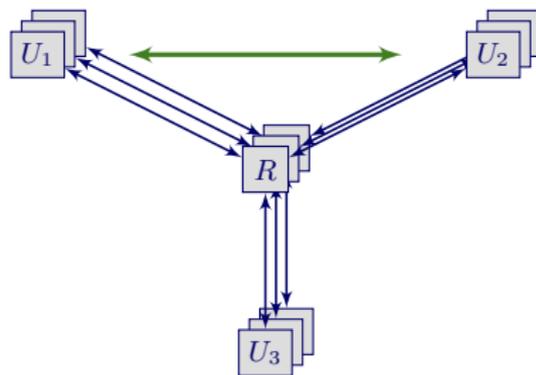
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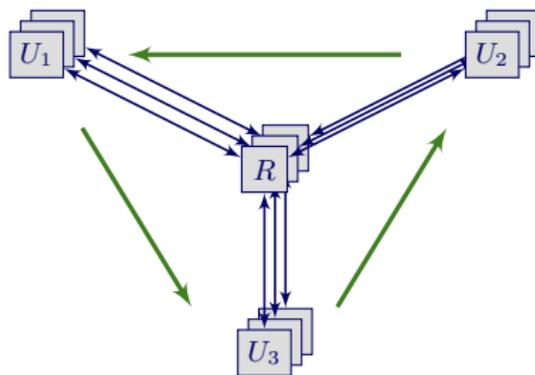


Overview

Achievability of \mathcal{D} is proved using:

Channel diagonalization:

MIMO Y-channel \rightarrow N SISO
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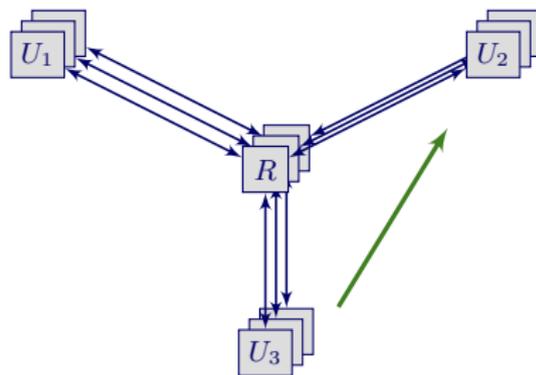
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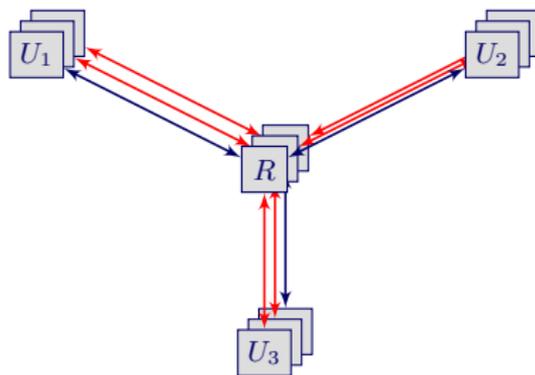
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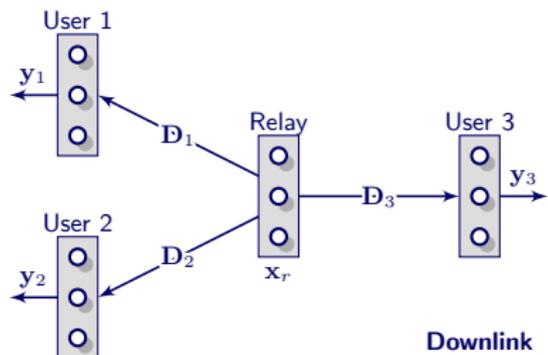
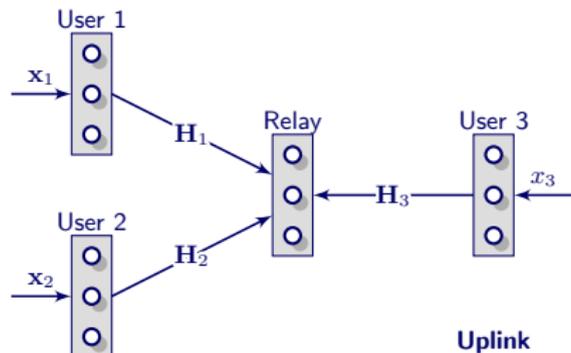
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Resource allocation: distribute sub-channels over users

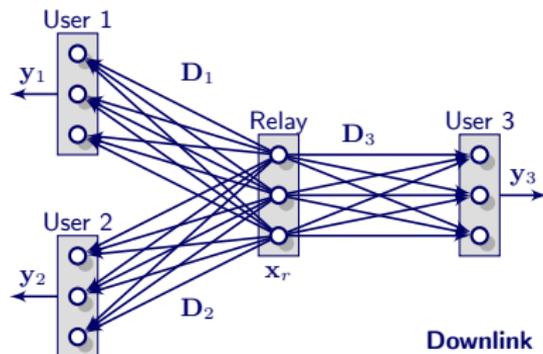
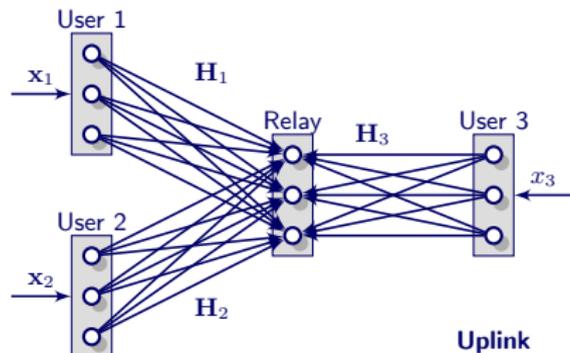
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- a MIMO Y-channel with $M = N = 3$



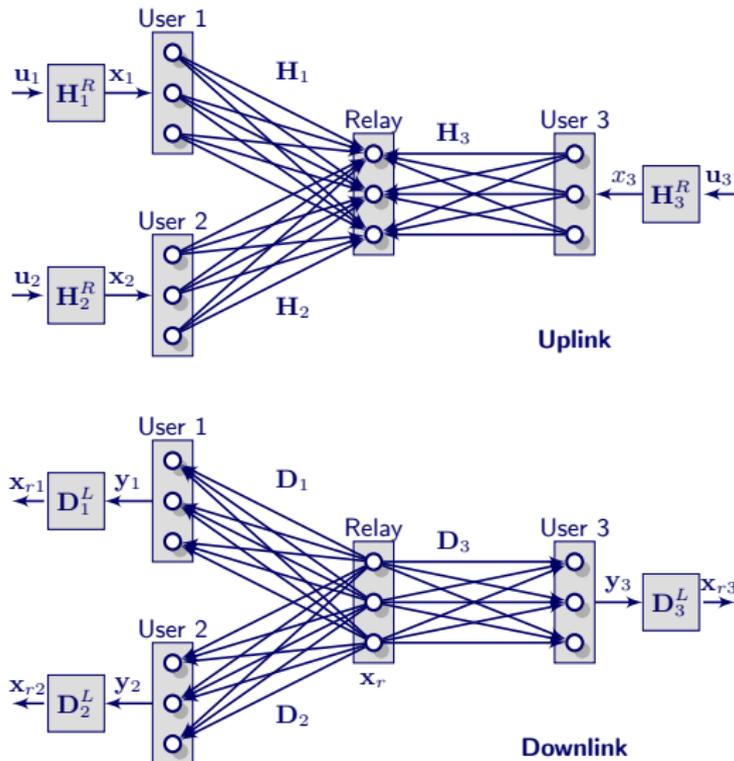
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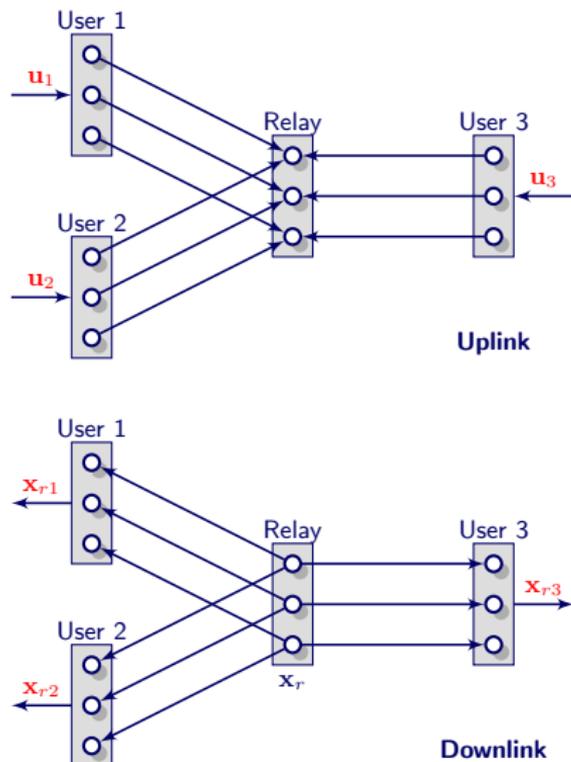
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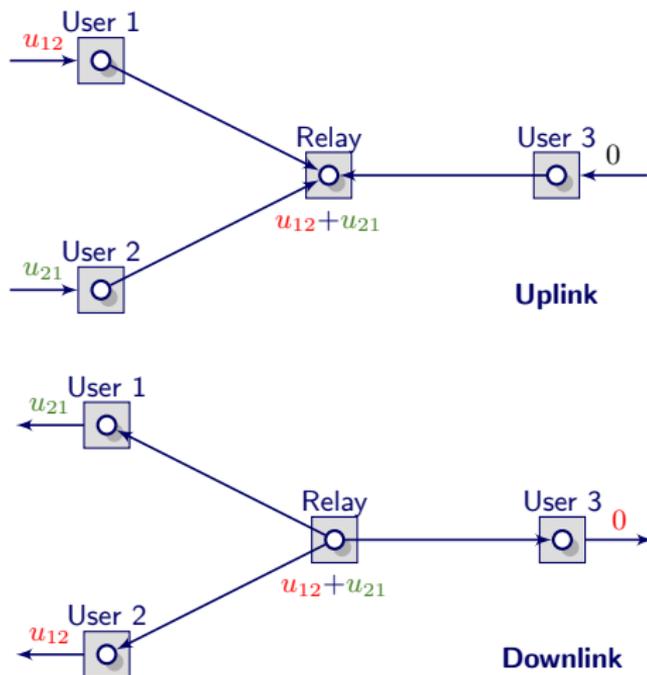
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Information transfer

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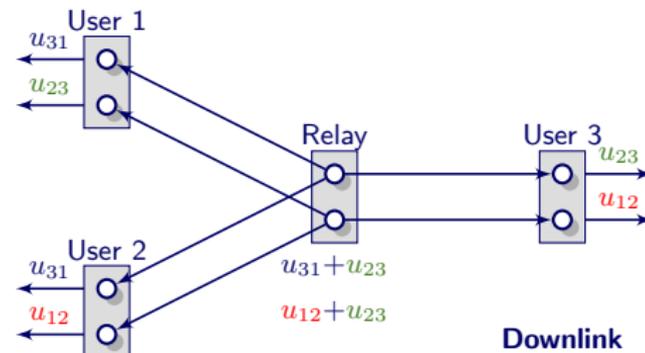
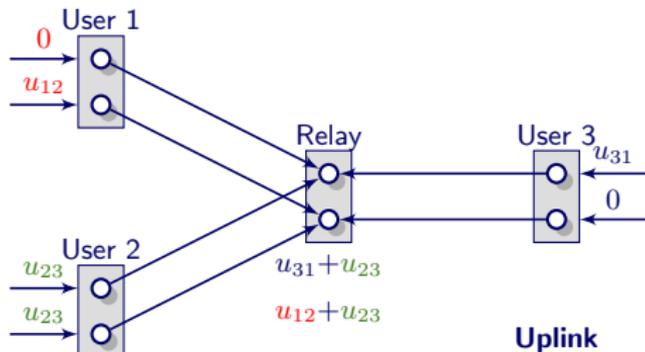
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- requires 1 sub-channel (up- and down-link)
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Information transfer

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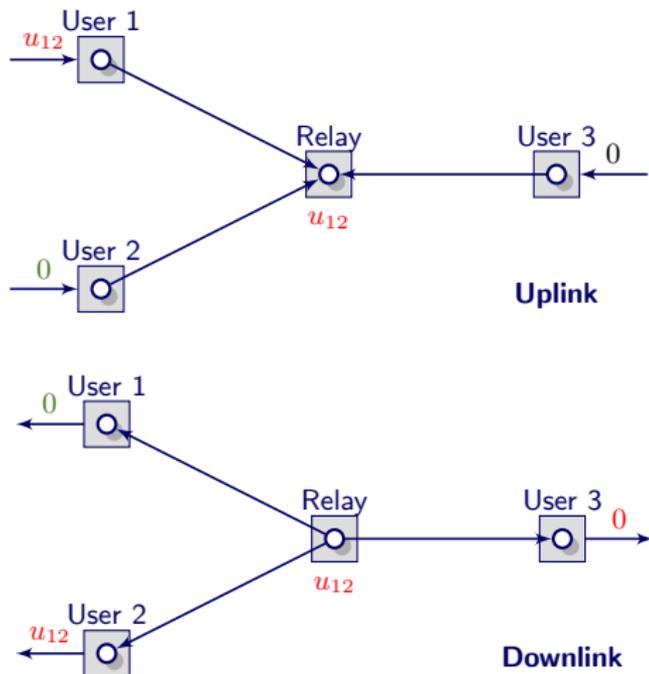
- **signal-alignment**
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- efficiency 3/2 DoF/dimension



Information transfer

Uni-directional:

- decode-forward
- exchanges 1 symbols
- requires 1 sub-channel (up- and down-link)
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Back to our example

DoF tuple $\mathbf{d} = (d_{12}, d_{13}, d_{21}, d_{23}, d_{31}, d_{32}) = (2, 0, 1, 1, 1, 0)$, Y-channel with $3 = N \leq M$

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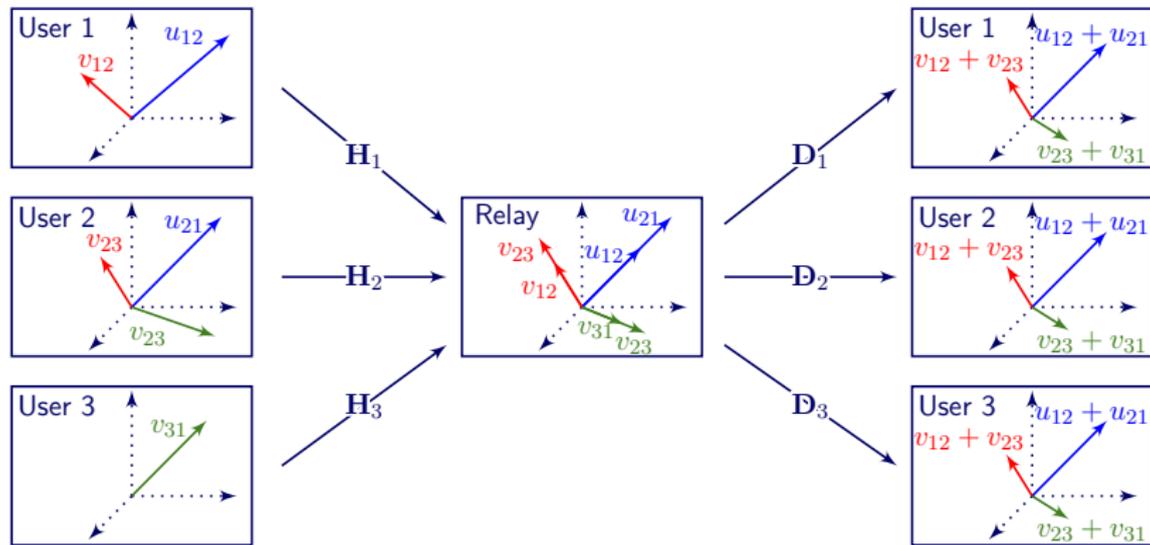
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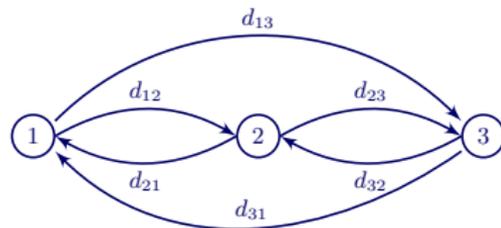
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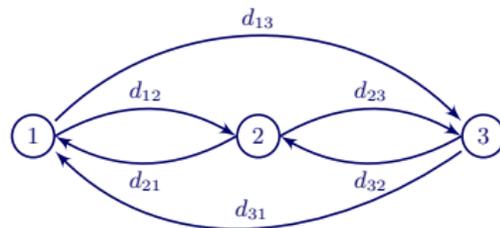
In General

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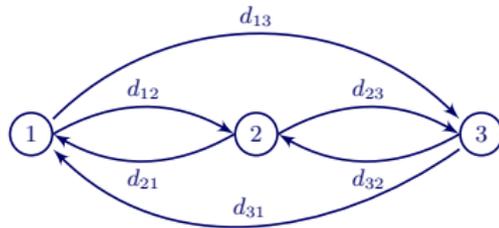
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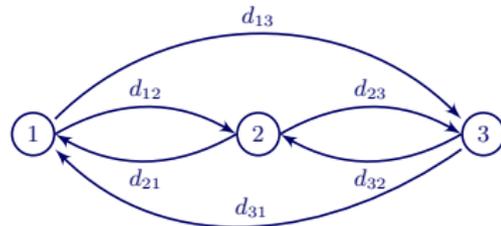
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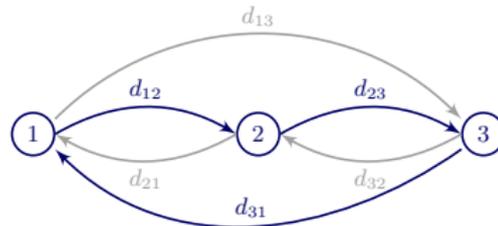
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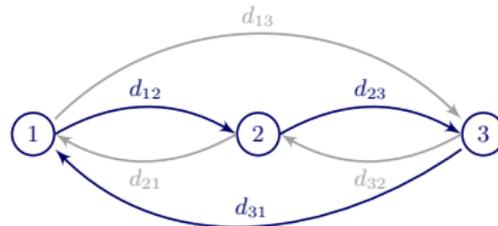


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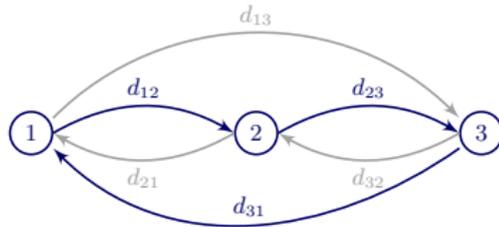
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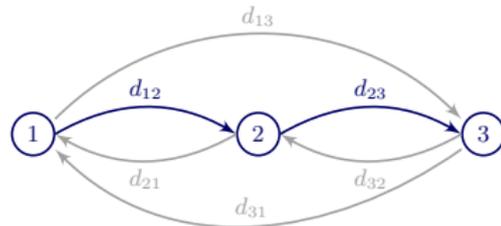
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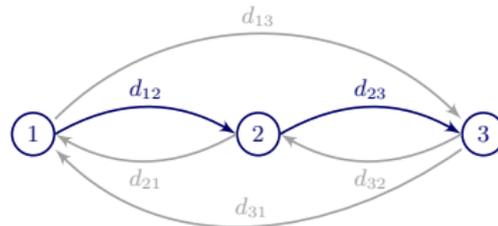
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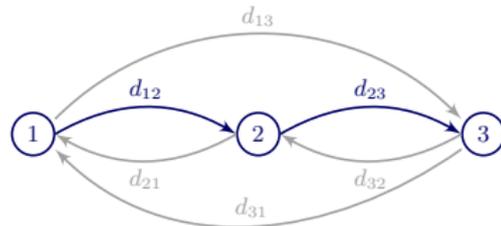
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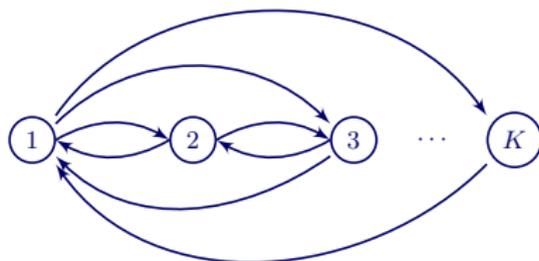
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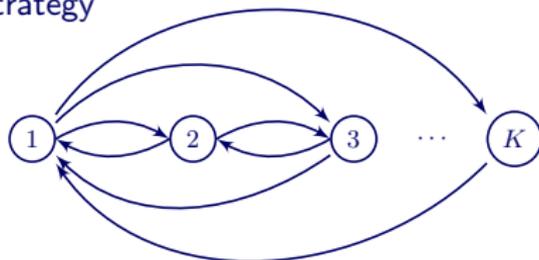
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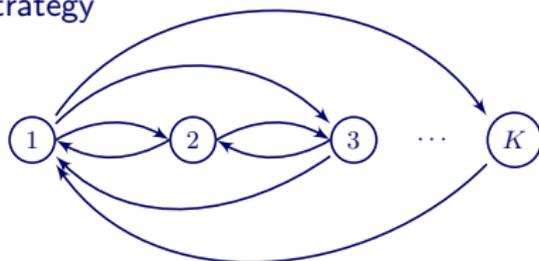
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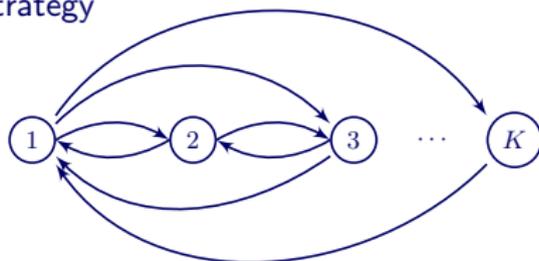
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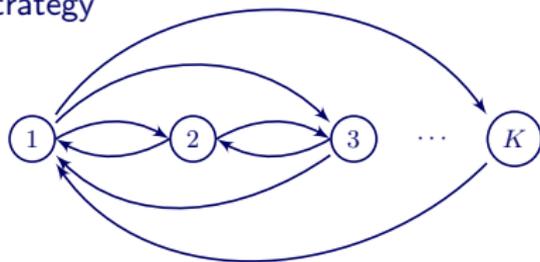
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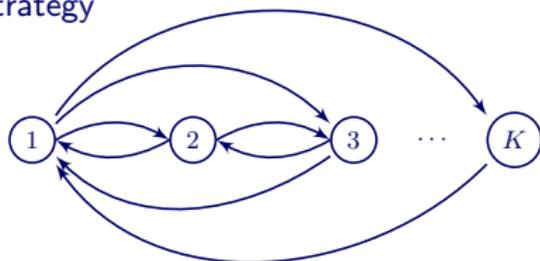
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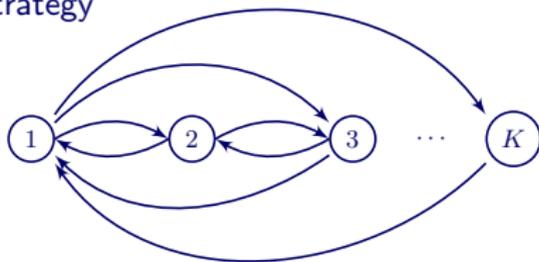
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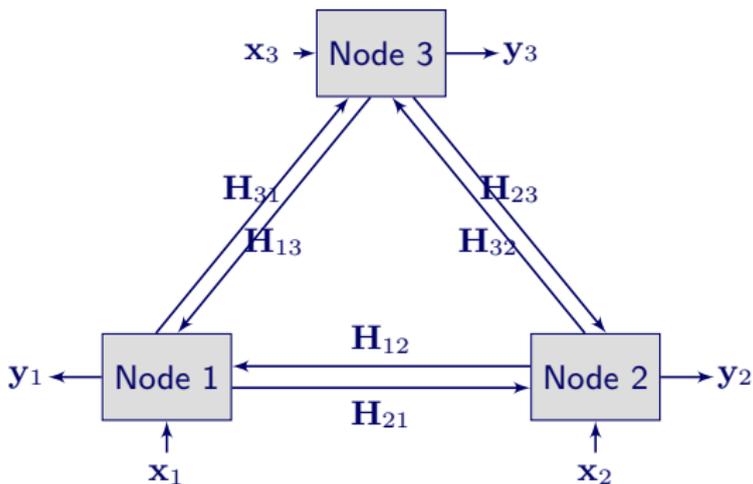


Cyclic communication requires **joint encoding over multiple sub-channels** \Rightarrow MIMO Y-channels are in general inseparable!

Outline

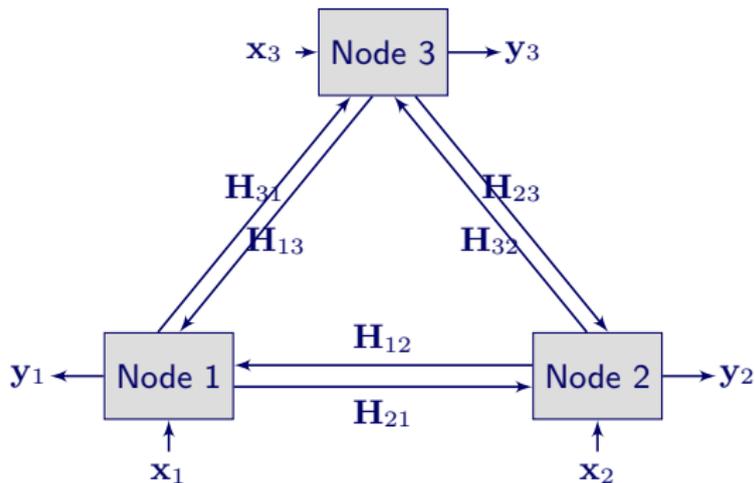
- 1 From Capacity to DoF
- 2 MIMO Two-Way Relay Channel
 - Channel diagonalization
 - Signal Alignment
- 3 MIMO multi-way relay channel
 - Sum-DoF
 - DoF Region
- 4 MIMO Multi-way Channel

MIMO 3-Way Channel



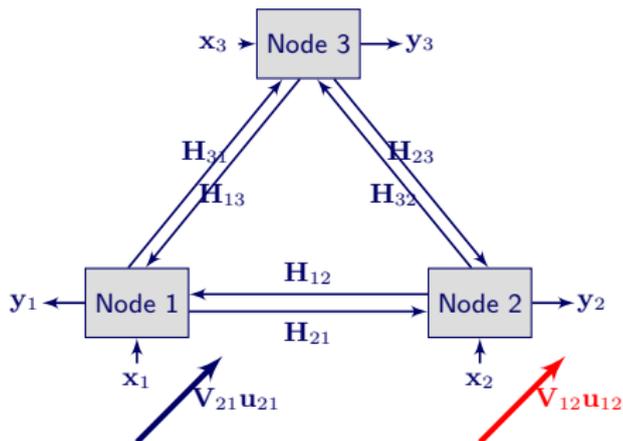
- $\mathbf{y}_k = \mathbf{H}_{kj}\mathbf{x}_j + \mathbf{H}_{ki}\mathbf{x}_i + \mathbf{z}_k,$

MIMO 3-Way Channel



- $y_k = \mathbf{H}_{kj}\mathbf{x}_j + \mathbf{H}_{ki}\mathbf{x}_i + \mathbf{z}_k$,
- Capacity scaling (DoF)?

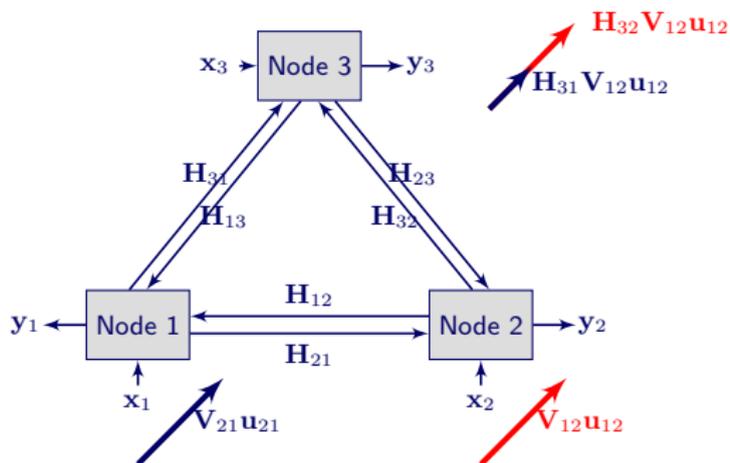
Transmission Scheme



Communication $i \leftrightarrow j$:

- Node i sends $\mathbf{x}_i = \mathbf{V}_{ji}\mathbf{u}_i$, node j sends $\mathbf{x}_j = \mathbf{V}_{ij}\mathbf{u}_j$

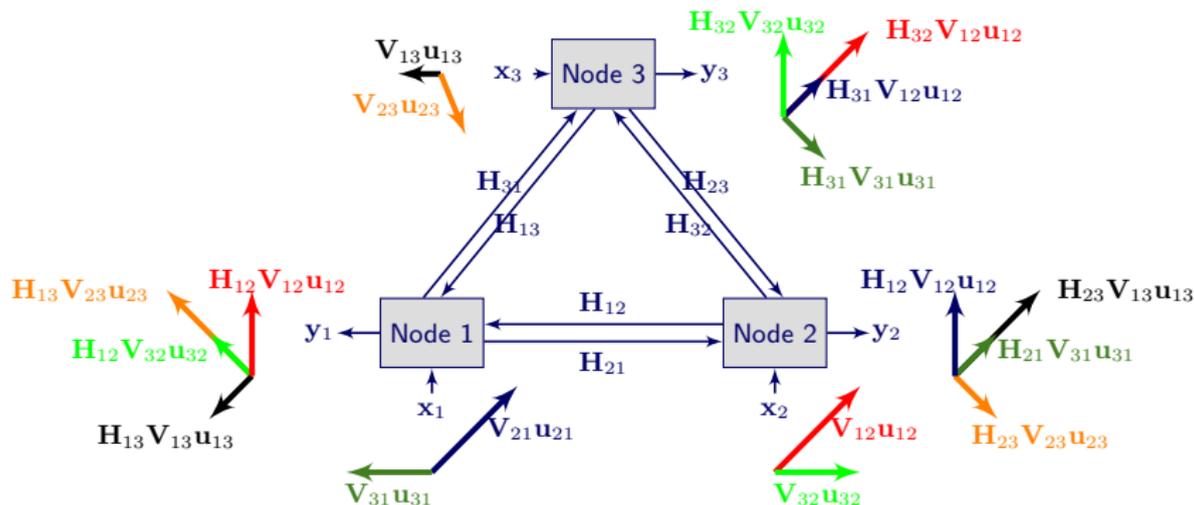
Transmission Scheme



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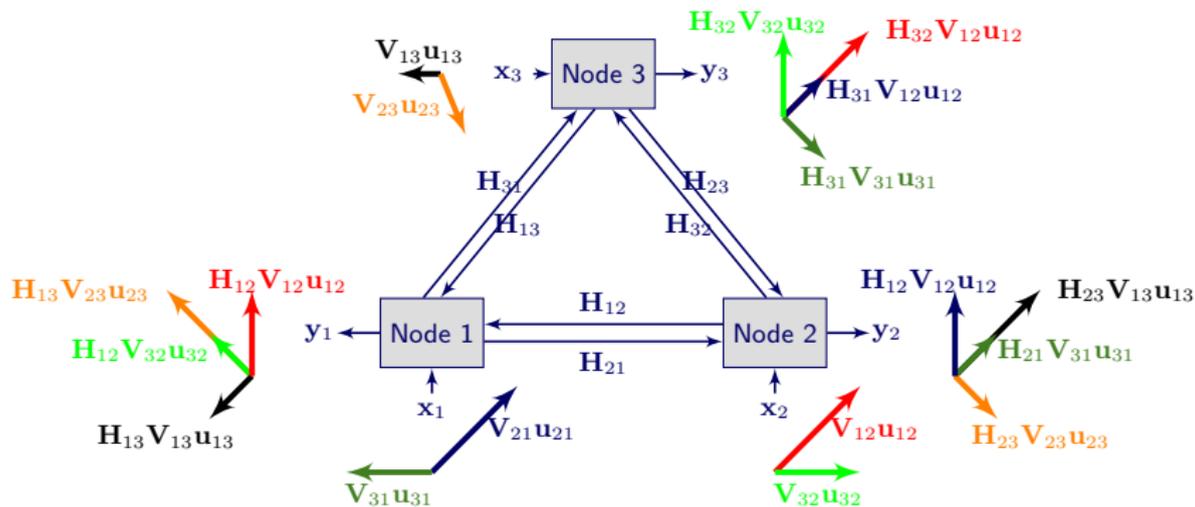
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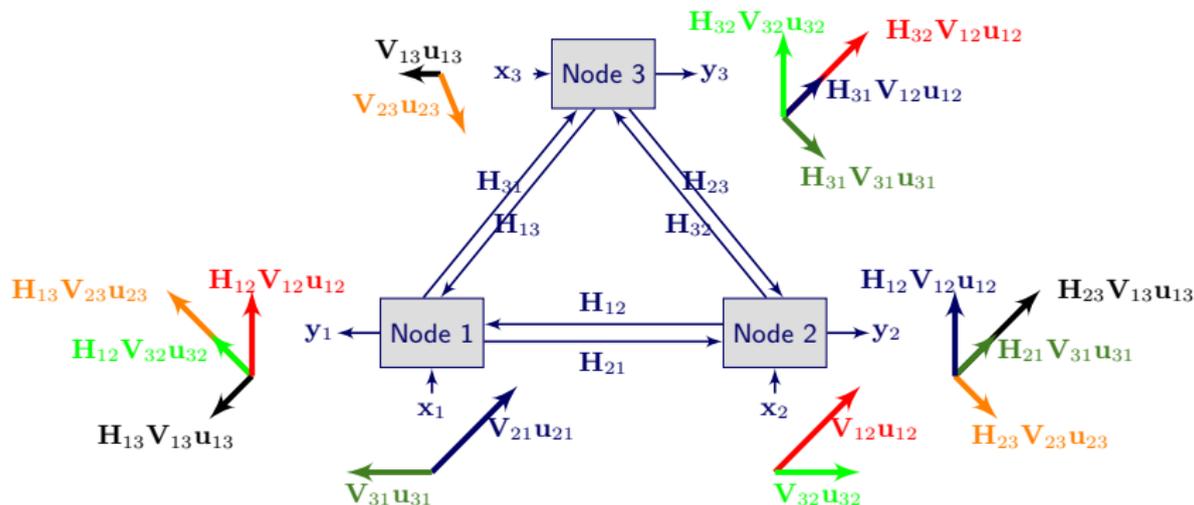
Transmission Scheme



Achievable DoF:

- If $M_1 \geq M_2 \geq M_3$, DoF is $2M_2$

Transmission Scheme



Achievable DoF:

- If $M_1 \geq M_2 \geq M_3$, DoF is $2M_2$
- Optimal scheme (genie-aided bound)

Summary

Two-way Relay Channel:

- Quantize-forward achieves similar rate as CF [Avestimehr *et al.* 10]
- Capacity region known within a constant gap [Nam *et al.* 10]
- Capacity of the BC phase is known [Oechtering *et al.* 08]
- Fading and scheduling [Shaqfeh *et al.* 13]
- Impact of CSIT [Yang *et al.* 13]
- Impact of direct channels [Avestimehr *et al.* 10]
- Energy harvesting [Tutuncuoglu *et al.* 13]
- Multiple relays [Vaze & Heath 09]

Multi-way Relay Channel:

- 3-user LD case with relay private messages, and 4-user LD case [Zewail *et al.* 13]
- Direct links between users [Lee & Heath 13]
- Multi-cast setting: compress-forward [Gündüz *et al.* 13], compute-forward [Ong *et al.* 12]
- Fading case [Wang *et al.* 12]

Multi-way Channel:

- Capacity of classes of 3-way channels [Ong 12]
- Two-way interference channel [Rost 11]
- Two-way IC (feedback better than info. transmission!) [Suh *et al.* 13]
- Two-way networks (MAC,BC,TWC) [Cheng & Devroye 14]

MIMO Two-way Relay Channel:

- Diversity-multiplexing trade-off [Gündüz *et al.* 08], [Vaze & Heath 11],
- Cognition, multiple relays [Alsharoa *et al.* 13],
- Multi-pair sum-rate optimization in [S. *et al.* 09],
- DoF of the K -pair case [Lee & Heath 13], [Cheng & Devroye 13],
- Imperfect CSI [Ubaidulla *et al.* 13], [Zhang *et al.* 13],

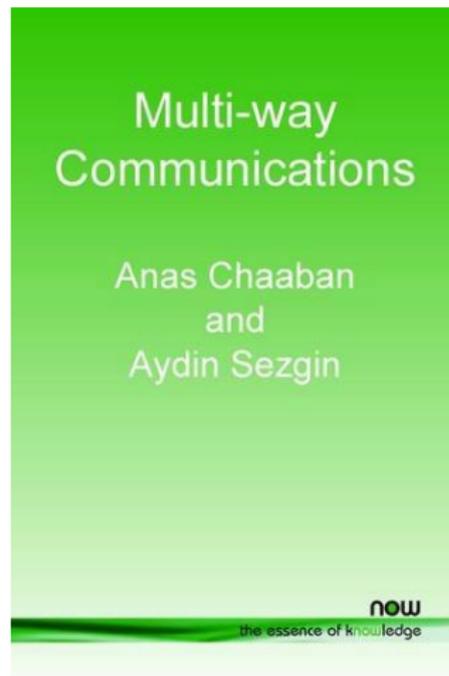
MIMO Multi-way Relay Channel:

- Multi-cluster multi-way relay channels [Tian & Yener 12],
- Performance optimization [Teav *et al.* 14],
- K -user achievable sum-DoF [Lee *et al.* 12],
- Full- vs. half-duplex, global vs. local CSI [Lee & Chun 11],

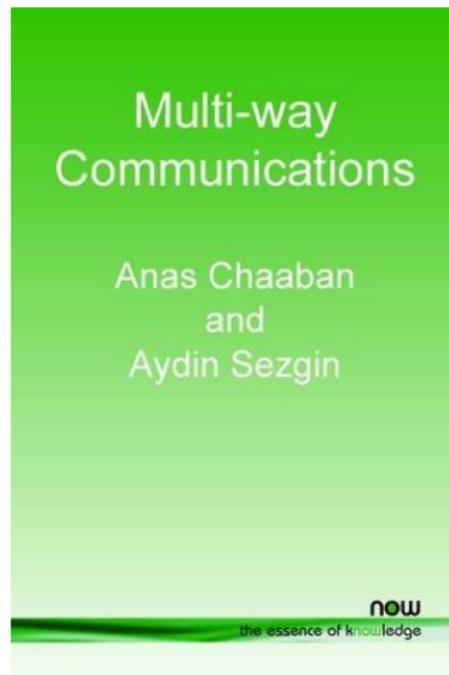
Interesting Problems

- Optimizing the uplink in the two-way relay channel
- Exploiting two-way relaying in larger networks
- Constellations for PLNC and their performance
- Multi-relay cases
- General (approximate) capacity expression for the K -user multi-way relay channel
- Extensions of the multi-way channel to K -users
- Fading multi-way channels
- Capacity region study of the MIMO two-way relay channel
- Sum-DoF of K -user multi-way relay channels (4-user case characterized recently [Wang 14])
- Rate maximization/power minimization,
- Self-interference cancellation techniques and their impact

All this and more **to appear** in:



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Thank you for your attention!

Optimality

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Total number of dimensions required to achieve $\mathbf{d} \in \mathcal{D}$:

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 \end{aligned}$$

No cycles $\Rightarrow N_s \leq N$

Optimality

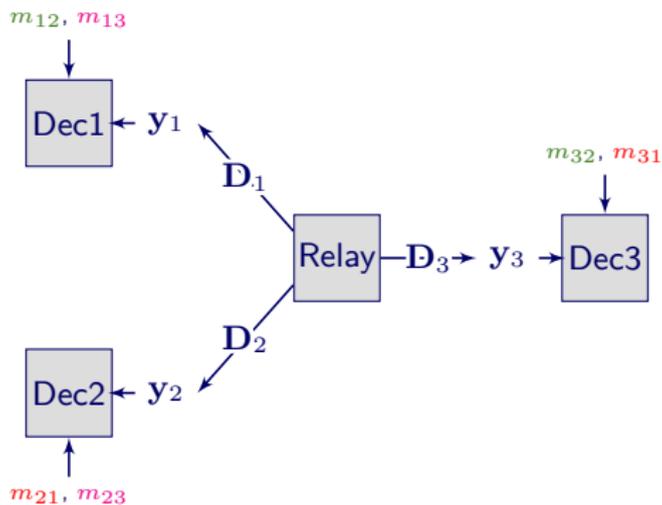
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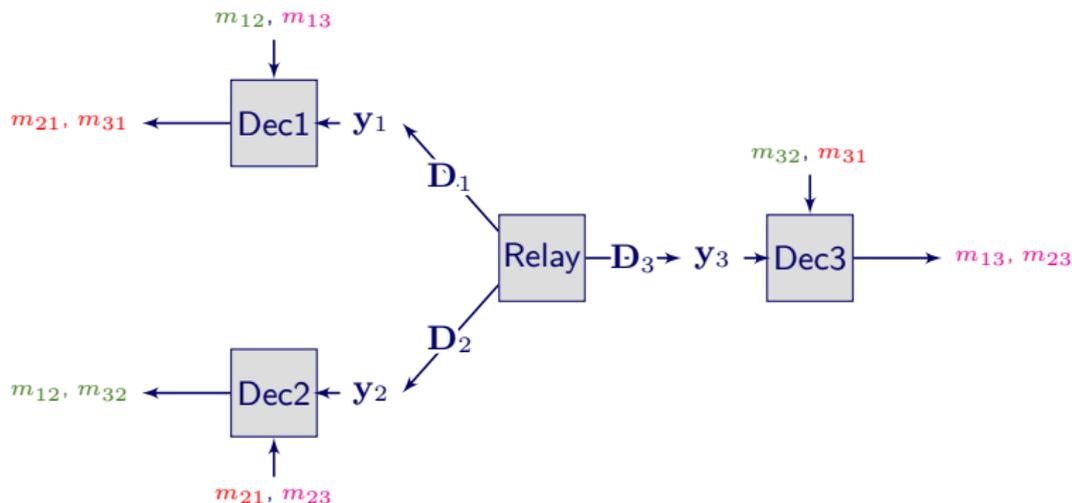
No cycles $\Rightarrow N_s \leq N \Rightarrow$ All $\mathbf{d} \in \mathcal{D}$ are achievable

Outer bound



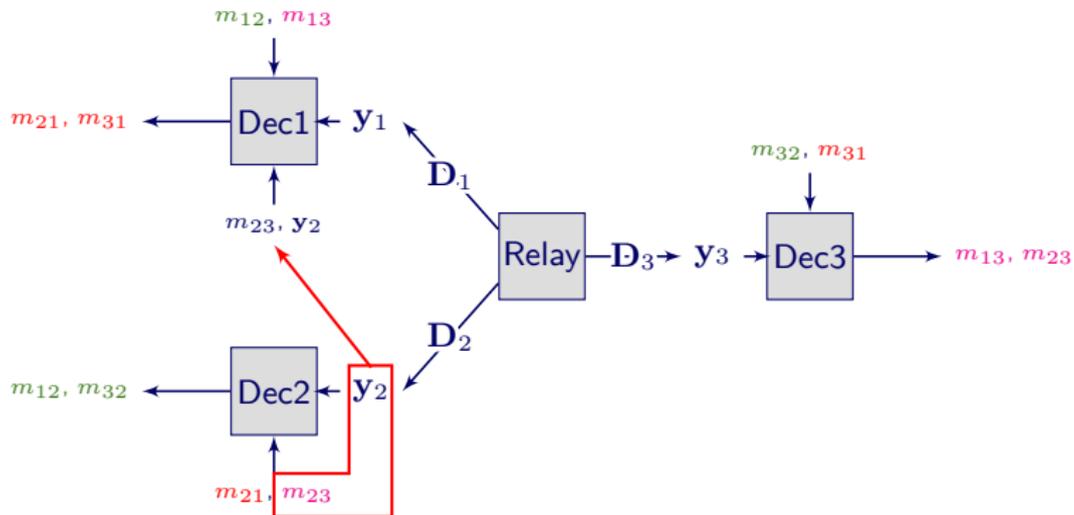
Consider any reliable scheme for the 4-user MIMO MRC

Outer bound



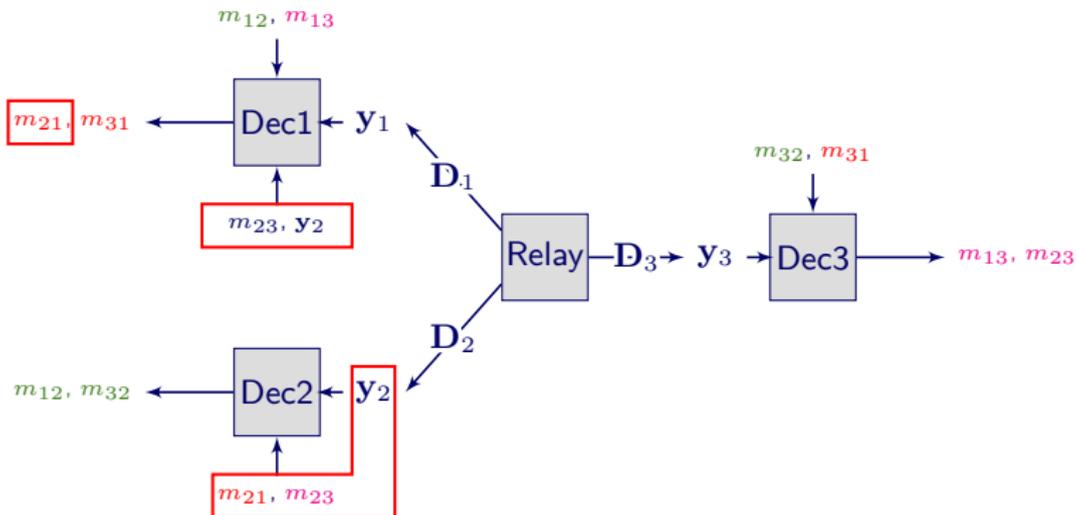
Users can decode their desired signals

Outer bound



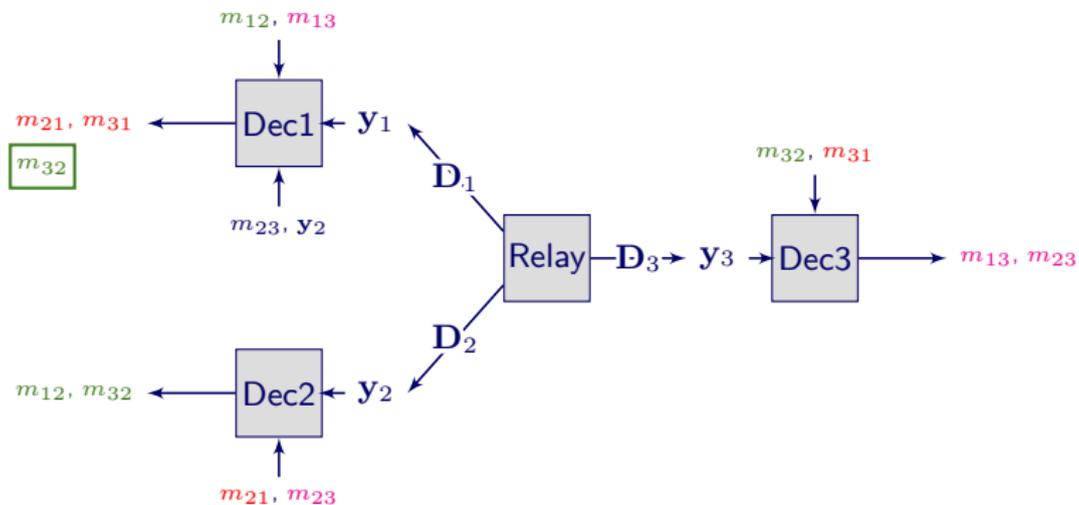
Give m_{23} and y_2 to user 1 as side info.

Outer bound



Now, user 1 has the info. available at user 2

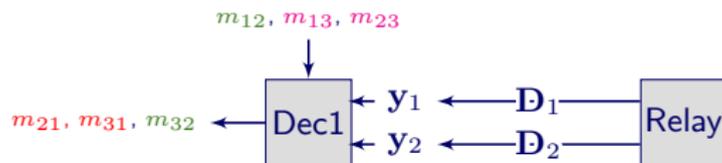
Outer bound



\Rightarrow User 1 can decode m_{32}

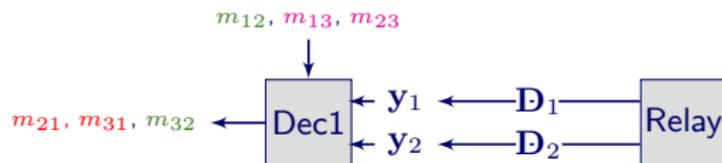
Upper bound

User 1 can decode (m_{21}, m_{31}, m_{32}) from $(m_{12}, m_{13}, y_1, \overbrace{m_{23}, y_2}^{\text{side info.}})$



Upper bound

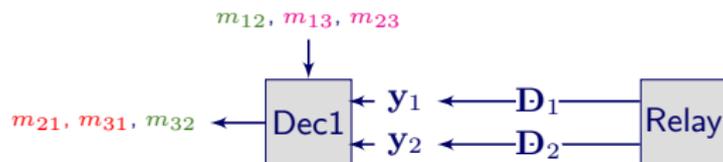
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$$\Rightarrow R_{21} + R_{31} + R_{32} \leq I(\mathbf{x}_r; \mathbf{y}_1, \mathbf{y}_2) = I\left(\mathbf{x}_r; \begin{bmatrix} \mathbf{D}_1 \\ \mathbf{D}_2 \end{bmatrix} \mathbf{x}_r + \begin{bmatrix} \mathbf{z}_1 \\ \mathbf{z}_2 \end{bmatrix}\right) \quad \text{P2P Channel}$$

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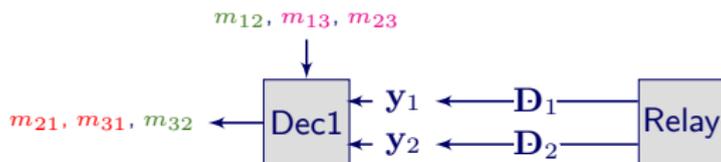


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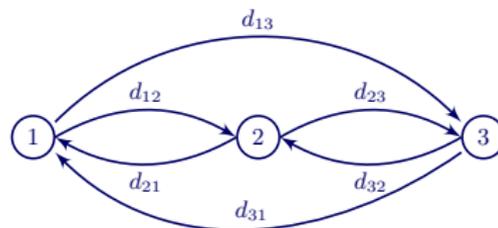
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Considering different combinations of users gives the desired outer bound

$$\sum_{i=1}^2 \sum_{j=i+1}^3 d_{p_i p_j} \leq N, \quad \forall \mathbf{p}$$

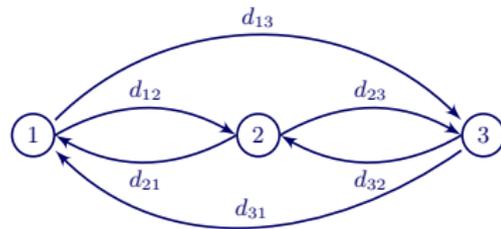
Resource allocation

Consider a DoF tuple $\mathbf{d} = (d_{12}, d_{13}, d_{21}, d_{23}, d_{31}, d_{32})$



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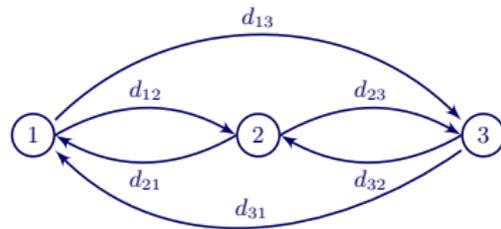


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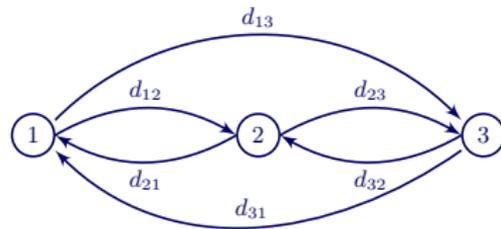


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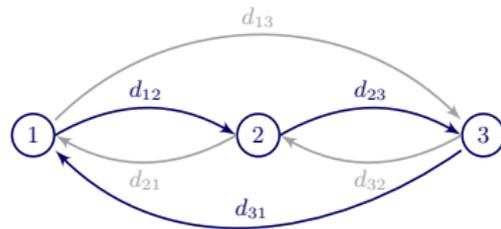


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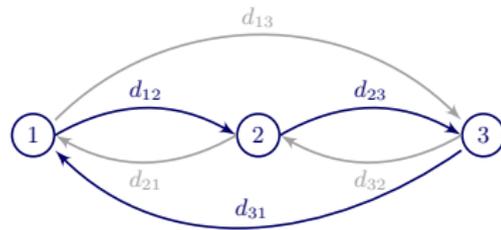


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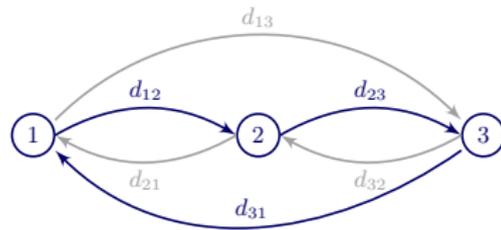


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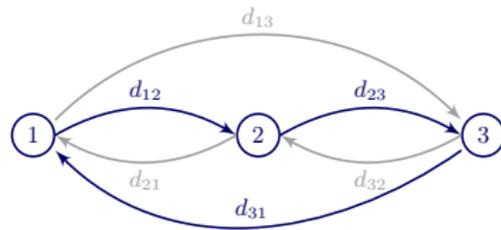
Residual DoF tuple (e.g.) $\mathbf{d}' = (d'_{12}, 0, 0, d'_{23}, d'_{31}, 0) \Rightarrow$ 3-cycle!

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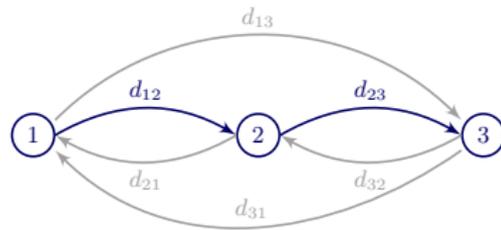
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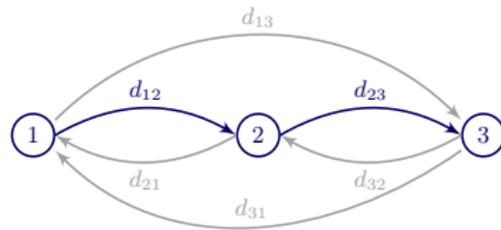
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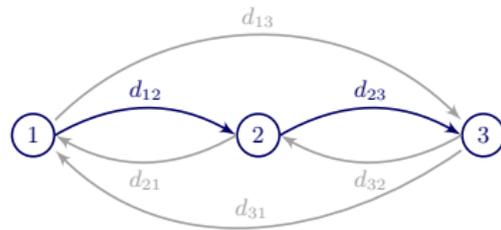
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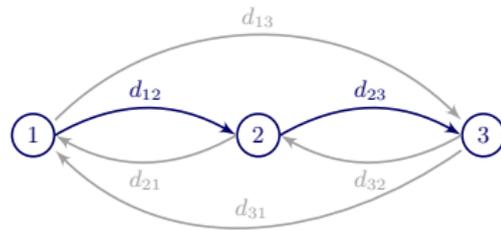
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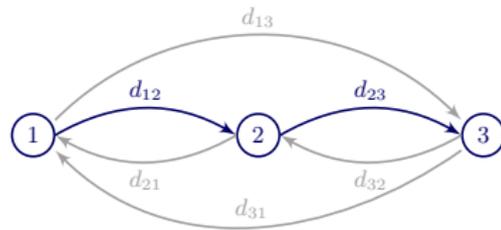
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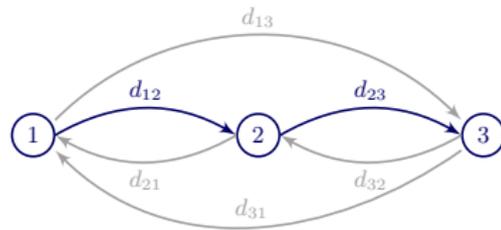
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